

*On The Mathematical  
Depiction of The Solar Wind  
Speed-Solar Magnetic Field  
Relationship*

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# SOLAR WIND

Parker 1958

solar wind model  
above a reference

height, radially directed  
solar wind totally  
controls the magnetic  
field

determine this reference  
height to quantitatively  
model background IMF  
& solar wind speed

# SOLAR WIND

*supersonic expansion of the solar corona out into the heliosphere*

300 < speed < 450 km/s: slow solar wind, originating from  
the vicinity of closed magnetic field regions

450 > speed < 850 km/s: fast solar wind, originating from  
coronal holes — open magnetic field regions

*faster speed detected — associated with CMEs*

# SOLAR WIND ORIGIN

**Fast wind > 450 km/s:  
coronal holes open magnetic  
field region**

**Slow < 450 km/s:  
near streamers – closed  
magnetic field**

**Y. M. Wang & N. R. Sheeley,  
1990s**

**All the solar wind originate  
from coronal holes  
fast wind – center  
slow wind – near the boundaries**

# SOLAR WIND ORIGIN

solar wind speed  $\propto$  1/fte

$$fte = \left( \frac{R_{phot}}{R_{ss}} \right)^2 \frac{B_{r(phot)}}{B_{r(ss)}}$$

fte — flux tube expansion factor — between  
photosphere and source surface;

$R_{phot}$ ;  $R_{ss}$  — radii of photosphere & source surface

$B_{r(phot)}$ ;  $B_{r(ss)}$  — magnetic field

# SOLAR WIND ORIGIN

WSA: Arge & Pizzo, JGR, 105, 2000

$$v = 265.0 + (1.5/(1+f_s)^{1/2.5}) * (5.8 - 4.0 * \exp(\vartheta_b 2.5))^2)^3$$

(from McGregor et al., JGR, 113, 2008)

$f_s$  - flux expansion factor

$\vartheta_b$  - angular distance of the magnetic field footpoint from the nearest coronal hole boundary

# *WSA-ENLIL*

ENLIL: state-of-the-art space weather prediction model of NOAA - Space Weather Prediction Center

WSA provides ambient solar wind at the inner boundary of ENLIL

1 - 4 day advance warnings of geomagnetic storms caused by earth-directed CMEs & quasi-recurrent solar wind structures

**Error: 1-2 days**

# WSA-ENLIL

major single source:

WSA background solar wind, due to  
intrinsic flaws in PFSS model  
(Pizzo et al., Space weather, 2012)

other:

quality of the photospheric synoptic  
map - input to the PFSS model

reduce error & improve inner boundary conditions of ENLIL



Why should one care about space weather?

Why is space weather forecast important?

# *SPACE WEATHER*

**changing conditions in the  
interplanetary medium causing  
disruptions to technological systems on  
Earth and nearby space**

# *SPACE WEATHER*

**system of connected physical processes  
manifesting as a multitude of near-Earth  
disturbances**

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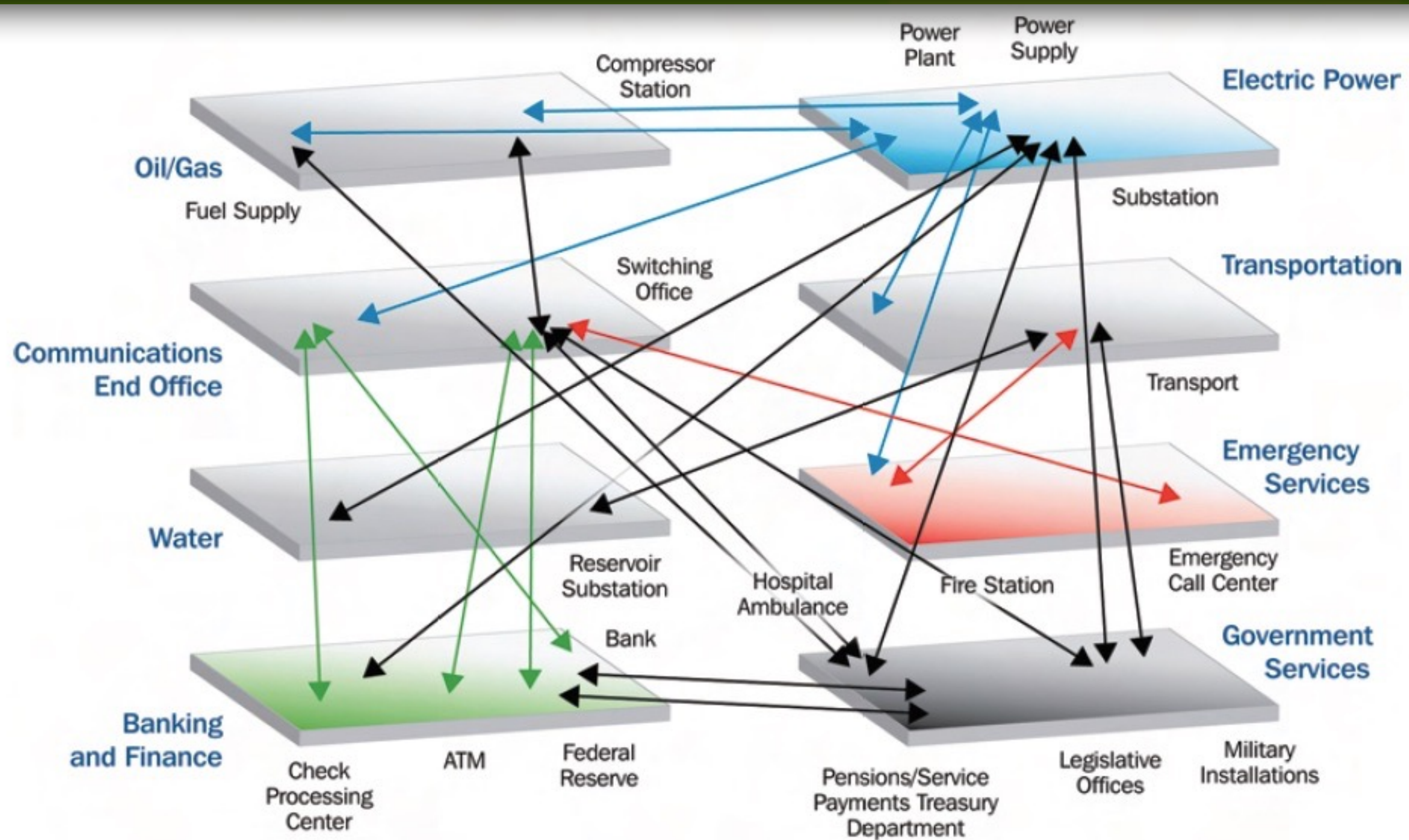
**includes studies on:** solar activity/solar wind, aurorae,  
geomagnetic disturbances, ...,  
**and their interrelationships**

# SOCIOECONOMIC IMPACT

Impact Area	Customer (examples)	Action (examples)	Cost (examples)
<b>Spacecraft</b> (Individual systems to complete spacecraft failure; communications and radiation effects)	<ul style="list-style-type: none"> <li>• Lockheed Martin</li> <li>• Orbital</li> <li>• Boeing</li> <li>• Space Systems Loral</li> <li>• NASA, DoD</li> </ul>	<ul style="list-style-type: none"> <li>• Postpone launch</li> <li>• In orbit - Reboot systems</li> <li>• Turn off/safe instruments and/or spacecraft</li> </ul>	<ul style="list-style-type: none"> <li>• Loss of spacecraft ~\$500M</li> <li>• Commercial loss exceeds \$1B</li> <li>• Worst case storm - \$100B</li> </ul>
<b>Electric Power</b> (Equipment damage to electrical grid failure and blackout conditions)	<ul style="list-style-type: none"> <li>• U.S. Nuclear Regulatory Commission</li> <li>• N. America Electric Reliability Corp.</li> <li>• Allegheny Power</li> <li>• New York Power Authority</li> </ul>	<ul style="list-style-type: none"> <li>• Adjust/reduce system load</li> <li>• Disconnect components</li> <li>• Postpone maintenance</li> </ul>	<ul style="list-style-type: none"> <li>• Estimated loss ~\$400M from unexpected geomagnetic storms</li> <li>• \$3-6B loss in GDP (blackout)</li> </ul>
<b>Airlines (Communications)</b> (Loss of flight HF radio communications) (Radiation dose to crew and passengers)	<ul style="list-style-type: none"> <li>• United Airlines</li> <li>• Lufthansa</li> <li>• Continental Airlines</li> <li>• Korean Airlines</li> <li>• NavCanada (Air Traffic Control)</li> </ul>	<ul style="list-style-type: none"> <li>• Divert polar flights</li> <li>• Change flight plans</li> <li>• Change altitude</li> <li>• Select alternate communications</li> </ul>	<ul style="list-style-type: none"> <li>• Cost ~ \$100k per diverted flight</li> <li>• \$10-50k for re-routes</li> <li>• Health risks</li> </ul>
<b>Surveying and Navigation</b> (Use of magnetic field or GPS could be impacted)	<ul style="list-style-type: none"> <li>• FAA-WAAS</li> <li>• Dept. of Transportation</li> <li>• BP Alaska and Schlumberger</li> </ul>	<ul style="list-style-type: none"> <li>• Postpone activities</li> <li>• Redo survey</li> <li>• Use backup systems</li> </ul>	<ul style="list-style-type: none"> <li>• From \$50k to \$1M daily for single company</li> </ul>

Severe Space Weather Events: Understanding Societal and Economic Impacts:  
 A Workshop Report — The National Academies Press (22 May 2008)

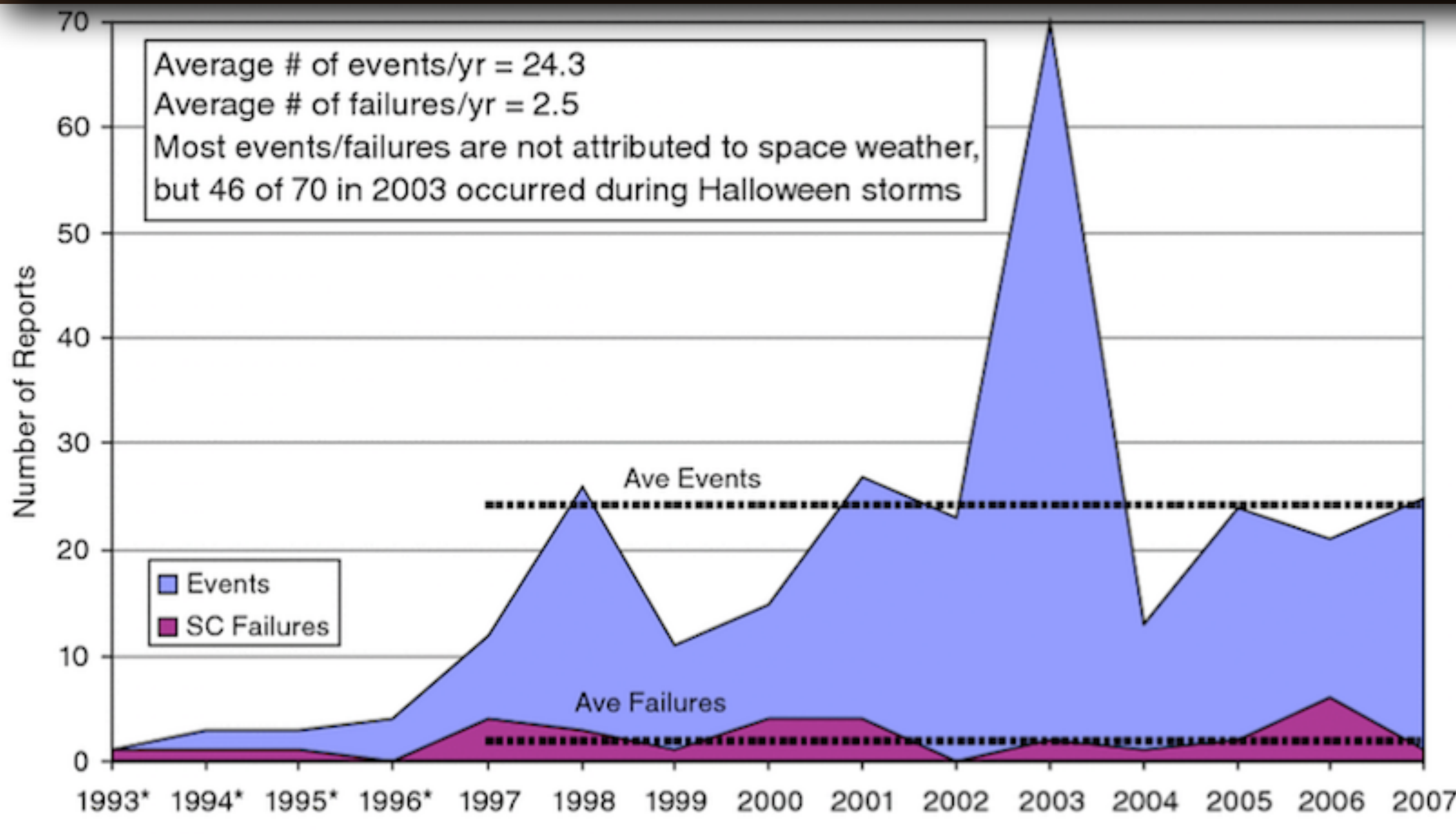
# SOCTO&CO.NOMTC IMPACT



interconnected  
infrastructures  
& their  
qualitative  
dependencies and  
interdependencies

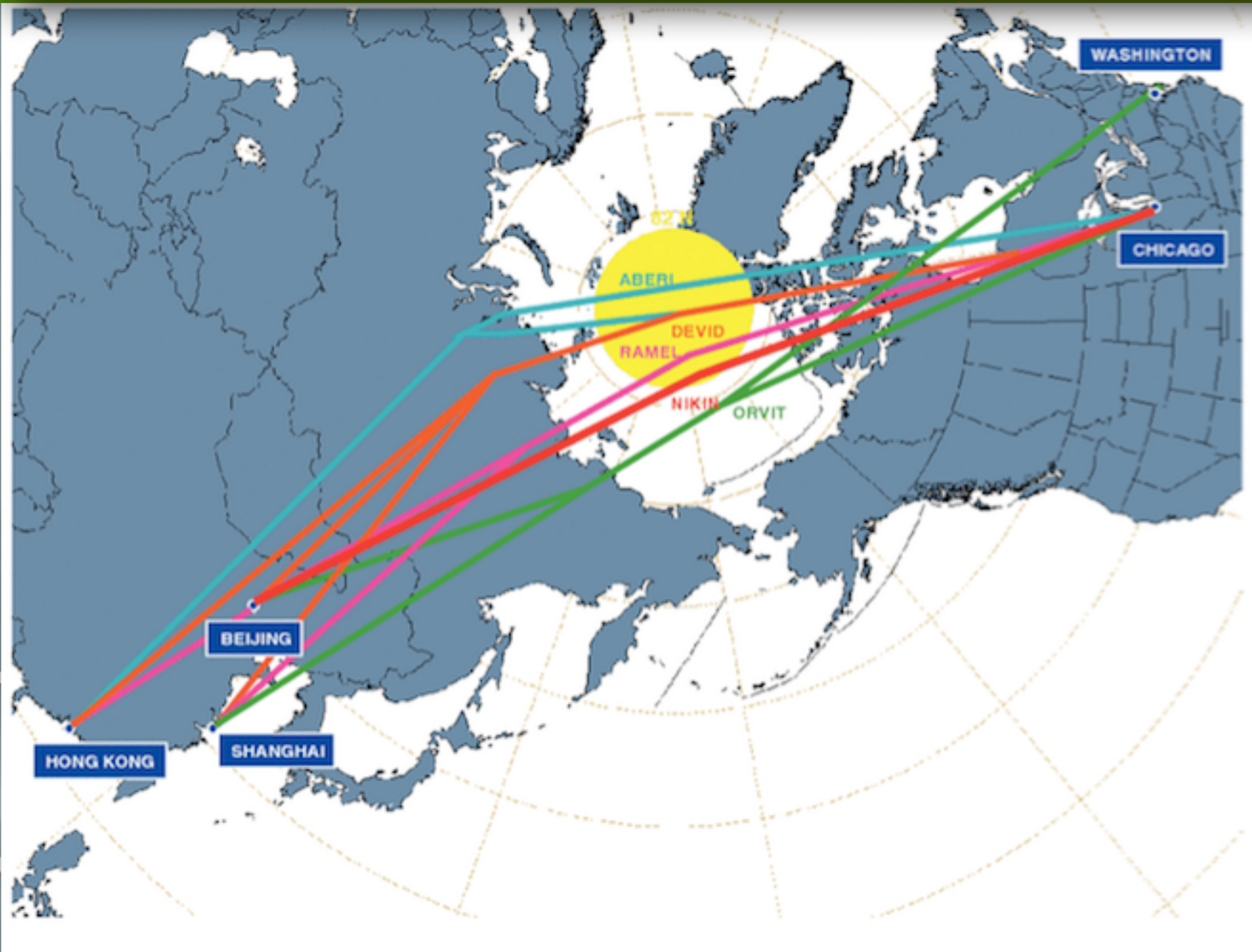
Source: Department of Homeland Security, National Infrastructure Protection Plan ([http://www.dhs.gov/xprevprot/programs/editorial\\_0827.shtm](http://www.dhs.gov/xprevprot/programs/editorial_0827.shtm)).

# SOFTWEAR CONCOMITANT IMPACT



**Severe Space Weather Events: Understanding Societal and Economic Impacts: A Workshop Report — The National Academies Press (22 May 2008)**

# SOFTO&CO NAVIG IMPACT



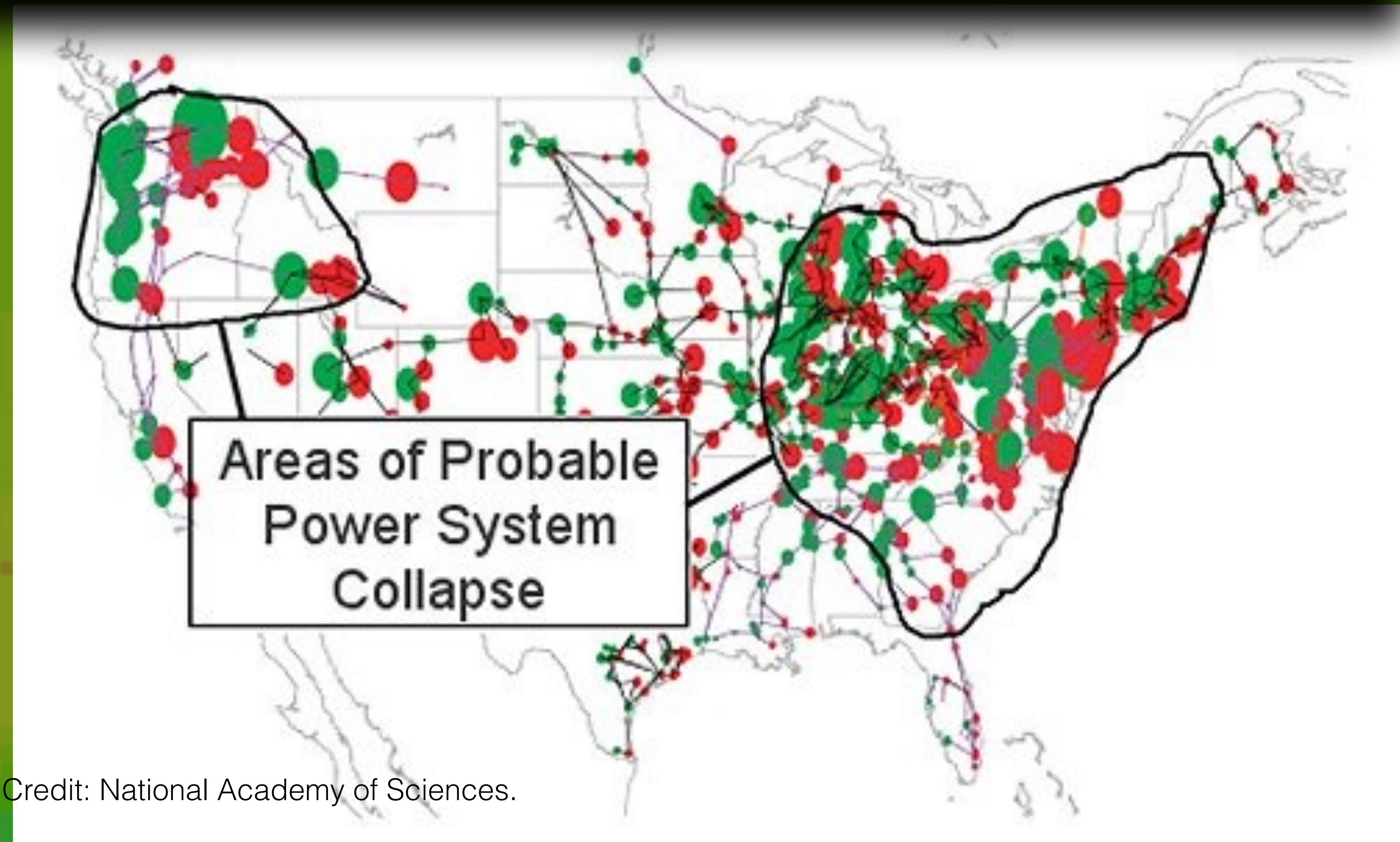
transpolar flights rely on HF radio communications

magnetic storm/polar cap absorption (PCA) — cause ionospheric density disturbances interfere with HF, VHF, UHF radio communications and navigation signals from GPS satellites

Severe Space Weather Events: Understanding Societal and Economic Impacts: A Workshop Report — The National Academies Press (22 May 2008)

# SOFTEN COASTLINE IMPACT

installation of supplemental transformer neutral ground resistors to reduce GIC flows — inexpensive & low engineering trade-offs — produce 60-70% reductions of GIC levels for storms of all sizes



Severe Space Weather Events: Understanding Societal and Economic Impacts: A Workshop Report —The National Academies Press (22 May 2008)



## RECALL THAT:

solar wind speed  $\propto 1/fte$

$$fte = \left( \frac{R_{phot}}{R_{ss}} \right)^2 \frac{B_{r(phot)}}{B_{r(ss)}}$$

$fte$  – flux tube expansion factor – between photosphere and source surface;

$R_{phot}$ ;  $R_{ss}$  – radii of photosphere & source surface

$B_{r(phot)}$ ;  $B_{r(ss)}$  – magnetic field

# WHY CORONAL MODELS?

**Direct observations of coronal magnetic field challenging and limited (e. g. using CoMP: Dove et al., ApJ, 731, 2011; Bak-Steslicka et al., ApJL, 770, 2013)**

**Models that extrapolate observed photospheric magnetic field into the corona and beyond.**

# CORONAL MODELS

- **Potential Field Source Surface (PFSS) model**
- **NonLinear Force Free (NLFF) model**
- **Current Sheet Source Surface (CSSS) model**
- **Magnetohydrodynamic (MHD) models**

# CORONAL MODELS

**Potential Field Source Surface (PFSS) model**

**Schatten et al., 1969; Altschuler & Newkirk, 1969**

**coronal magnetic field - computed from scalar potential  
obeying Laplace's law**

**Current Sheet Source Surface (CSSS) model**

**Zhao & Hoeksema, 1995**

# *PFSS MODEL*

popular – addresses a variety of problems

Schrijver & DeRosa, 2003;

Luhmann et al., 2009,

Wang & Shelley, 1990, 1992, 1995, etc..

# CSSS MODEL

**BOGDAN & LOW 1986**

obtained solution to magnetostatic equilibrium — electric currents flowing perpendicular to gravity ( $1/r^2$ ) everywhere

$$J = \frac{1}{\mu_0 r} [1 - \eta(r)] \left[ \frac{1}{\sin(\theta)} \frac{\partial^2 \phi}{\partial \phi \partial r} \hat{\phi} - \frac{\partial^2 \phi}{\partial \theta \partial r} \hat{\theta} \right] \quad (1)$$

and

$$B = -\eta(r) \frac{\partial \phi}{\partial r} \hat{r} - \frac{1}{r} \frac{\partial \phi}{\partial \theta} \hat{\theta} - \frac{1}{\sin(\theta)} \frac{\partial \phi}{\partial \phi} \hat{\phi} \quad (2)$$

where,  $\mu_0$  is the magnetic permeability,  $\eta(r) = 1 + (a/r)^2$  and  $\phi(r, \theta, \phi)$  is a scalar function determined by the boundary conditions at the photosphere and corona (Zhao and Hoeksema, 1995).

**Bogdan & Low**  
**ApJ 306, 271-283, 1986**

# CSSS MODEL

using spherical harmonic expansion & source surface technique

**Zhao & Hoeksema** (JGR, 100, 99, 1995) developed CSSS model

- volume & sheet currents
- source surface

# CSSS MODEL - GEOMETRY

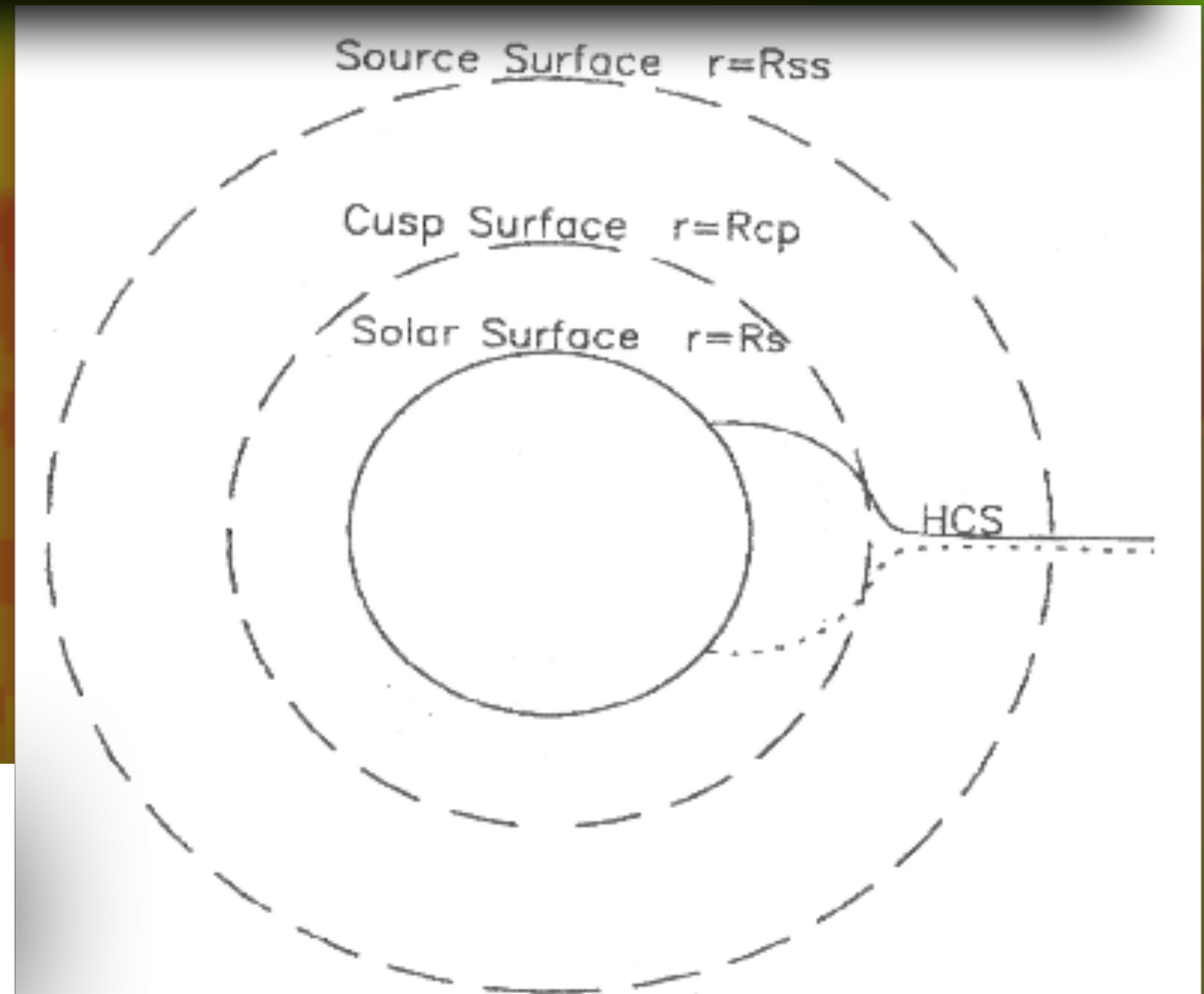
## inner region

$$\Phi = \sum_{n=1}^{N_{\odot}} \sum_{m=0}^n R_n^{\odot}(r) P_n^m(\cos \theta) (g_{nm}^{\odot} \cos m\phi + h_{nm}^{\odot} \sin m\phi) \quad (3)$$

$$R_n^{\odot}(r) = \frac{R_{\odot}(1+a)^n}{(n+1)(r+a)^{n+1}} \quad (4)$$

## middle region

$$\Phi = \sum_{n=0}^{N_c} \sum_{m=0}^n R_n^c(r) P_n^m(\cos \theta) (g_{nm}^c \cos m\phi + h_{nm}^c \sin m\phi)$$



**outer region:** extrapolate B out to heliosphere because

$$B_{\theta}(R_{ss}, \theta_{ss}, \phi_{ss}) = B_{\phi}(R_{ss}, \theta_{ss}, \phi_{ss}) = 0$$



# CSRS MODEL

## potential field - over simplification

because corona not strictly current free

large-scale plasma structures above  $1.5 R_{\text{sun}}$  indicate magnetic field-electric currents interaction

## PFSS limitations:

cause uncertainties in footpoint locations of solar wind source regions — a few tens of degrees in longitude (Poduval & Zhao, JGR 109, 2004)

# COMPARISON OF MODELS

## PFSS

- source surface –  $2.5 R_{\text{sun}}$
- magnetic field at SS: open & constrained to be radial
- Coronal magnetic field: latitudinally structured
- Predicts polarity, but strength in terms of total unsigned flux crossing SS

## CSSS

- Free to vary:  $14\text{--}15 R_{\text{sun}}$
- Open at cusp surface  $2.5 R_{\text{sun}}$  — not radial until SS
- uniform - no lat/lon dependence —> consistent with observations (Smith & Balogh 1995, 2003; Acuña, 2008)
- Can predict HMF strength & polarity

# *PRESENT WORK*

- **used CSSS and PFSS models to compute FTE**
- **used the speed-FTE relationship of Wang-Sheeley to obtain a mathematical description between them**
- **used this mathematical relationship to predict solar wind speed near the Sun**
- **compared the predictions of the two models**

# DATA

## Photospheric synoptic maps

daily  
averaged  
solar wind  
data  
1996-2010  
OMNI data  
solar cycles  
23 - 24

WSO  
5° lat/lon

SOHO/MDI  
1° lat/lon  
  
NO MDI data  
exist outside  
this period

NSO/Kitt Peak  
1° lat/lon  

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NSO/SOLIS  
1° lat/lon

HMI  
1° lat/lon

# MBTFOD - STED 1

Step 1: map observed solar wind back to corona

$$\vartheta_0 = \vartheta_R + \frac{R\Omega}{V_R} \quad \& \quad \varphi_0 = \varphi_R$$

$\vartheta_0, \varphi_0$  – latitude & longitude at source surface

$\vartheta_R, \varphi_R$  – at a distance R from Sun

$\Omega$  – angular rotation of the Sun

$V_R$  – the solar wind velocity at R – we used the  
daily averaged value

# METHOD - STEPS 2-4

## Step 2

map coronal location back to photosphere along open field lines using CSSS & PFSS models

## Step 3

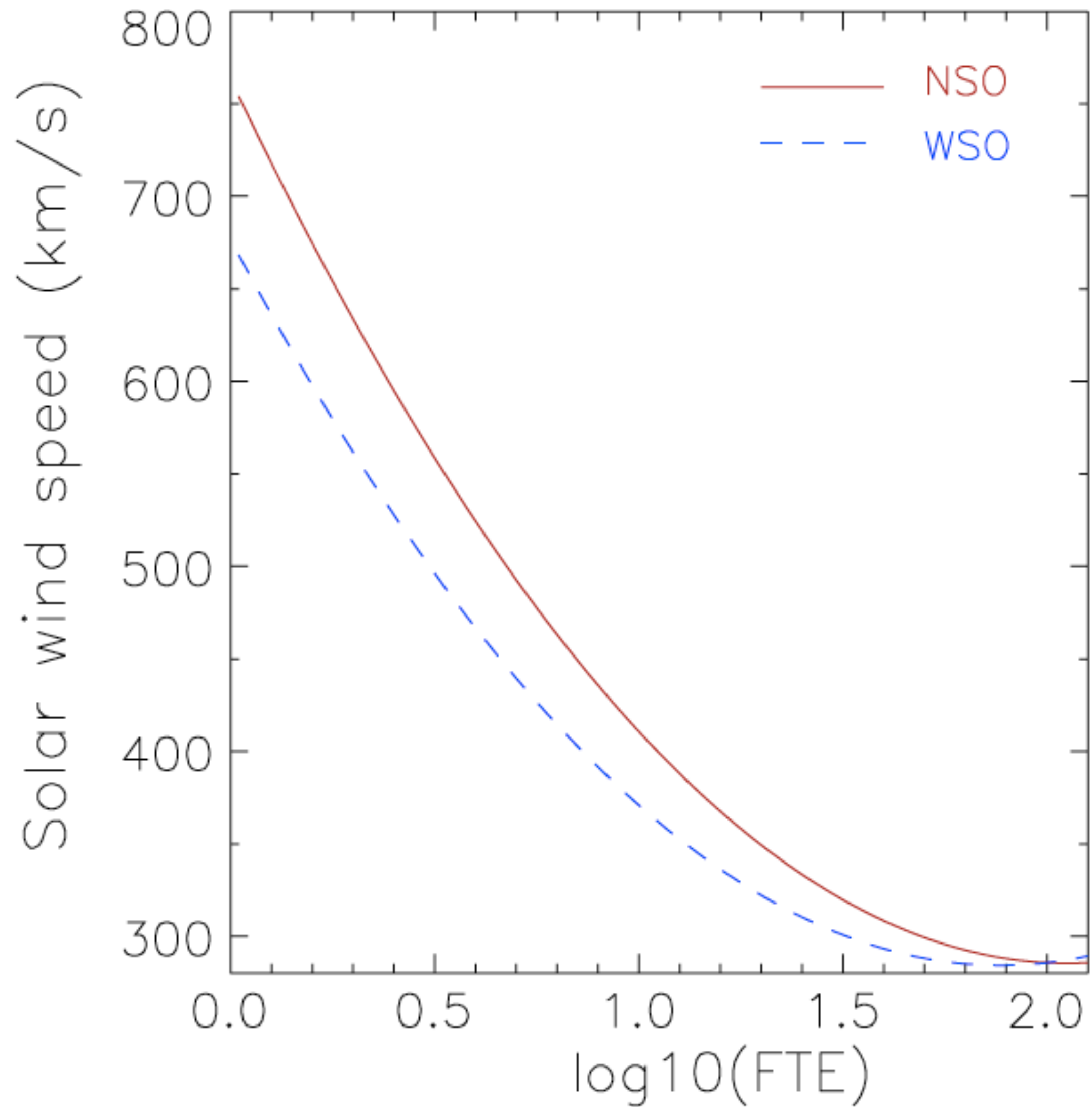
compute FTE at each solar wind source

## Step 4

predict solar wind speed using WS inverse relationship

<b>Speed</b>	<b>FTE</b>
<b>&gt; 750</b>	<b>&lt; 4.5</b>
<b>650 – 750</b>	<b>4.5 – 8.0</b>
<b>550 – 650</b>	<b>8.0 – 10.0</b>
<b>450 – 550</b>	<b>10.0 – 20.0</b>
<b>&lt; 450</b>	<b>&gt; 20.0</b>

# QUADRATIC FUNCTION



## WSO

$$a = 110.3$$

$$b = -416.0$$

$$c = 676.6$$

## NSO/Kitt Peak

$$a = 113.9$$

$$b = -466.6$$

$$c = 763.4$$

**Poduval & Zhao 2014: ApJ, 782, L22**



# SOLAR WIND PREDICTION

- the fitted quadratic function — used for all the subsequent solar wind speed predictions
- used the same function for both PFSS & CSSS models

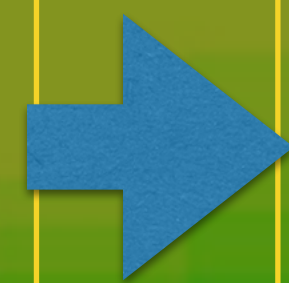
# MEASURES OF ACCURACY

## RMS ERROR

correlation coefficient – inadequate:

Good correlation not necessarily imply causality.  
Correlation does not capture scaling differences between observed and predicted quantities.

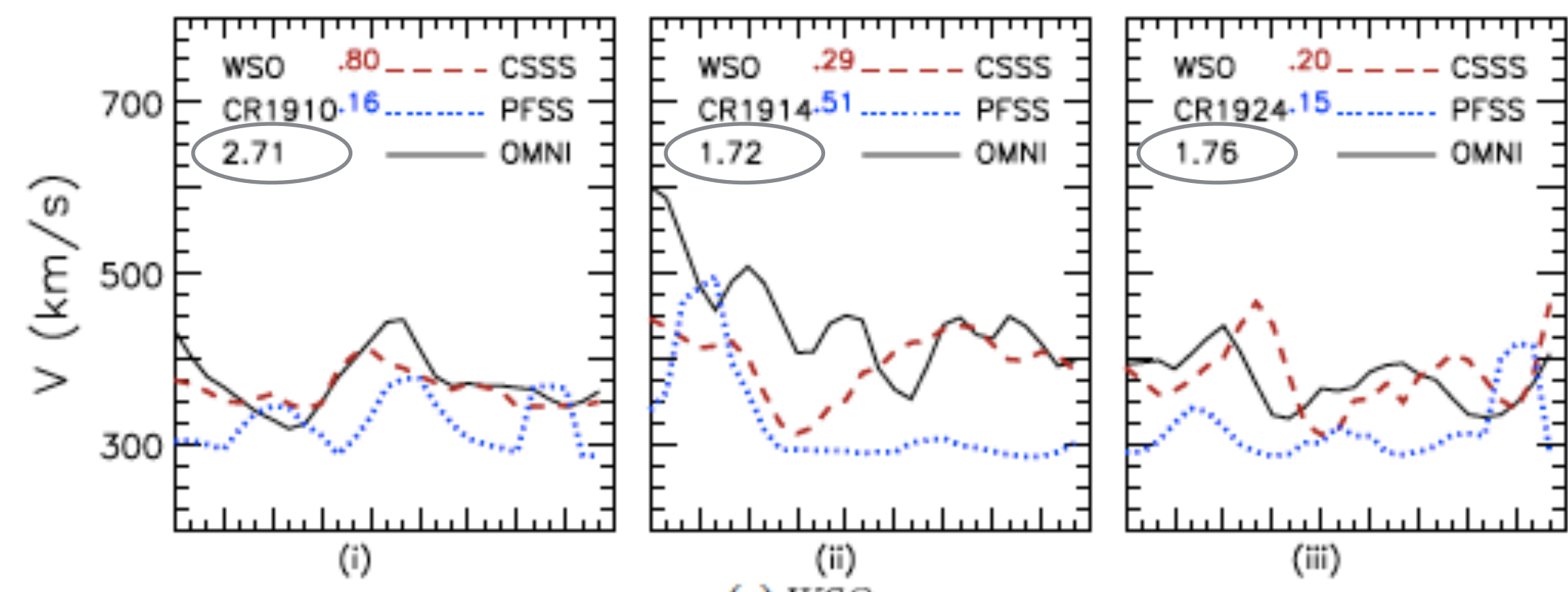
Evaluate predictive capabilities of PFSS & CSSS models



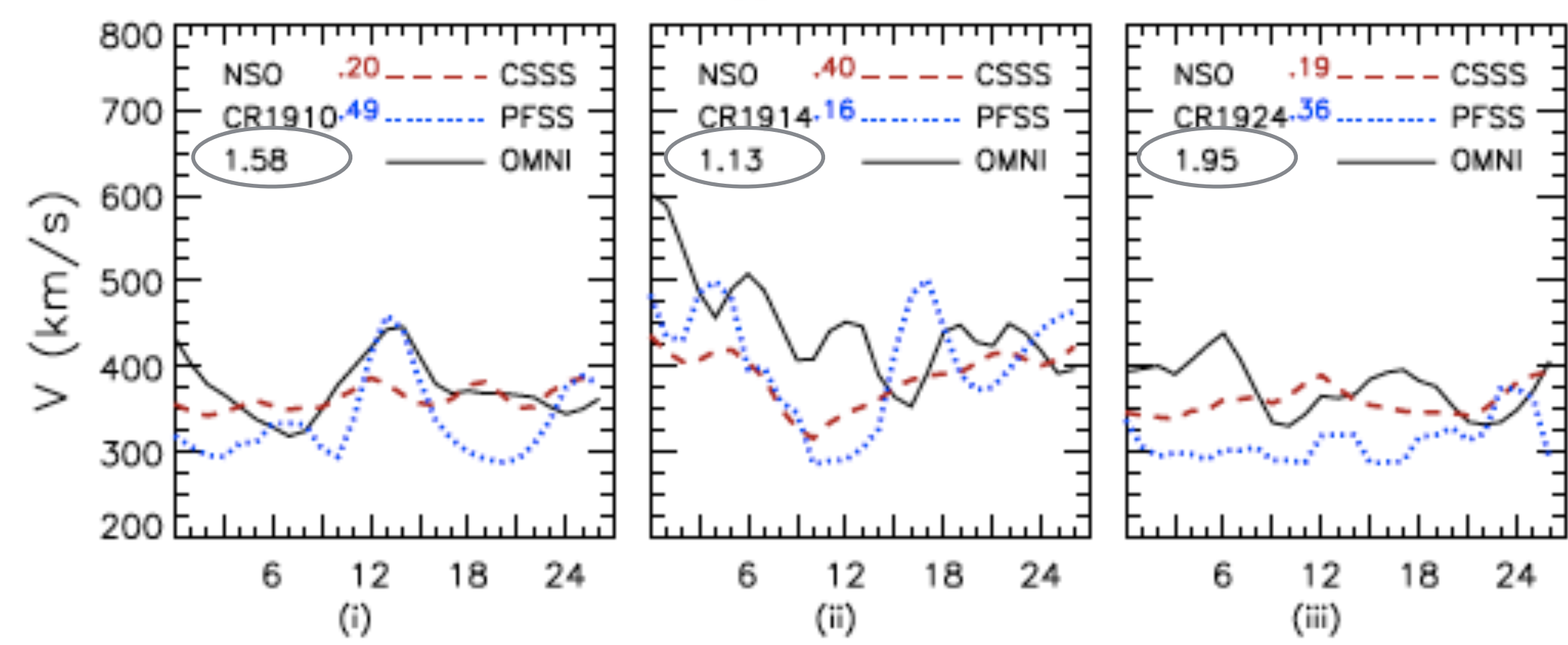
Root Mean Square Error (RMSE) between observed & predicted speeds

$$\text{RMSEratio} = \frac{\text{RMSE}_{\text{PFSS}}}{\text{RMSE}_{\text{CSSS}}}$$

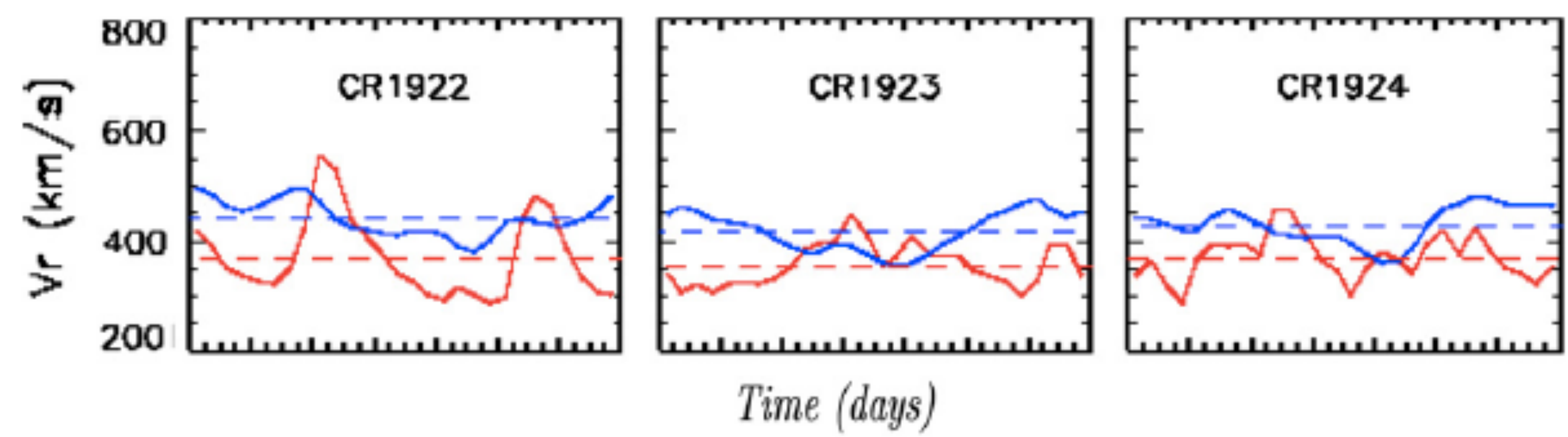
Observed solar wind projected back to the Sun



(a) WSO



(b) NSO/Kitt Peak

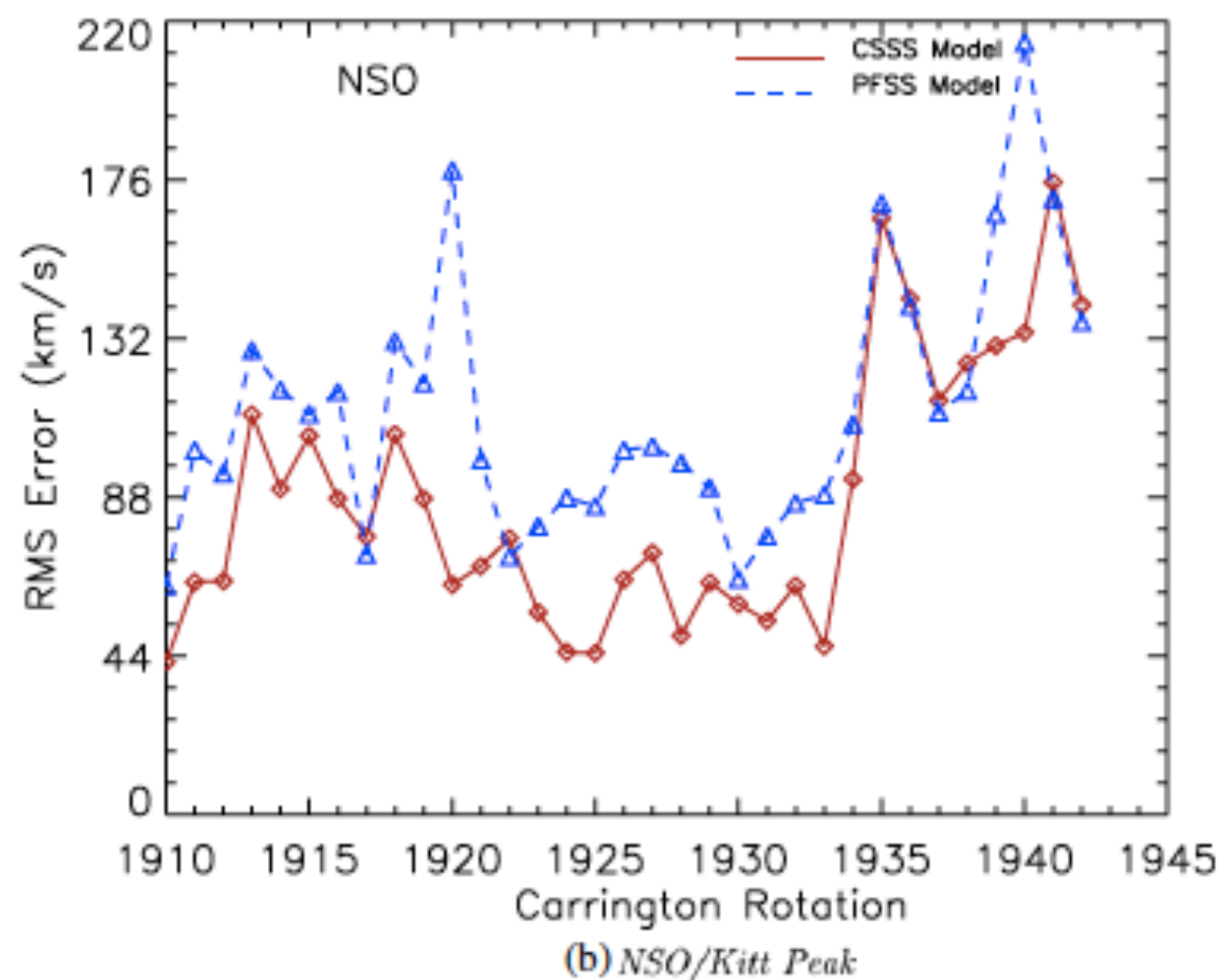
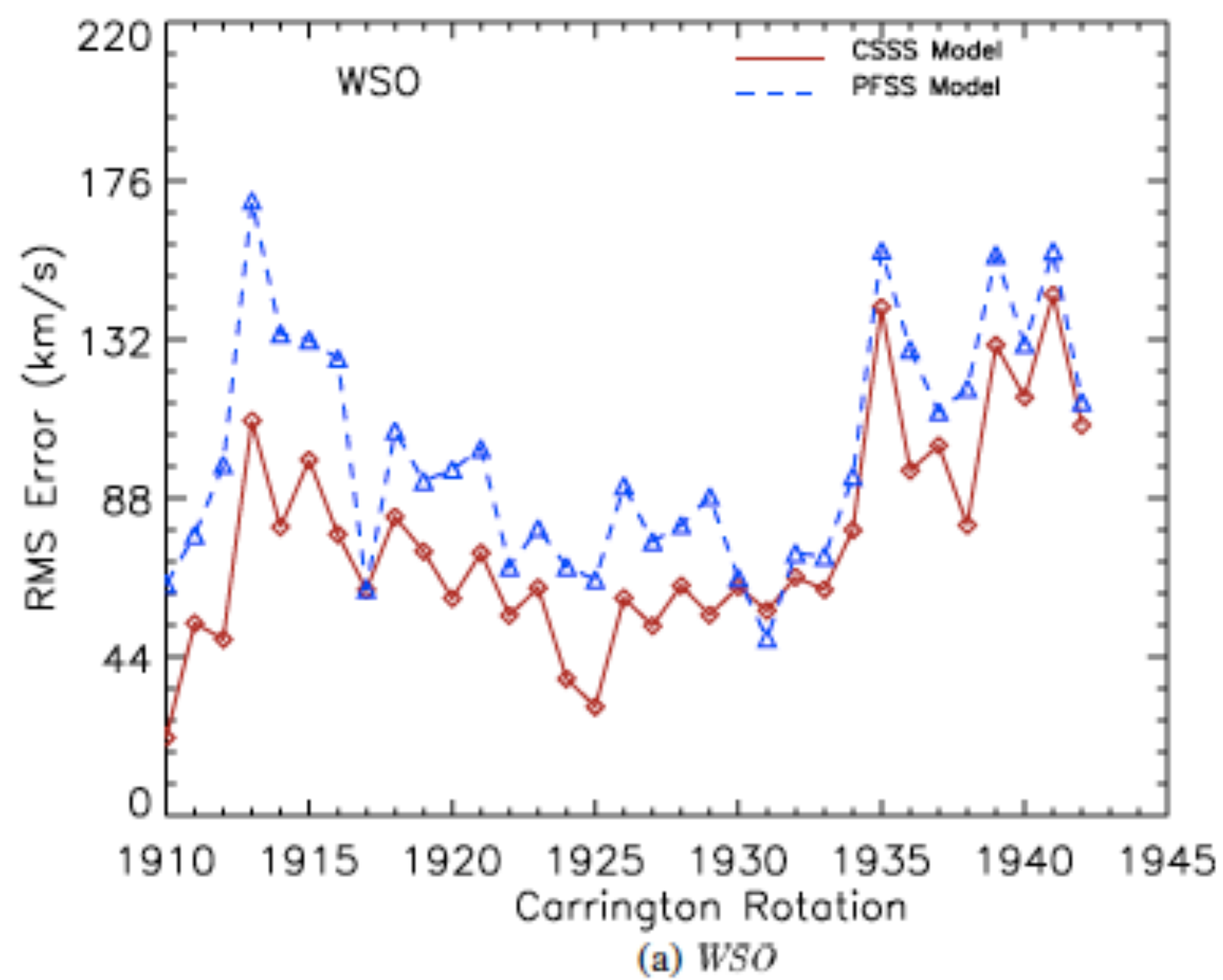


(c) WSA/ENLIL using NSO

Predicted solar wind speed using **PFSS** & **CSSS** models

Poduval & Zhao 2014: ApJ, 782, L22

# RMSE increases as solar cycle progresses



(1) increasing complexity of the solar magnetic field makes it more difficult to model

(2) need to optimize free parameters:

- (i)  $R_{ss} = 15 R_{sun}$  or closer?
- (ii)  $R_{cp} = 2.5 R_{sun}$ ?

height of the cusp varies over a wide range during a solar cycle (Cranmer et al., 2007; Zhao & Hoeksema, 1995)

# COMPARISON

correlation coefficient – inadequate:  
good correlation not necessarily imply causality

		WSO	NSO
<b>COR COFT &gt; 0.5</b>	<b>CSSS</b>	24%	24%
	<b>PFSS</b>	15%	15%
<b>MEAN COR COFT</b>	<b>CSSS</b>	0.15	0.23
	<b>PFSS</b>	0.12	0.13
<b>MEAN RMSE RATIO</b>	<b>WSA-ENLIL/CSSS</b>	-	1.9
<b>MEAN RMSE RATIO</b>	<b>PFSS/CSSS</b>	1.3	1.6
<b>RMSE &gt; 1.3</b>		32%	55%

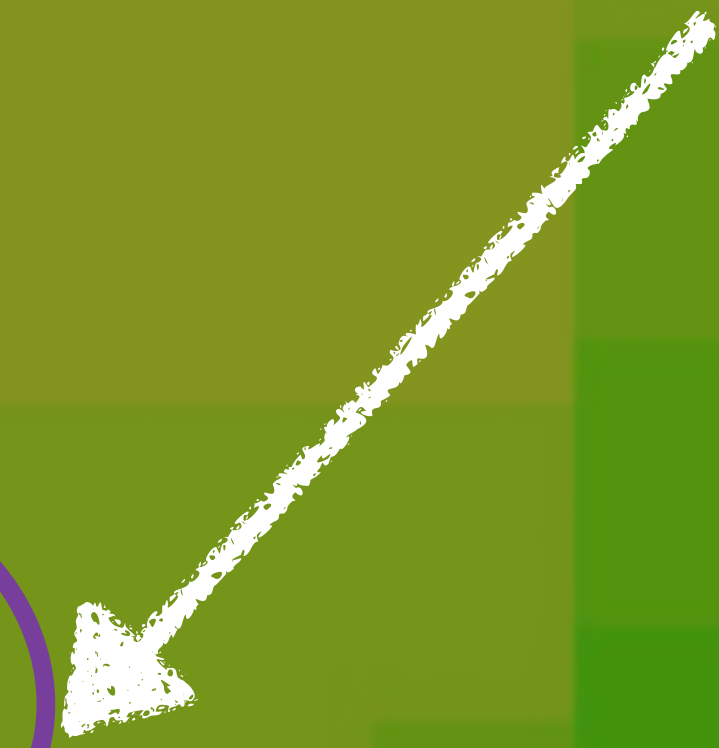
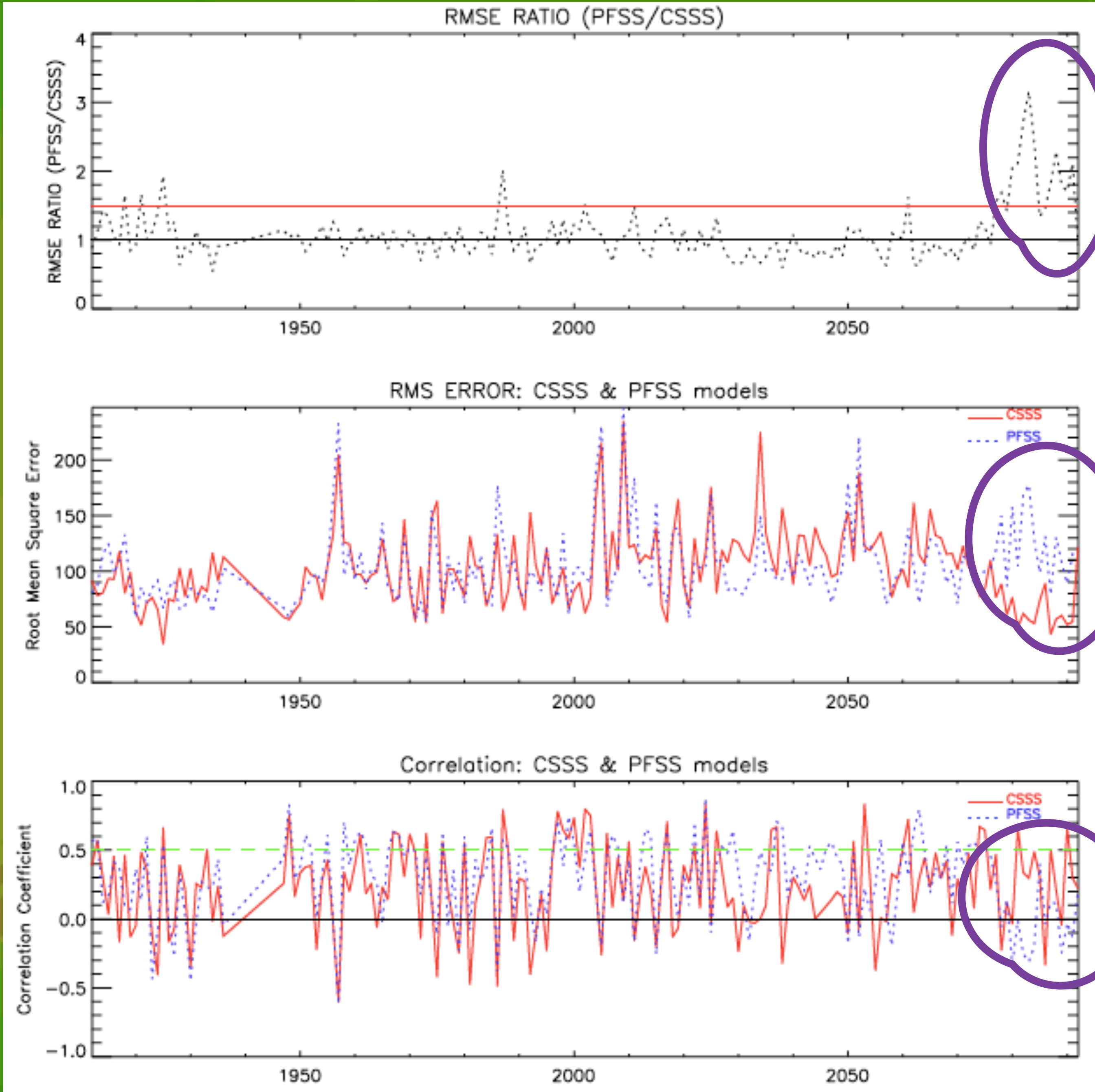
**82% with  
RMSE  $\geq$  1.0**

→

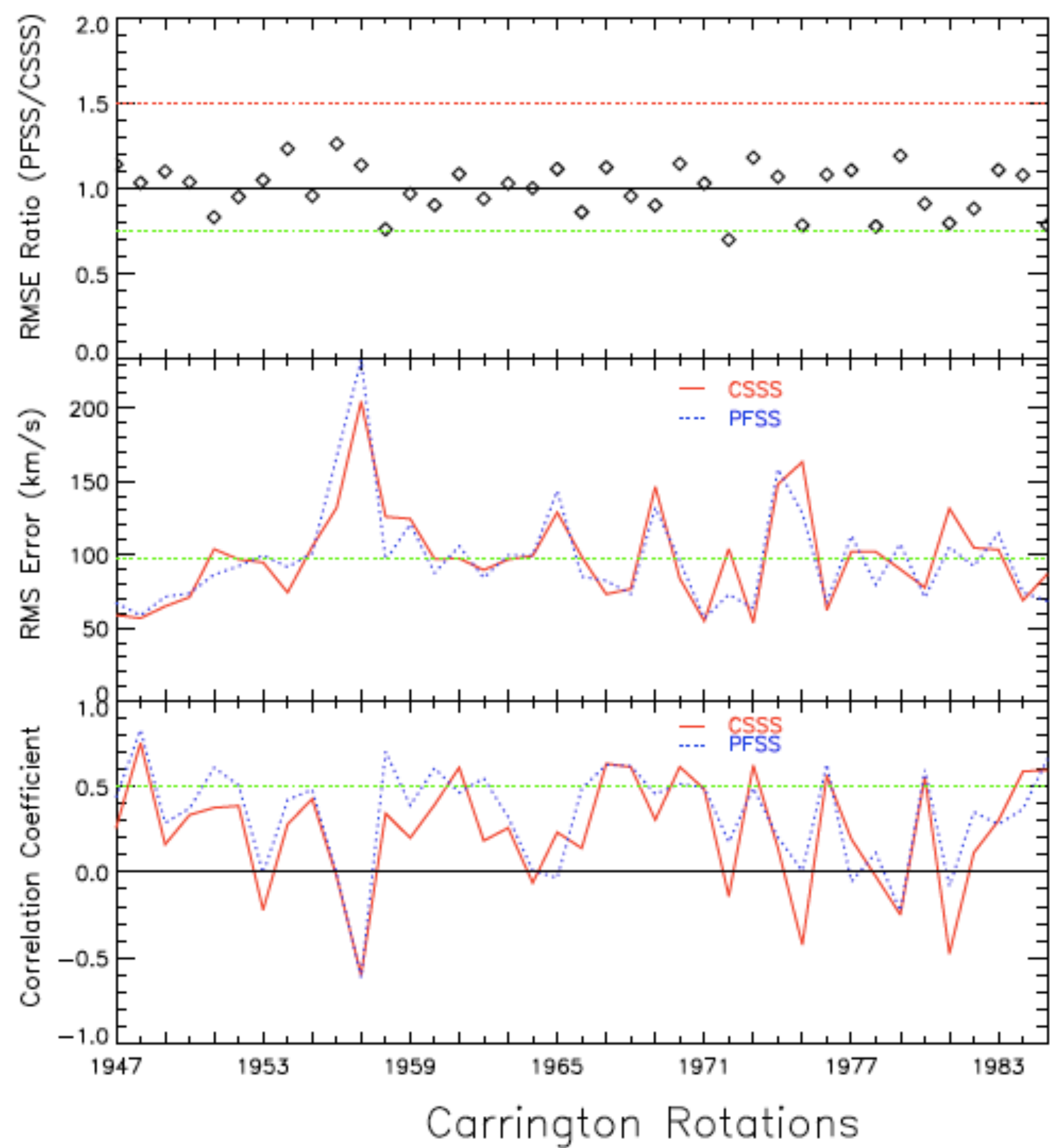
CSSS predictions  
are comparable  
to or better than  
PFSS predictions

*ANNO MARY...*

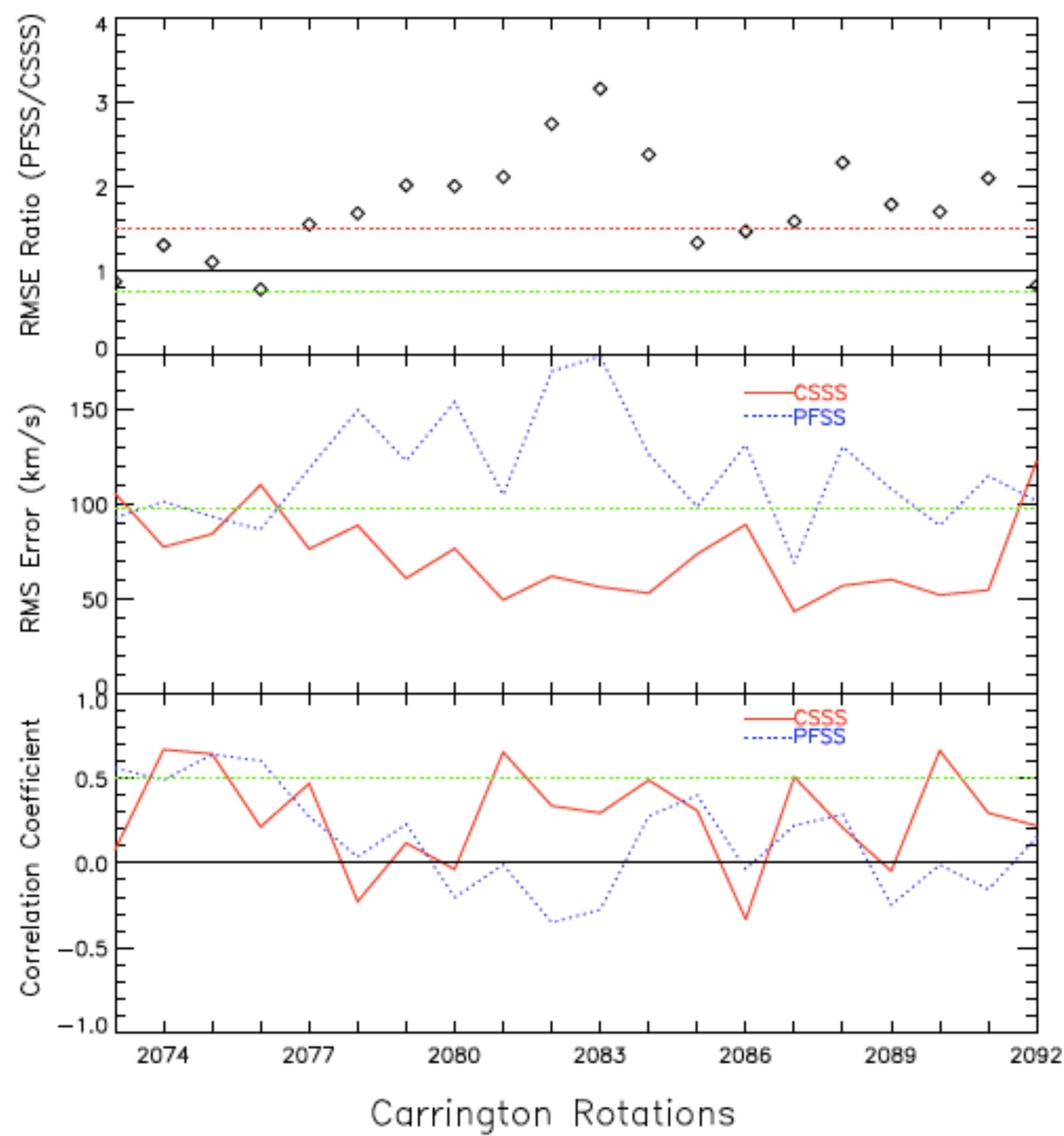
*MDI, SOLPS, WSO*



Anomaly



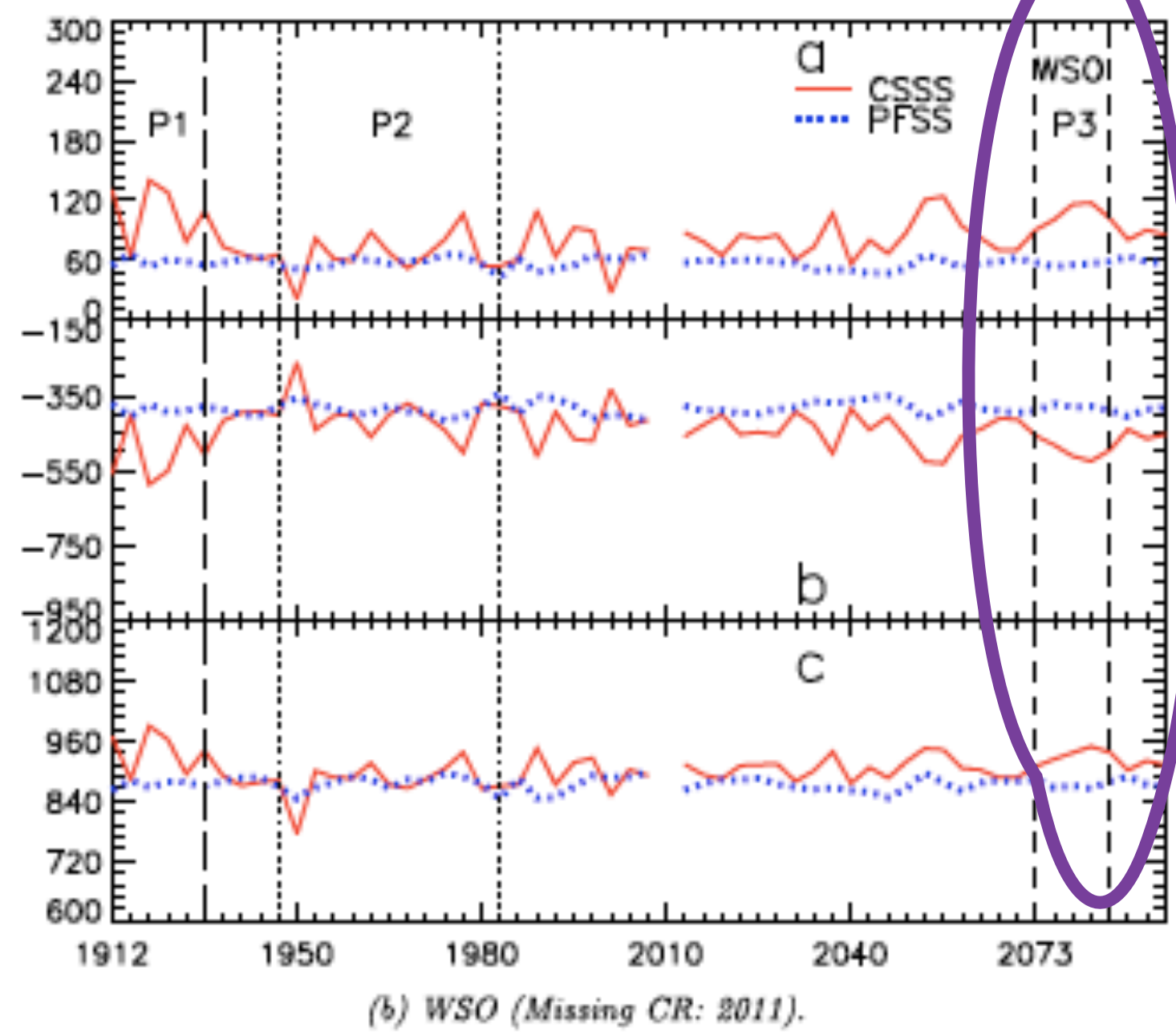
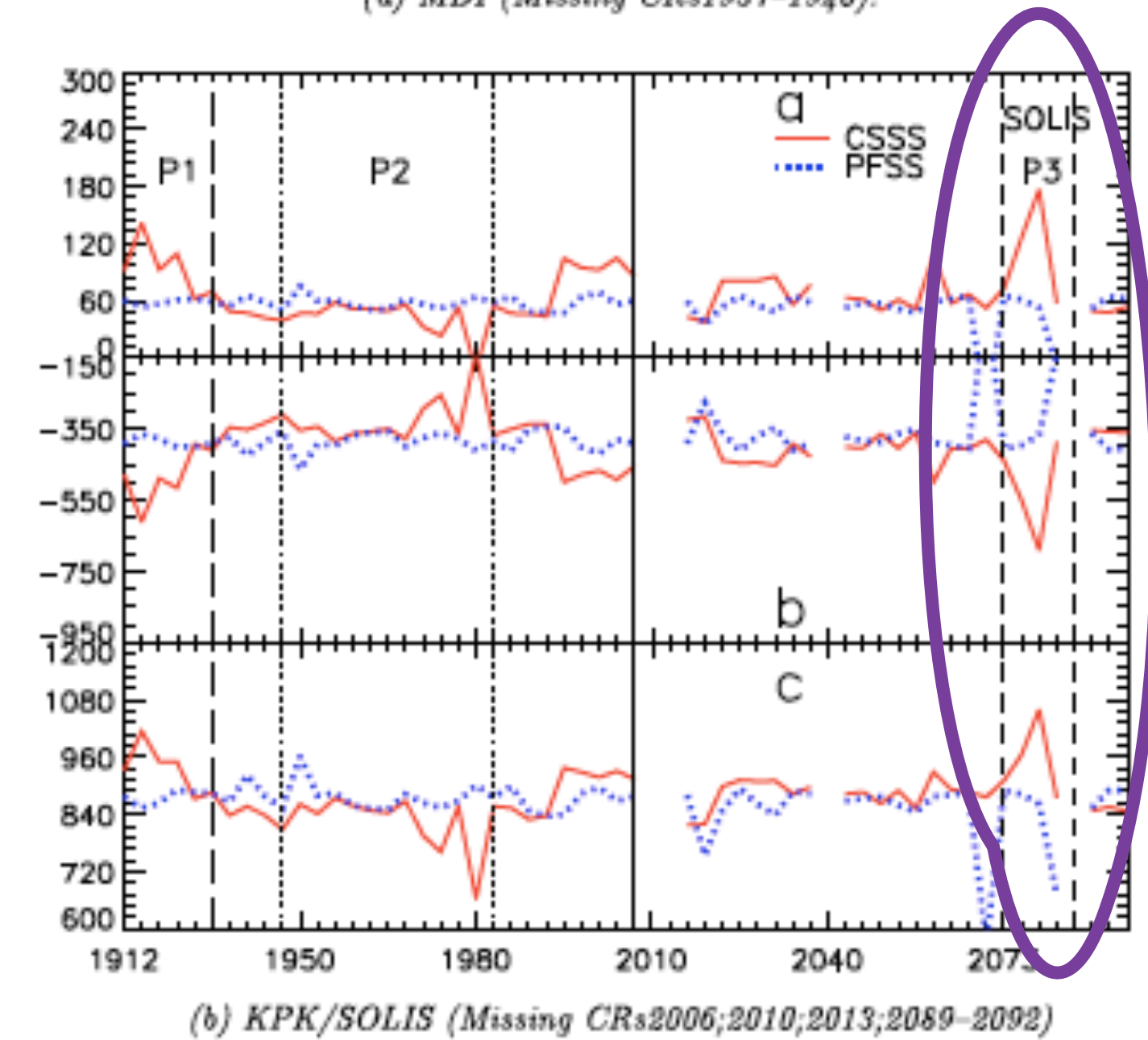
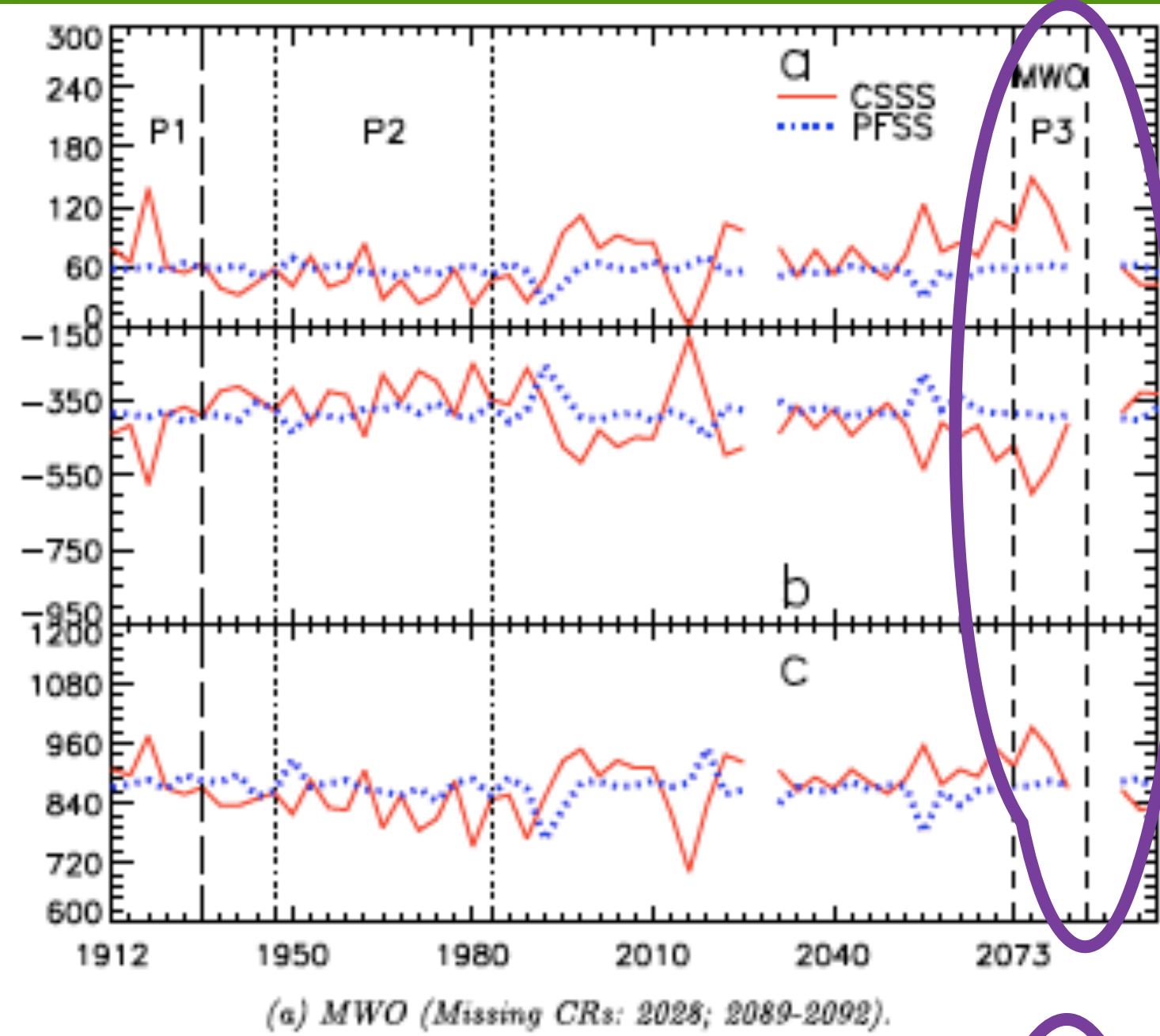
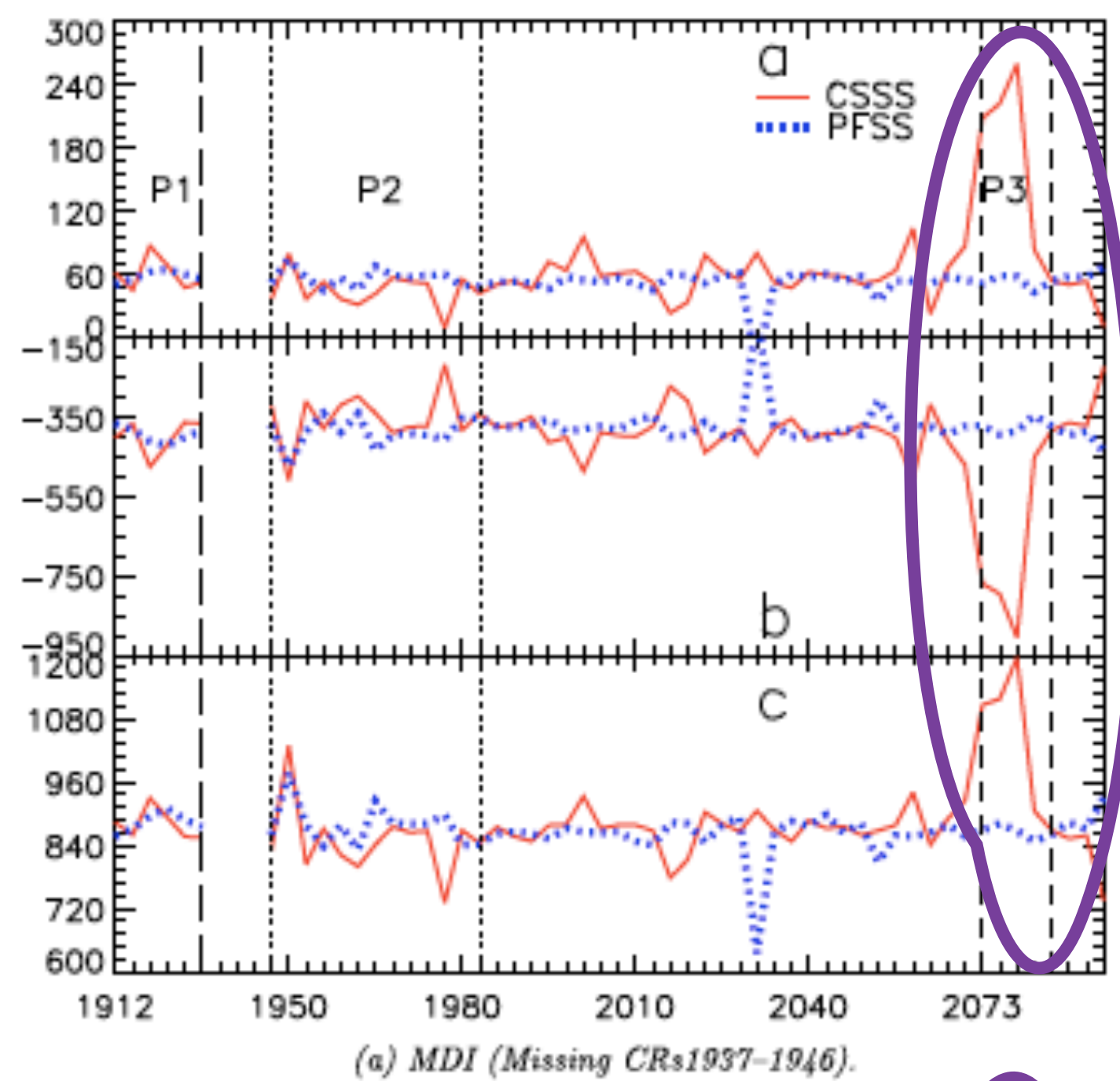
(a) *CRs 1947–1985 (1999–2002).*



(b) *CRs 2073–2092 (2008–2010).*

**Poduval, 2016: ApJ, 827, L6**

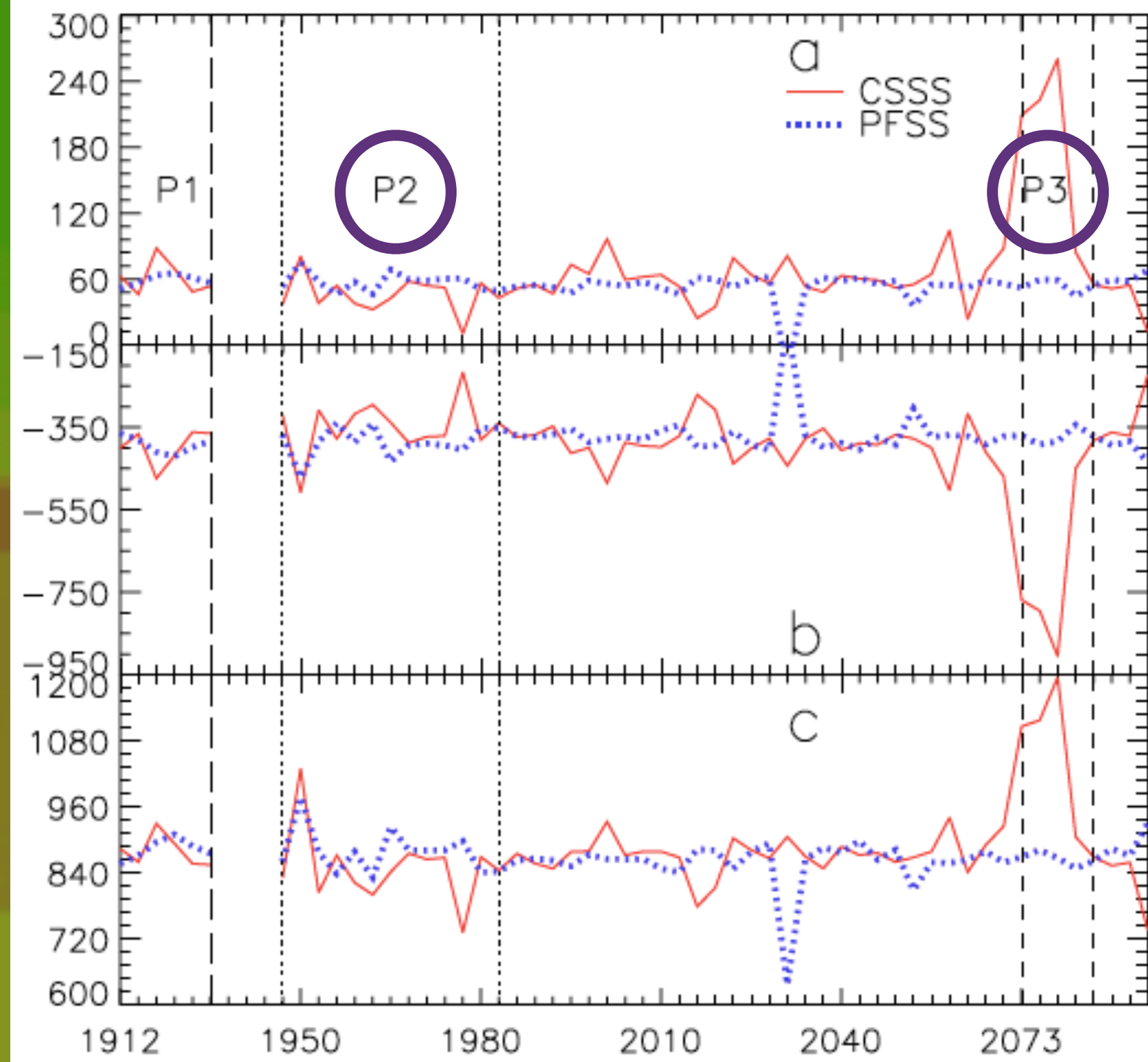




← Anomaly

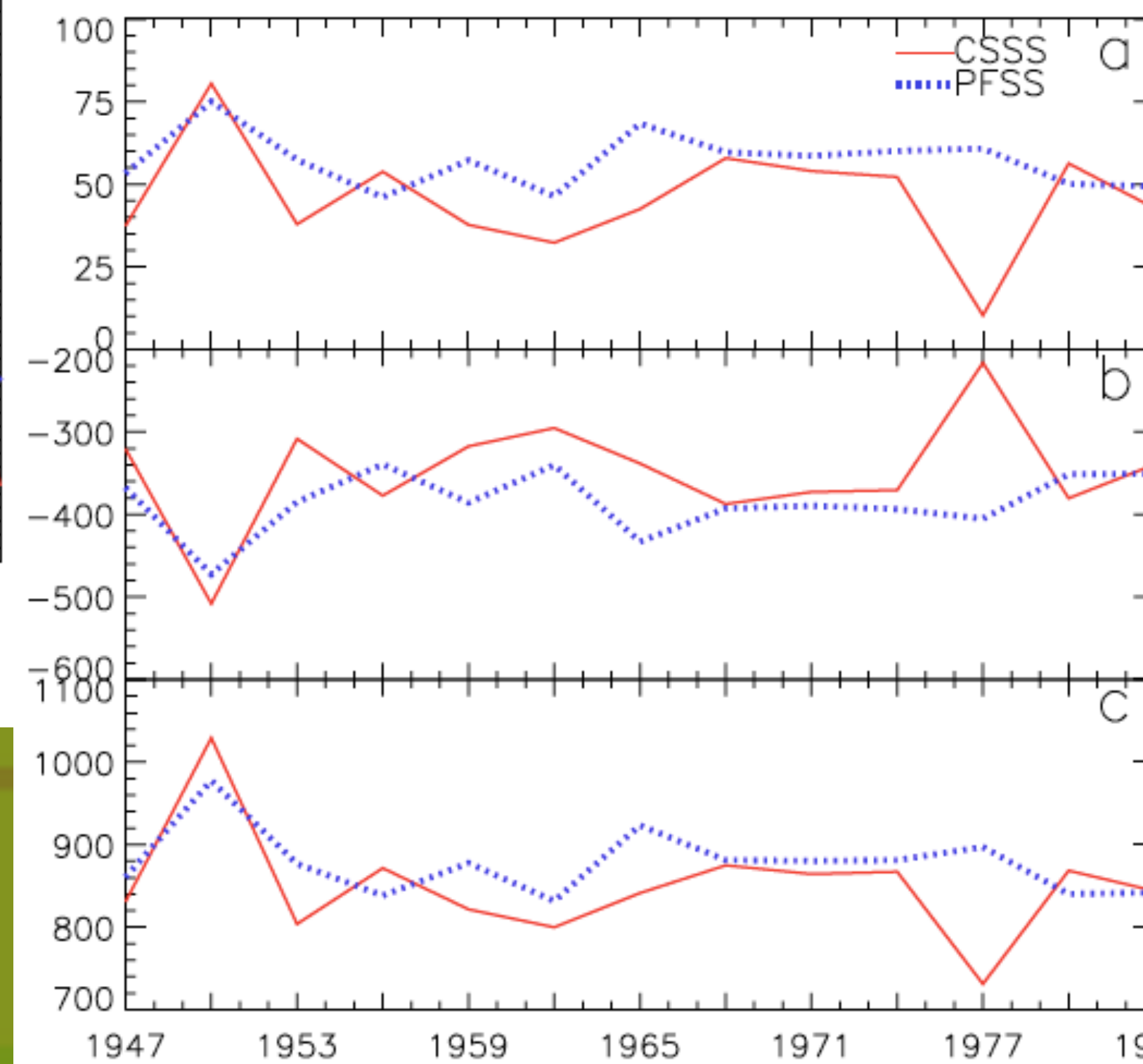
variation of the fitted coefficients a, b, c during CRs 1912 - 2104

Poduval, 2016: ApJ, 827, L6

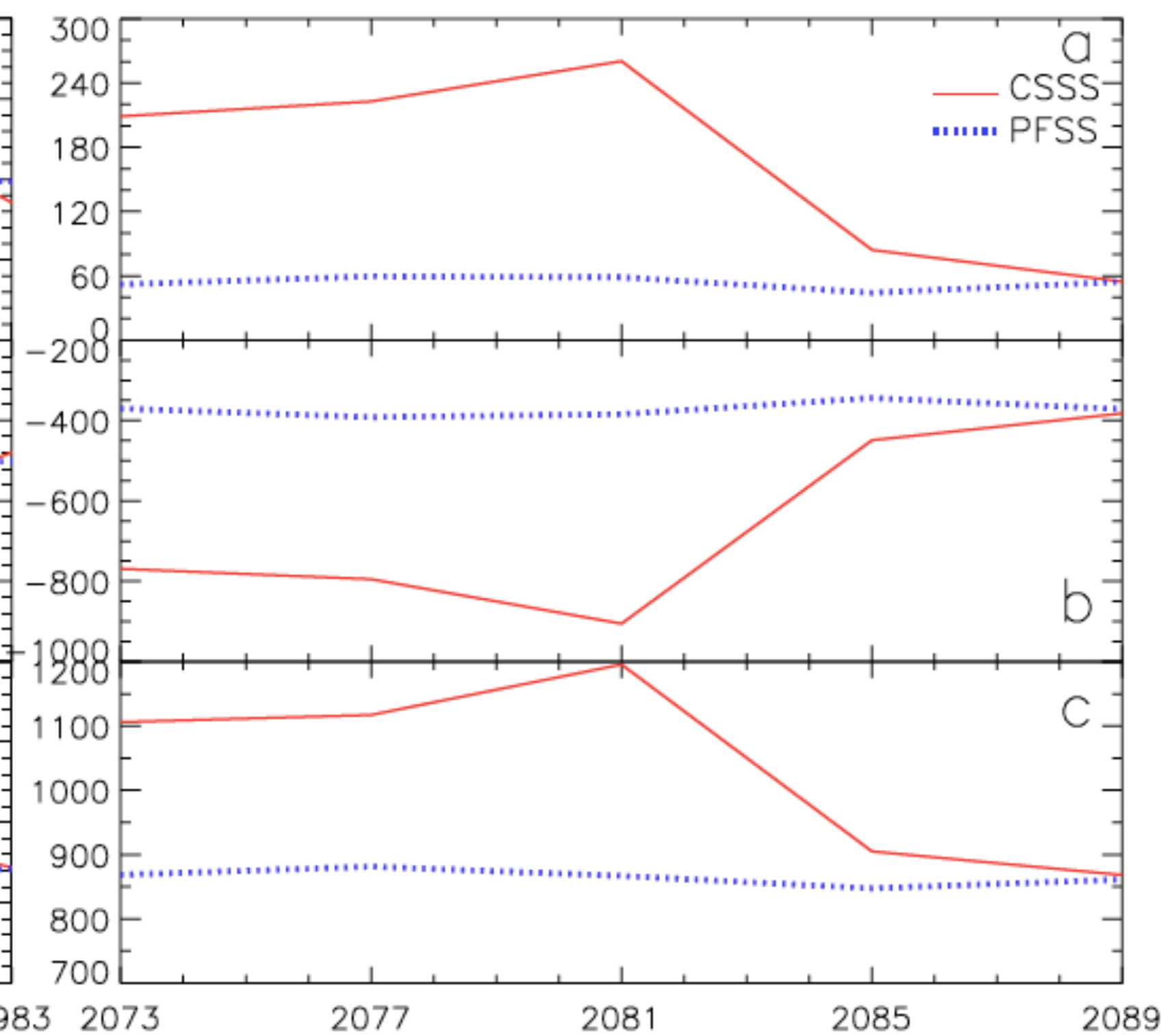


(a) CRs 1912–2104 (1996–2010)

**P2** **P3**

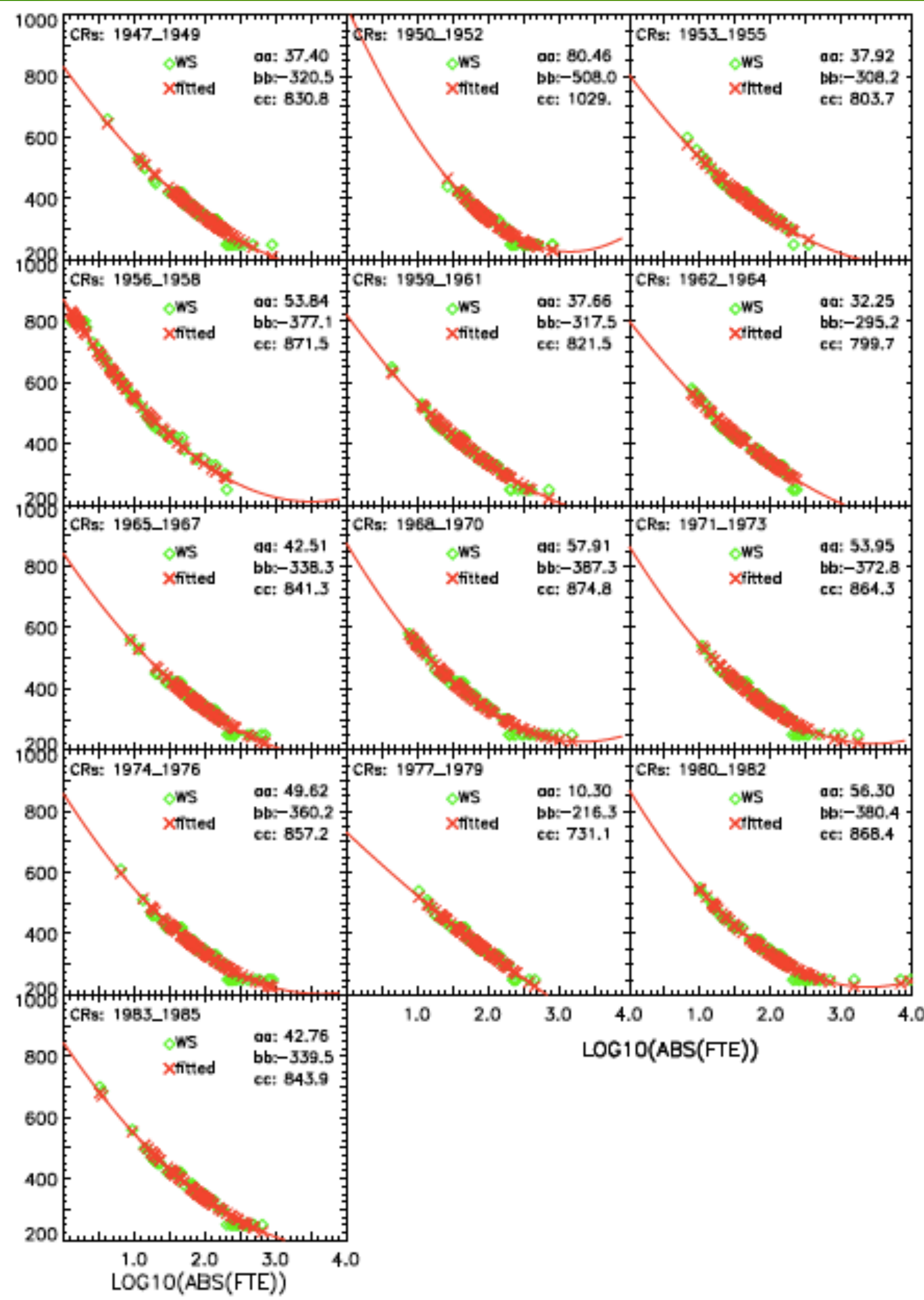
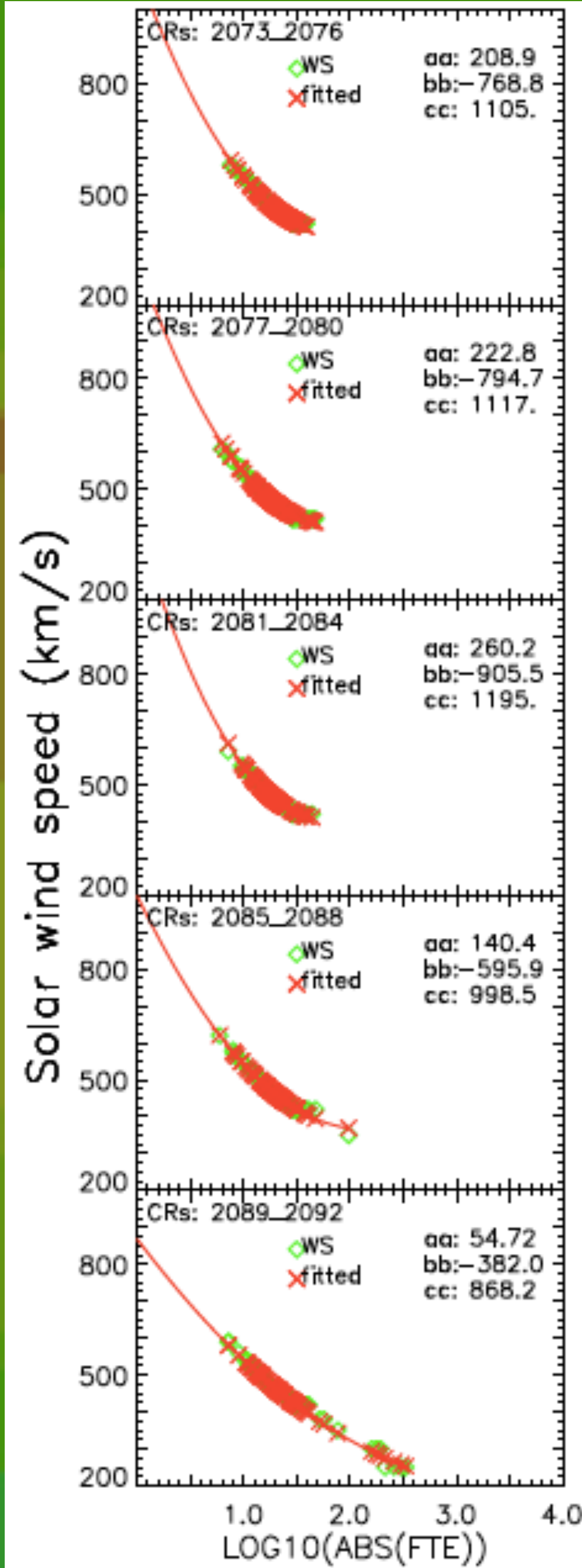


(b) CRs 1947–1985 (1999–2002).



(c) CRs 2073–2092 (2008–2010).

**Poduval, 2016: ApJ, 827: L6**

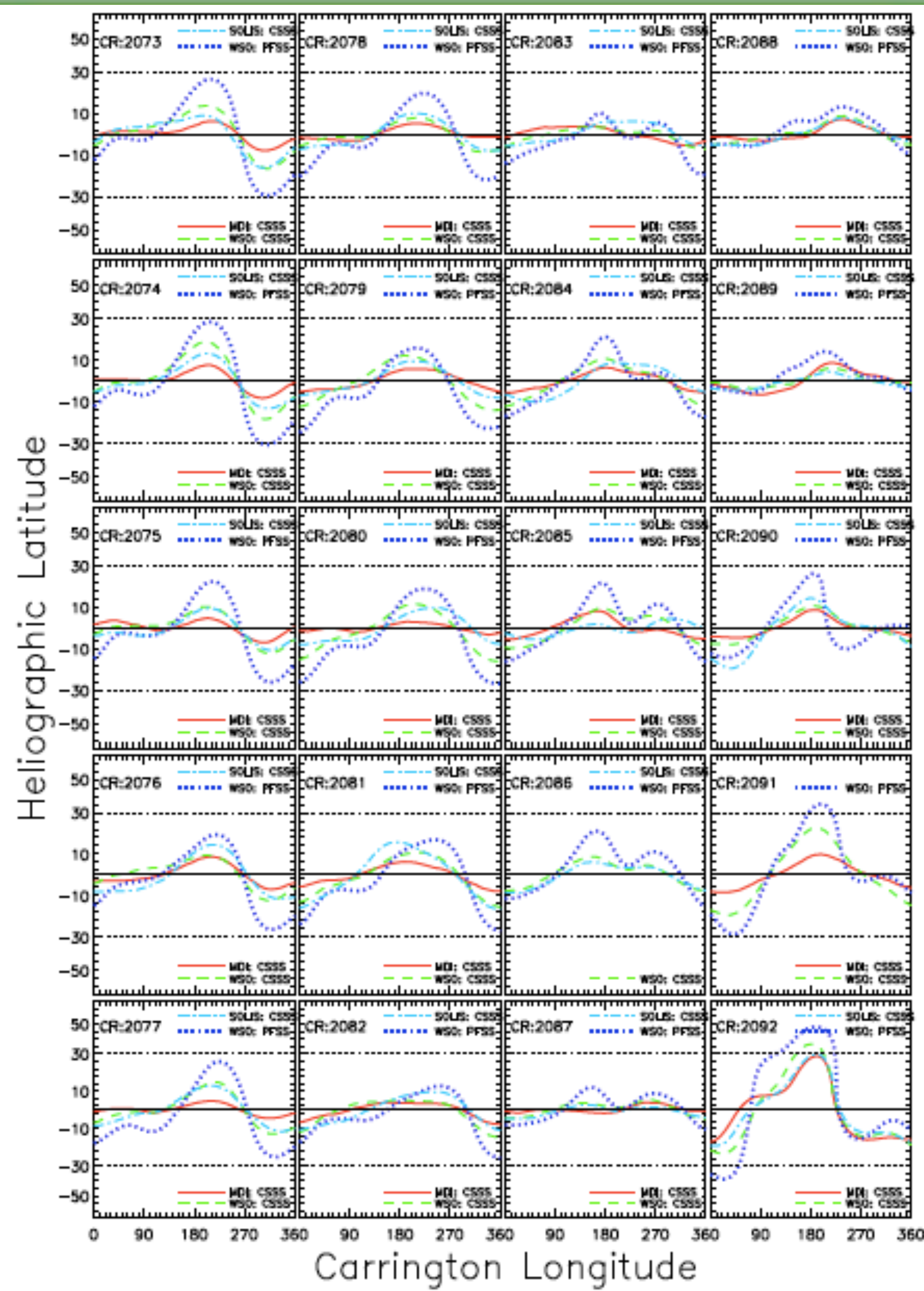


variation of the coefficients of the fitted quadratic function during a solar cycle

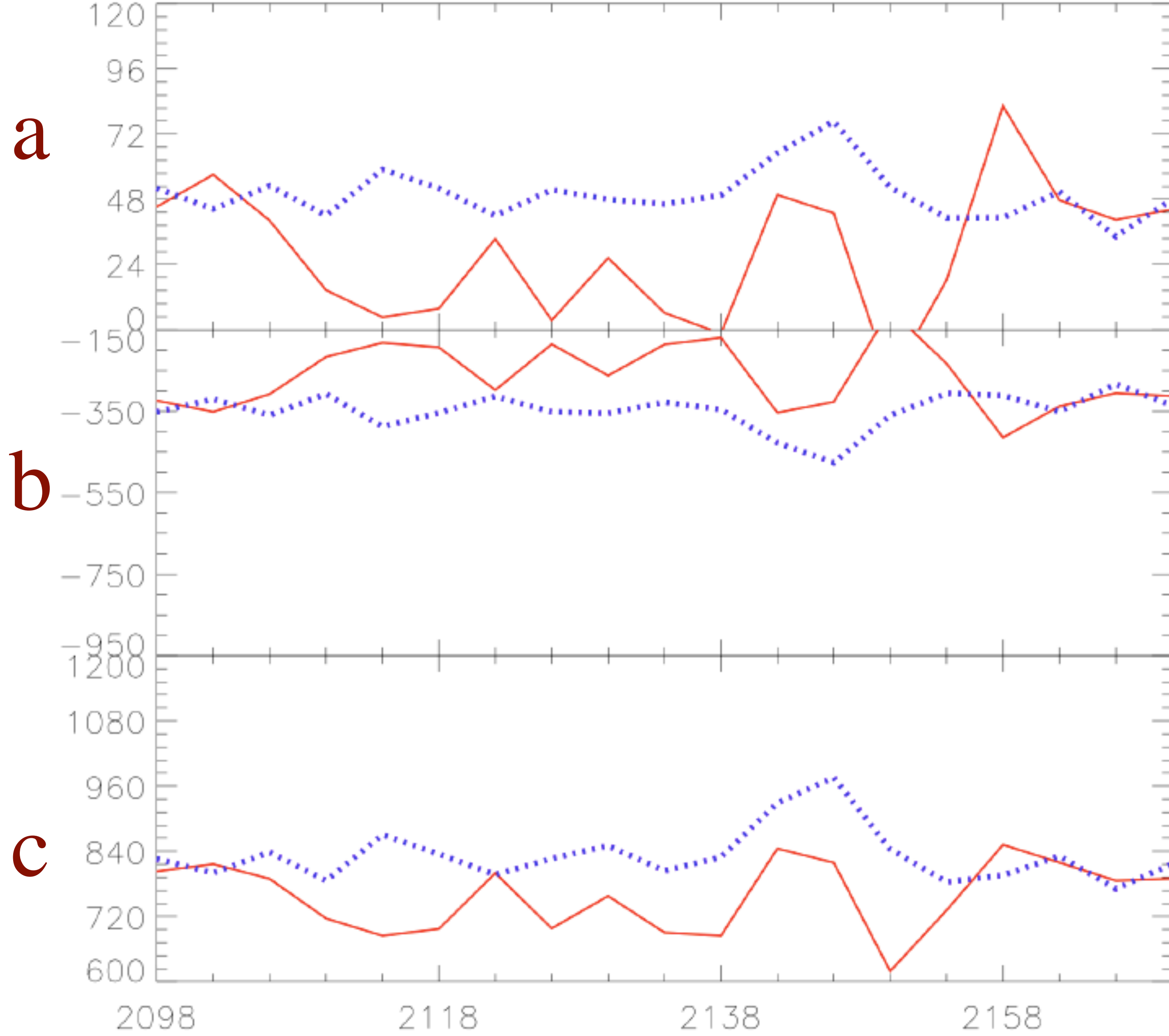
$$sws = a * (fte)^2 + b * fte + c$$

almost linear fit

Poduval, 2016: ApJ, 827, L6



# *HCMS DATA*



**Carrington Rotation**

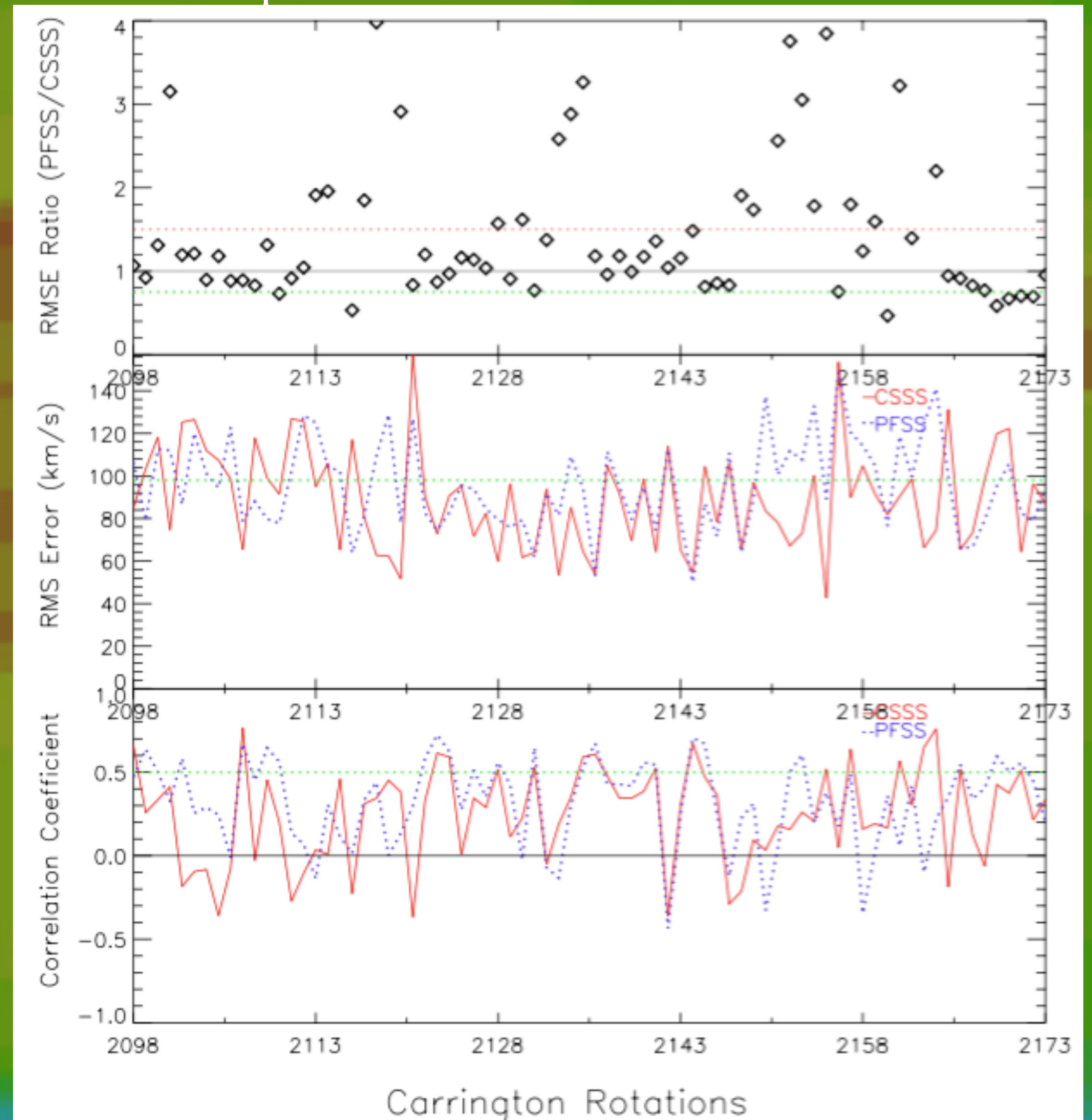
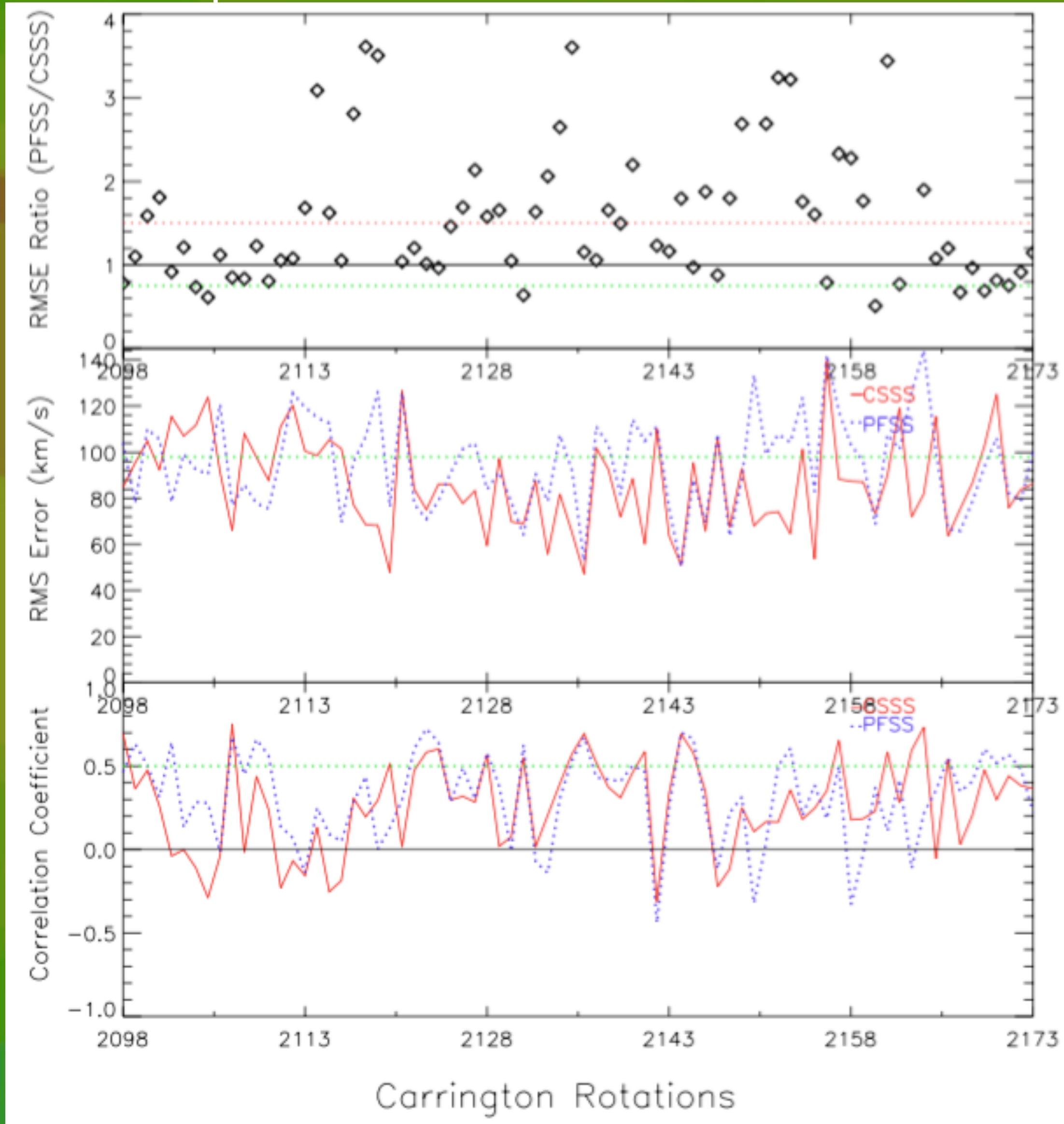
**HMI data 2010–2016**

$$\text{sws} = a * (\text{fte})^2 + b * \text{fte} + c$$

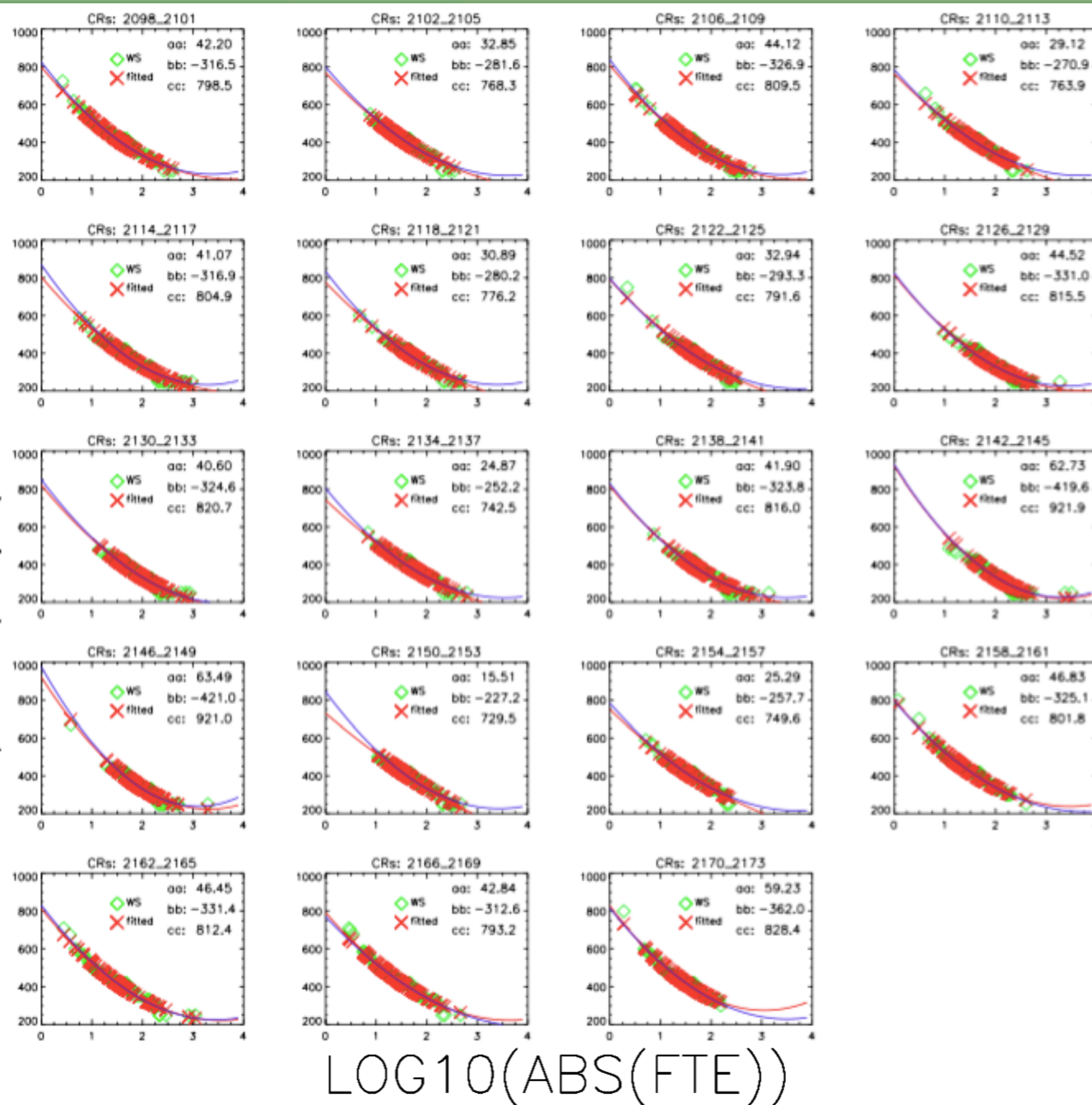
# HMI data 2010-2016

$r_{cp} = 2.5R_s$  t\_test: > 90%

$r_{cp} = 2.25R_s$  t\_test: > 95%



Solar wind speed (km/s)



# HMI data 2010-2016 CRs 2098-2173

variation of the  
coefficients of the  
fitted quadratic  
function during a  
solar cycle



# CONCLUDING REMARKS

Investigation of the controlling influence of magnetic field on solar wind outflow

$$\text{FTE} = B_r/B_r(\text{ss}) * (R/R_{\text{ss}})^2$$

$B_r$ ;  $R$  : photospheric magnetic field & radius

$B_r(\text{ss})$ ;  $R_{\text{ss}}$ : source surface magnetic field & radius

# CONCLUDING REMARKS

temporal variation  
of FTE-SW speed  
relationship

- quadratic term in the best fit to SWS-FTE
- nearly disappearing during certain solar rotations, giving rise to an almost linear fit
- **significant in CSSS model**
- nearly negligible in PFSS model

# COMBINING REMARKS

to establish Sun—Solar  
wind connectivity:

mapped observed solar wind back  
to corona & predicted speed using  
magnetic field properties at the  
foot points, represented by FTE

PFSS: solar wind mapped back to  $2.5 R_{\text{sun}}$

CSSS:  $15R_{\text{sun}}$  — avoids the region below Alfvén critical point,  
where SW is still accelerating

# CONCLUDING REMARKS

PFSS: magnetic field constrained to be radial at  $2.5 R_{\text{sun}}$  —>  
larger uncertainties in the photospheric footpoints

CSSS: magnetic fields allowed to be nonradial between  $2.5$  &  $15R_{\text{sun}}$

— better performance of CSSS model indicates —>  
**solar wind sources are traced more accurately**  
— nearly twice better than PFSS & WSA/ENLIL

# COMPUTING REMARKS

**CSSS: source surface location free to vary  
— great advantage —  
can be placed outside Alfvén critical point**

**coronal and heliospheric magnetic  
field strengths can be computed/predicted and compared  
with in situ measurements**

# COMPARING REMARKS

For a given synoptic map (WSO; NSO/KittPeak):

CSSS model performs 1.5 – 2 times better  
than PFSS & WSA/ENLIL models,  
taking RMS error as the metric of accuracy

# COMPLETING REMARKS

*Solar Orbiter & Solar Probe Plus*

obtain information on coronal conditions within  $40 R_{\text{sun}}$

CSSS predictions will be useful in interpreting the results ...