

ABSTRACT

13

14 An existing empirical model of the electron fluxes at geosynchronous orbit (GEO) is extended radially
15 outwards in the equatorial plane to ~6-20 Earth radii (R_E) using observations from the Research with
16 Adaptive Particle Imaging Detectors (RAPID) instrument on the CLUSTER spacecraft. The new
17 model provides electron flux predictions in the energy range ~45 eV to ~325 keV, as a function of
18 local-time and radial distance from the Earth, with geomagnetic activity parameterised by the Kp
19 index. The model outputs include the mean and median electron fluxes along with the standard
20 deviation, and the 5th, 25th, 75th and 95th percentiles for the given input conditions. The flux outputs
21 from the model are tested against in-sample observations from CLUSTER/RAPID, and out-of-sample
22 observations from THEMIS/SST with good prediction efficiency during quiet and active intervals, as
23 quantified by standard methods. This new model is intended to supplement current predictive
24 capabilities in the magnetosphere for spacecraft operations, as well as providing the necessary
25 boundary and/or input conditions for computational/physical models of the magnetospheric system
26 when the necessary in-situ observations are unavailable. Whilst the new model can certainly not
27 reproduce the rapid small-scale fluctuations inherent in spacecraft observations, it does provide a
28 coarse capability to predict the flux of electrons close to the equatorial plane, based on radial distance,
29 energy, local time, and geomagnetic activity, in regions where no in-situ assets are available.

30

31 **1. Introduction**

32 When forecasting the flux environment in the magnetosphere, one of two approaches is generally
33 followed: (A) theoretical *physical modelling* of the magnetosphere is carried out, based on currently
34 understood physical laws, whereby equations are solved computationally to provide flux estimates for
35 the users. Such an approach is usually regarded as best practice for complete physical understanding,
36 although it can be computationally expensive and relies on a well-developed understanding of the
37 system being modeled (e.g. *Fok et al. [1999]*; *Jordanova et al. [1997; 2003; 2018]*, *Lyon et al. [2004]*;
38 *Zaharia et al. [2005, 2006]*; *Tóth et al. [2005]*; *Liemohn et al. [2006]*); (B) *empirical modelling* of the
39 magnetosphere is carried out, whereby previously measured values of the flux under various conditions
40 are used to make predictions of what the future fluxes will be under similar conditions. This approach
41 relies on having extensive measurements of previous observations, under all necessary conditions, in
42 order to accurately predict future fluxes. Given the vast databases of flux observations in the
43 magnetosphere that are now available, this study utilizes the latter approach to extend a previously
44 implemented empirical model.

45
46 The majority of military, operational and communication satellites operate in the inner magnetosphere,
47 either at geosynchronous orbit (GEO) at $6.6 R_E$ (Earth radii), or closer to the Earth, and efforts to
48 predict the electron and ion fluxes in this inner-magnetosphere region have received most effort to date
49 (e.g. *Thomsen et al. [2007]*; *O'Brien [2007]*; *Sicard-Piet et al. [2008]*; *O'Brien and Lemon [2009]*;
50 *Hartley et al. [2014]*; *Ganushkina et al. [2013; 2014; 2015]*; *Boynton et al. [2013]*; *Ginet et al. [2014]*;
51 *Sillanpää et al. [2017]*; *Coleman et al. [2018]*). The perceived "priority mismatch" between the
52 operational and scientific communities is also worthy of note [*O'Brien et al., 2013*]. Further from
53 GEO satellites are primarily deployed for scientific exploration in the outer magnetosphere and solar-

54 wind. However, the need for accurate predictions in these regions still exists. During the early space
55 age, observations in the outer magnetosphere were sparse. However, over the past few decades there
56 have been several long-term missions that have greatly extended the amount of data gathered
57 throughout the outer magnetosphere (e.g. CLUSTER, THEMIS, GEOTAIL, MMS, etc). Models of the
58 different regions sampled have been constructed using some of these data, for example the ion
59 temperature, density, and pressure of the central plasma sheet [Tsyganenko and Mukai, 2003]. In the
60 current study we utilize electron observations from Imaging Electron Spectrometer detector (IES) that
61 forms part of the Research with Adaptive Particle Imaging Detectors (RAPID) instrument on the
62 CLUSTER spacecraft [Wilken *et al.*, 1997; 2001; Daly and Kronberg, 2018; Daly, 2018] to extend
63 empirical models of ion and electron fluxes at GEO [Denton *et al.*, 2015; 2016]. These previous
64 models, based on data from the LANL satellites, cover ion and electron energies from ~1 eV to ~40
65 keV. In the current study, we use the same empirical modelling framework to develop flux predictions
66 in the region radially outwards from GEO between ~6 and 20 R_E , close to the geomagnetic equator.

67

68 The new model is described in detail below. Comparisons are presented showing model predictions
69 alongside in-situ data from a variety of sources. Goodness-of-fit calculations between the model fluxes
70 and the observations are also presented. Finally, we discuss future work plans for possible
71 improvements in empirical modeling and further extensions of the other models in both the inner and
72 outer magnetosphere.

73

74 **2. Data and Model Description**

75 Our previously developed models (based on data from Los Alamos National Laboratory satellites)
76 provide users with predictions of the ion and/or electron fluxes at GEO for any magnetic local time

77 (MLT), any energy (from ~ 1 eV to ~ 40 keV), and any activity, parameterized by either Kp or $-v_{sw}B_z$
78 [*Denton et al.*, 2015; 2016]. In the latter case, true forecasting of the fluxes is possible based on the
79 upstream solar wind conditions (e.g. from the ACE or DSCOVR satellites), with a lead time of ~ 1
80 hour. The mean, median, and standard deviation in each model-bin are calculated, while bi-linear
81 interpolation allows flux predictions to be made for any chosen input values. Both models return
82 predictions of the 5th, 25th, 75th, and 95th percentiles of the flux values for any chosen combination of
83 input values. In the $-v_{sw}B_z$ version of the model, the data are binned according to the prevailing
84 upstream solar wind electric field ($-v_{sw}B_z$), rather than the Kp index, and for this version tri-linear
85 interpolation is required to provide the flux forecasts. Due to the smaller database of
86 CLUSTER/RAPID measurements, particularly during highly disturbed periods, the Kp index (a
87 discrete variable) is used for the new flux model, rather than $-v_{sw}B_z$.

88

89 Earlier in the mission, data from the Cluster Ion Spectrometry (CIS) instrument ($\sim 5-32000$ eV/q) were
90 used to derive averaged ion parameters in the magnetosphere, [*Denton and Taylor*, 2008] and GPS
91 observations were also used to infer electron properties in this region [*Denton and Cayton*, 2011]. The
92 current study, and the model development, has evolved, in a somewhat convoluted manner, from those
93 previous efforts.

94

95 The original models were coded in FORTRAN 77 (F77) although F90 and Python versions of the
96 models have also been developed. Testing of these models has been carried out against out-of-sample
97 observations by AMC-12 [*Denton et al.*, 2015; 2016]. During both calm and disturbed periods a good
98 match is found between data and observations when quantified by either Root-Mean-Squared-
99 Deviation (RMSD) or Normalized Root-Mean-Squared-Deviation (NRMSD). These metrics have

100 previously been used to assess flux model performance in comparison with data (e.g. *Ganushkina et al.*
101 [2013; 2014; 2015]; *Liemohn et al.* [2018]. See also *Legates and McCabe Jr.* [1999]). Our previously
102 constructed $-v_{sw}B_z$ model has the ability to make true forecasts of the expected flux (if the upstream
103 value of the $-v_{sw}B_z$ parameter is known). However, when compared with measured fluxes the
104 performance of the Kp version of the model compared with the $-v_{sw}B_z$ version of the model (as
105 quantified by RMSD and NRMSD values) is quite similar.

106

107 One limitation of these current models is that they only output flux predictions at GEO. The new
108 model introduced below is a first attempt to extend the current models radially outwards from GEO
109 and is based on electron observations made by the C4 (Tango) spacecraft during the years 2001-2016,
110 inclusive. The CLUSTER/RAPID/IES omnidirectional flux data (using calibration file
111 RAP_IES_C4_V332.CAL) were downloaded directly from the Cluster Science Archive in March
112 2018,. These data take into account the decay/degradation of the IES detector response with time as
113 detailed in full in *Kronberg et al.* [2018] and *Daly* [2018]. Additionally, it has been shown that in the
114 inner magnetosphere, there is contamination of the RAPID/IES fluxes due to energetic electrons and
115 ions present in the radiation belts and ring current [*Kronberg et al.* 2016; 2018]. This contamination
116 varies with radial location and affects all energy channels, although in the region $L^*=6-9$, the
117 contamination is greatest in the top three energy channels and is dominated by >400 keV electrons.
118 Over $L^*=6-9$ and the top three energy channels, the simulated contamination level ranges from 4.9% to
119 34.55% (using mean fluxes from the AE9/AP9 models) [*Kronberg et al.*, 2016, Table 2]. The flux data
120 used in the model have not been corrected for contamination; users should be aware of the
121 contamination issue above 95 keV and refer to *Kronberg et al.* [2016] for further insight into the
122 problem. One further issue affects the fluxes from RAPID/IES, namely a very low non-zero

123 background (well-below the one-count-per-second level), that is likely due to solar-cycle modulated
124 cosmic rays and has been quantified in detail by *Kronberg et al.* [2018] (see also *Smirnov et al.*
125 [2019]). It was decided not to subtract these low background values from the fluxes prior to inclusion
126 in the model. This decision was made based on the primary area of interest for the model being the
127 magnetosphere, rather than regions beyond the magnetosphere, in the solar wind, where the
128 background flux values are more of an issue. The model data grid itself (see Figure 3) does not specify
129 regions inside or outside the magnetosphere, being based on spatial location only, and hence flux
130 measurements from the solar wind, and the magnetosphere can both be present in a single bin. (Note:
131 Model users concerned with the low flux values present in the solar wind, where background noise
132 levels may be important, are referred directly to detailed work of *Kronberg et al.* [2018] on this topic).

133

134 In order to avoid complications in measuring and fully quantifying the pitch-angle distribution of the
135 electrons along a particular magnetic field line, this initial version of an outer magnetosphere flux
136 model only covers the region close to the GSM-xy plane (specifically where GSM-z values are
137 restricted to $\pm 2 R_E$). In the inner magnetosphere, this plane corresponds well with the location of the
138 neutral current sheet, although at greater radial distances from the Earth there can be large differences
139 between the current sheet location and the GSM-xy plane.

140

141 Figure 1 is a plot of example omnidirectional fluxes measured by CLUSTER/RAPID from 8th August
142 2002 to 26th September 2002. Due to the highly elliptical orbit, CLUSTER repeatedly cuts through
143 the GSM-xy plane (and the location of this intercept migrated as the mission orbit evolved). Here,
144 every 100th data point is plotted along the orbit for all spatial locations, however, only data from 6-20
145 R_E and from GSM-z ± 2 are included in the model. This spatial region was chosen to avoid high levels

146 of contamination of the data in the region due to the radiation belts, but also to provide overlap
147 between this new model and our previous models that are valid at GEO (6.6 R_E). Figure 2 contains
148 plots of the flux distribution values for each of the six energy ranges of the RAPID instrument (the
149 approximate energy bins are 39.2-50.5, 50.5-68.1, 68.1-94.5, 94.5-127.5, 127.5-244.1, and 244.1-406.5
150 keV, with approximate centroid energies of 45, 59, 81, 110, 185, and 325 keV). In total, more than 3
151 million individual data points (from 2001 to 2016, inclusive) are used in the construction of the new
152 model.

153

154 Following the same model framework as introduced in *Denton et al.* [2015], in the current study all
155 available RAPID observations are binned into one of 14 bins in radial distance from 6 to 20 R_E (each
156 bin covers 1 R_E in radial distance), into one of 24 bins in local time (each bin covers 1 hour), and into
157 one of the six energy bins from ~40 to 406 keV. This binning used here is carried out for six discrete
158 values of the Kp index [*Bartels et al.*, 1939; *Thomsen*, 2004]. The Kp bins used are: $0_0 \leq Kp < 1_0$,
159 $1_0 \leq Kp < 2_0$, $2_0 \leq Kp < 3_0$, $3_0 \leq Kp < 4_0$, $4_0 \leq Kp < 5_0$, and $Kp \geq 5_0$ (note: sparse flux data for Kp values > 5
160 necessitate the use of only six bins of Kp). Following the binning, the mean, median, and standard
161 deviation of the values in each bin are calculated along with the 5th, 25th, 75th and 9th percentiles of
162 all values. The resulting data-grid is a four-dimensional array (a tesseract) of each of these seven
163 quantities. Figure 3 contains example plots of the mean flux in each bin at an energy of 185 keV for
164 the six Kp bins listed above. The total number of data points contributing to each plot is also listed
165 (with example count plots provided as supplementary material). In regions beyond ~18 R_E there are
166 far fewer counts contributing to the model fluxes and these regions should be treated with caution.

167

168 The general morphology of the electrons in these plots is that the flux is greatest close to 6 R_E (i.e. near

169 the outer edge of the electron radiation belt), and greater on the nightside than on the dayside. Dayside
170 fluxes beyond $\sim 10 R_E$ largely represent sampling in the solar-wind plasma. Previous work by *Åsnes et*
171 *al.* [2008] defined identification criteria for particular regions in the magnetosphere (for ions) based on
172 in-situ values of ion parameters such as plasma β_i and ion pressure, P_i . Here, the intention is not
173 specifically to separate out different regions in any spatial location. Rather, the aim is to quantify the
174 flux to be encountered by a satellite, regardless of which region it is situated within, and based on the
175 prevailing conditions, parameterized (solely in this model) by the Kp index.

176
177 Figure 4 contains further example plots of the mean electron flux for the Kp range $2_0 \leq Kp < 3_0$ at all six
178 energies listed above. The total number of data points contributing to each plot is also provided. As in
179 Figure 3, the fluxes shown here are greatest on the nightside of the Earth, and diminish with increasing
180 distance. Clearly, there is some inherent scatter between adjacent bins in the values shown in Figures 3
181 and 4. In order to provide model flux values in bins without any data, and also to avoid sharp jumps in
182 the flux values between each bin, we carry out limited interpolation and smoothing on these data. The
183 flux values plotted in Figure 5 are the same as those in Figure 4, but have been subjected to bi-linear
184 interpolation in radial distance and local time, and also smoothed using a box-car average over three
185 bins in local time and radial distance (cf. *Denton et al.* [2018]). The caveat remains that in regions
186 beyond $\sim 18 R_E$ there are far fewer counts contributing to the model fluxes and these regions should be
187 treated with caution.

188

189 **3. Comparison of Model Predictions with Observations**

190 In order to compute a goodness-of-fit parameter for the model flux predictions, when compared to the
191 actual observations, we follow previous practice [*Legates and McCabe Jr.*, 1999; *Ganushkina et al.*,

192 2015; Denton et al., 2016] and utilize: (i) root-mean-squared deviation (RMSD), and (ii) normalized
 193 root-mean-squared deviation (NRMSD). Specifically,

$$194 \quad \text{NRMSD} = \text{RMSD} / (\bar{x}) = \sqrt{\frac{\sum_{x=1}^n [(x_{i, \text{model}} - x_{i, \text{measured}})^2]}{n}} / (\bar{x}) \quad (1)$$

195 where n is the number of data points over the range of the comparison and \bar{x} is the mean value of the
 196 parameter x during a specified interval ($x = \log_{10}[\text{flux}]$ in this case). A value of zero for either of these
 197 parameters would indicate the predictions are a perfect match to the observations during the interval
 198 under consideration. NRMSD values < 1 are generally considered to indicate a reasonable match
 199 between model and data.

200

201 **3.1 Comparison with in-sample CLUSTER/RAPID observations**

202 A comparison of model predictions with CLUSTER/RAPID fluxes is a so-called *in-sample*
 203 comparison, since the CLUSTER/RAPID fluxes themselves contributed to the flux model in the first
 204 place. However, such a comparison is still a useful check on the temporal and spatial accuracy of the
 205 model, and its integrity, under a variety of conditions. Figure 6 shows a comparison of the model
 206 predictions and the observations from CLUSTER/RAPID, with the fluxes plotted as a function of day-
 207 of-year (DOY) during a 20-day period in 2004. The mean flux prediction from the model is shown in
 208 blue with the observed data plotted in red (for clarity, the percentiles of the model flux are omitted).
 209 The Kp index is also shown, along with RMSD and NRMSD values computed for this interval.
 210 During this period the CLUSTER orbit apogee was around 18 R_E close to local midnight and the
 211 spacecraft repeatedly cut through the GSM-xy plane once every ~ 48 hours. Since the model is only
 212 applicable close to this plane, the data and model predictions are only shown in this region ($\text{GSM-z} <$
 213 ± 2). The Kp index varied considerably during this period as a high-speed solar-wind stream (HSS)

214 passed by the magnetosphere (e.g. *Denton et al.* [2006]; *McPherron and Weygand*, [2006]; *Borovsky*
215 *and Denton* [2006]). However, the model flux predictions are generally well-aligned with the actual
216 observations (NRMSD<0.5), although it is clear that they fluctuate considerably less than the observed
217 data values. This is unsurprising given that the model values are dependent only upon Kp, local time,
218 and radial distance from the Earth, and these parameters change very slowly. The model values at the
219 highest Kp values are somewhat lower than observed but otherwise fall somewhere in the middle of
220 the observed fluxes throughout this interval.

221
222 Figure 7 contains plots of the data and model fluxes from DOY 258, at a higher time resolution, and at
223 multiple energies, but in a similar format as in Figure 6. Here, the model flux predictions (blue) are
224 again plotted alongside the observed fluxes (red). The mean, median, and percentiles are all plotted to
225 demonstrate the range of model flux prediction values during this period. The small-scale fluctuations
226 in the CLUSTER/RAPID flux observations during this pass through the equatorial plane are now much
227 more evident. The model values are inherently smooth due to the 3-hour cadence of the Kp index and
228 cannot represent the small-scale temporal and/or spatial fluctuations in the flux that are measured by
229 RAPID. At all six energies the model predictions show only small variations, although as indicated by
230 the RMSD and NRMSD values, they are generally reasonably well-aligned in absolute magnitude to
231 the observations. Other in-sample comparisons carried out show similar model-data agreement during
232 quiet and active periods.

233

234 **3.2 Comparison with out-of-sample THEMIS/SST observations**

235 As in the evaluation of previous models, in addition to in-sample testing, it is also necessary to perform
236 a 'real-world' test with data that are not incorporated with the actual model construction itself. Here,

237 this out-of-sample testing is carried out with in-situ flux observations from the Solid State Telescope
238 (SST) carried on board the THEMIS-C spacecraft. The SST instrument measures electrons in the
239 range >30 keV to >300 keV [Angelopoulos, 2008; Angelopoulos et al., 2008] and here the fluxes are
240 examined during an active period in 2008. At this time the orbit of THEMIS-C was aligned for studies
241 of the magnetotail with an apogee in the pre-midnight sector, within the spatial domain of the model.

242

243 Note: Previous work identified offsets between measured electron fluxes from the THEMIS/SST and
244 the Synchronous Orbit Particle Analyzer (SOPA) onboard the LANL-01A satellite [Ni et al., 2011].
245 That study concluded "*Compared to the LANL-01A SOPA data, the THEMIS SST data underestimate*
246 *the electron fluxes within a factor of 2 for the 40–140 keV energy channels and overestimate the*
247 *electron fluxes within a factor of 3 for the 204–2159 keV energy channels*". Given the SST data used
248 here are simply for large-scale model verification, no inter-calibration or cross-calibration between
249 THEMIS/SST, LANL/SOPA, and/or the CLUSTER/RAPID electron fluxes has been attempted.

250

251 Figure 8 shows example electron fluxes at ~ 142 keV (Level 2) measured by THEMIS/SST during a 6-
252 day period in 2008. This reasonably active period encompasses the passage of a HSS past the
253 magnetosphere, with K_p varying from ~ 0 to 6-. The SST electron flux during this interval is plotted
254 along with model predictions, in the same format as Figure 6 (red=data, blue=model, purple= K_p
255 index). Median and percentile values are again omitted for clarity. The THEMIS-C orbit in 2008 was
256 close to the equatorial plane and hence, there is a higher proportion of fluxes measured within the
257 model domain ($\sim 6-20 R_E$ and ± 2 GSM-z) than for the CLUSTER satellite. It is also worth noting that
258 in contrast to the CLUSTER satellite, THEMIS orbits rapidly in radial distance and local-time, whilst
259 the CLUSTER orbit cut through the equatorial plane repeatedly, at a roughly constant spatial position

260 and fixed local time. During the interval plotted in Figure 8 the model fluxes track the large-scale
261 variations observed by THEMIS/SST very well, during both quiet and active phases of the HSS,
262 (RMSD~0.94, NRMSD~0.2), with the absolute magnitude of the flux well-aligned between model and
263 data. The model does not capture the small-scale variations, but does capture the broad flux level at all
264 points during this period.

265

266 Figure 9 contains a series of plots detailing the electron fluxes at four representative energies (53 keV,
267 96 keV, 142 keV, and 207 keV) during DOY 69-70 of the active interval shown in Figure 8. In this
268 figure, the median and percentiles are also indicated by the dashed lines. During this interval the
269 THEMIS orbital apogee was on the nightside in the post-dusk sector (~21 LT). The model again is
270 well-aligned with the absolute magnitude of the electron flux at these four energies, although, similarly
271 to the CLUSTER/RAPID comparison with the model fluxes in Section 3.1, here the model does not
272 capture more rapid changes evident in the observations (that are likely due to small-scale spatial and/or
273 temporal features). The RMSD and NRMSD values for the four energies plotted provide a quantified
274 measure of the goodness-of-fit of model-to-data.

275

276 **3.3 Comparison with original LANL/MPA flux model**

277 As a final check of the model outputs, we perform a test comparison of the original Kp-based flux
278 model at geosynchronous orbit with the new model in the same location. Figure 10 contains a
279 summary of the results for Kp=2₀. In general the absolute fluxes for each model are in good agreement
280 at GEO (the LANL flux model values fall within the inter-quartile range of the new CLUSTER model)
281 except in the region centred on local midnight (20:00-04:00 MLT). Here, the CLUSTER/RAPID
282 model fluxes are substantially lower than the model fluxes from LANL/MPA. No clear reason for this

283 discrepancy has been identified, except to state that there are significantly more individual
284 observations in this region from LANL/MPA (seven satellites with almost two full solar-cycles of
285 coverage), compared to CLUSTER/RAPID. Hence, at GEO, our general recommendation is to use the
286 original model.

287

288 **4. Discussion and Summary**

289 Predicting the flux environment within the magnetosphere is of interest to the scientific and operational
290 community. Accurate predictions help to confirm our understanding of the physics operating in the
291 region, whilst also helping to develop, build, and maintain robust satellites and on-orbit measurement
292 capabilities. Such predictions can follow either a theoretical or an empirical methodology and here we
293 have utilized the latter. Whilst much effort to date has considered the region close to Earth (either at
294 GEO, or inwards of GEO in the inner magnetosphere), the study described here has developed flux
295 predictions further afield in the magnetosphere. Electron flux values are predicted using an empirical
296 model, in the region from ~ 6 -20 R_E , and provided to a user who inputs any permissible value of K_p ,
297 radial distance, local-time, and energy. The flux values returned to the user are, based on the model
298 testing described above, a good match to the values actually measured on orbit by CLUSTER/RAPID
299 and THEMIS/SST. Flux values on the nightside of the Earth, beyond GEO, are particularly useful
300 from a predictions perspective since the plasma sheet material on the nightside is likely to be
301 convected to the inner magnetosphere at some point in future, due to enhancements in the convective
302 electric field [Lavraud *et al.* [2005, 2006]; Denton *et al.* [2007; 2009; 2017]).

303

304 However, there are many limitations to this newly developed model and these include: (i) the limited
305 energy range; (ii) the lack of ion predictions; (iii) the lack of compositional information; (iv) the

306 inability of the model to capture small spatial/temporal scale fluctuations observed in the in-situ data.
307 Our intention is to address these issues by adding further data sources (particularly ion data) as time
308 and availability permit.

309

310 The observed fluxes fluctuate much more rapidly than the three-hour time cadence of the Kp index,
311 typically in response to dynamic changes in the solar wind that have timescales much less than one
312 hour in duration [*King and Papitashvili, 2005*]; (v) the Kp index itself is calculated from the K index,
313 and this is primarily based on magnetometer data taken at the Earth's surface. As a result, estimates of
314 flux at Earth (geosynchronous orbit), based on the Kp index, are only available on an instantaneous
315 basis (i.e. a 'nowcast'), rather than being true advance predictions (i.e. a 'forecast').

316

317 Despite these limitations, the flux model is a useful first step on which to build in future. Potential
318 pathways to developing the model to address the above limitations include: (i) use of electron (and ion)
319 fluxes measured by other instruments on CLUSTER or on other satellites (e.g. GOES, THEMIS,
320 GEOTAIL, etc.); (ii) use of electron flux and ion flux and/or compositional information in the inner
321 magnetosphere (e.g. from the Radiation Belt Storm Probes mission); (iii) ingesting more data into the
322 model to decrease the spatial scale of the bins; (iv) cross-calibration of the fluxes between each
323 satellite to improve overall compatibility of including data from different instruments/missions; (v)
324 changing the activity index from Kp to $-v_{sw}B_z$ or to a physically-derived coupling function (e.g. *Newell*
325 *et al.* [2007], *Borovsky* [2013, 2014], and *McPherron et al.*[2015]), if the abundance of data within the
326 model makes this a possibility. This would improve temporal resolution of the model predictions and
327 permit true forecasts to be made by driving the model direction with upstream solar-wind conditions.
328 Such developments are planned in the future.

329

330 In summary:

331

332 1. A new model of the electron fluxes in the region from 6-20 R_E , close to the GSM-xy plane, has been
333 developed. This model is parameterised by local time, radial distance from Earth, energy, and the Kp
334 index.. The model provides estimates of the mean electron flux in this region, along with median,
335 standard-deviation and the 5th, 25th, 75th, and 95th percentile fluxes.

336

337 2. Comparison of the flux model predictions with in-sample CLUSTER/RAPID electron fluxes and
338 out-of-sample electron fluxes from THEMIS/SST demonstrates that the model reproduces the broad
339 variations in electron flux actually observed in-situ, as determined by Root-Mean-Squared-Deviation
340 (RMSD) and the Normalized Root-Mean-Squared Deviation (NRMSD). Small-scale temporal and/or
341 spatial fluctuations in the data are not resolved.

342

343 3. Observed fluxes are generally found to be almost always within the 5th to 95th percentile envelope
344 of the model predictions.

345

346 The model is freely available to users under the GNU General Public License v3.0 by contacting the
347 author directly or via the model webpage at <http://gemelli.space.science.org/mdenton/>.

348

349 **Acknowledgements**

350 The authors acknowledge the OMNI database for the solar wind and geophysical parameters used in
351 this study. This work was primarily supported by the NASA Living With a Star (LWS) Grant:

352 80NSSC17K0682, as well as NASA grants NNX16AB75G and NNX16AB83G. All data from the
353 CLUSTER mission are available via the Cluster Science Archive
354 (<https://www.cosmos.esa.int/web/csa>) and we acknowledge the detailed work on calibration of the
355 RAPID data carried out by the team. THEMIS data are available via the CDAWeb. We acknowledge
356 D. Larson and the late R. P. Lin for use of SST data. The flux model introduced here (and other
357 associated flux models), can be obtained from MHD at mdenton@space.science.org or directly via
358 <http://gemelli.space.science.org/mdenton/> . We also acknowledge the reviewer's comments and
359 suggestions on our originally submitted manuscript that helped improve the final version of this study.
360

361 **References**

- 362 Angelopoulos, V., The THEMIS mission, *Space Sci. Rev.*, 141, 5–34, doi:10.1007/s11214-008-9336-
363 1, 2008.
- 364 Angelopoulos, V., et al., First results from the THEMIS mission, *Space Sci. Rev.*, 141, 453–476,
365 doi:10.1007/s11214-008-9378-4, 2008.
- 366 Åsnes, A., R.W.H. Friedel, B. Lavraud, G.D. Reeves, M.G.G.T. Taylor, and P. Daly, Statistical
367 properties of tail plasma sheet electrons above 40 keV, *J. Geophys. Res.*, 113, A03202, 2008.
- 368 Bartels, J., N. A. H. Heck, and H. F. Johnstone, The three-hour-range index measuring geomagnetic
369 activity, *J. Geophys. Res.*, 44, 411-454, 1939.
- 370 Borovsky, J. E., Canonical correlation analysis of the combined solar wind and geomagnetic index data
371 sets, *J. Geophys. Res. Space Physics*, 119, 5364–5381, 2014.
- 372 Borovsky, J. E., Physical improvements to the solar wind reconnection control function for the Earth’s
373 magnetosphere, *J. Geophys. Res. Space Physics*, 118, 2113–2121, 2013.
- 374 Borovsky, J. E., and M. H. Denton, Differences between CME-driven storms and CIR-driven storms, *J.*
375 *Geophys. Res.*, 111, A07S08, doi:10.1029/2005JA011447, 2006.
- 376 Boynton, R., et al., The analysis of electron fluxes at geosynchronous orbit employing a Narmax
377 approach. *J. Geophys. Res.*, 118, 1500–1513, 2013.
- 378 Coleman, T., McCollough, J. P., Young, S., & Rigler, E. J., Operational nowcasting of electron flux
379 levels in the outer zone of Earth’s radiation belt, *Space Weather*, 16, 501–518, 2018.
- 380 Daly, P. W., Cluster Science Archive: Interface Control Document for RAPID. Tech. Rep. CAA-EST-
381 ICD-0001, V6.0, European Space Agency, Paris, 2018.
- 382 Daly, P. W., and E. A. Kronberg, User guide to the RAPID measurements in the Cluster science
383 archive (CSA): Version 5.2, Tech. Rep. CAA-EST-UG-RAP, European Space Agency, Paris,

384 2018.

385

386 Denton, M. H., and T. E. Cayton, Density and temperature of energetic electrons in the Earth's
387 magnetotail derived from high-latitude GPS observations during the declining phase of the solar
388 cycle, *Ann. Geophys.*, 29, 1755, doi:10.5194/angeo-29-1755-2011, 2011.

389 Denton, M. H., and M. G. G. T. Taylor, Solar wind dependence of ion parameters in the Earth's
390 magnetospheric region calculated from CLUSTER observations, *Ann. Geophys.*, 26, 387-394,
391 2008 (SRef-ID: 1432-0576/angeo/2008-26-387), 2008.

392 Denton, M. H., R. Kivi, T. Ulich, C. J. Rodger, M. A. Clilverd, R. B. Horne, and A. J. Kavanagh, Solar
393 proton events and stratospheric ozone depletion over northern Finland, *J. Atmos. Sol-Terr. Phys.*,
394 doi:10.1016/j.jastp.2017.07.003, 177, 218-227, 2018.

395 Denton, M. H., G. D. Reeves, B. A. Larsen, R. F. W. Friedel, M. F. Thomsen, P. A. Fernandes, R. M.
396 Skoug, H. O. Funsten, and L. K. Sarno-Smith, On the origin of low-energy electrons in the inner
397 magnetosphere: Fluxes and pitch-angle distributions, *J. Geophys. Res. Space Physics*, 122, 1789–
398 1802, doi:10.1002/2016JA023648, 2017.

399 Denton, M. H., M. G. Henderson, V. K. Jordanova, M. F. Thomsen, J. E. Borovsky, J. Woodroffe, D.
400 P. Hartley, and D. Pitchford, An improved empirical model of electron and ion fluxes at
401 geosynchronous orbit based on upstream solar wind conditions, *Space Weather*, 14, 511–523,
402 doi:10.1002/2016SW001409, 2016

403 Denton, M. H., M. F. Thomsen, V. K. Jordanova, M. G. Henderson, J. E. Borovsky, J. S. Denton, D.
404 Pitchford, and D. P. Hartley, An empirical model of electron and ion fluxes derived from
405 observations at geosynchronous orbit, *Space Weather*, 13, 2015.

406 Denton, M. H., and J. E. Borovksy, The superdense plasma sheet in the magnetosphere during high-

407 speed-stream-driven storms: Plasma transport timescales. *J. Atmos. Sol-Terr. Phys*, 71, 1045-
408 1058, 2009

409 Denton, M. H., M. F. Thomsen, B. Lavraud, M. G. Henderson, R. M. Skoug, H. O. Funsten, J.-M.
410 Jahn, C. J. Pollock, and J. M. Weygand, Transport of plasma sheet material to the inner
411 magnetosphere, *Geophys. Res. Lett.*, 34, L04105, doi:10.1029/2006GL027886, 2007.

412 Borovsky, J. E., and M. H. Denton, The differences between CME-driven storms and CIR-driven
413 storms, *J. Geophys. Res.*, 111, A07S08, doi:10.1029/2005JA011447.Denton et al., 2006.

414 Fok, M.-C., Moore, T. E., and Delcourt, D. C.: Modelling of inner plasma sheet and ring current during
415 substorms, *J. Geophys. Res.*, 104, 14 557–14 569, 1999.

416 Ganushkina, N. Y., O. A. Amariutei, D. Welling, and D. Heynderickx, Nowcast model for low-energy
417 electrons in the inner magnetosphere, *Space Weather*, 13, 16–34, doi:10.1002/2014SW001098,
418 2015.

419 Ganushkina, N. Y., M. W. Liemohn, O. A. Amariutei, and D. Pitchford, Low-energy electrons (5–50
420 keV) in the inner magnetosphere, *J. Geophys. Res. Space Physics*, 119, 246–259,
421 doi:10.1002/2013JA019304, 2014.

422 Ganushkina, N. Y., O. Amariutei, Y. Y. Shpritz, and M. Liemohn, Transport of the plasma sheet
423 electrons to the geostationary distances, *J. Geophys. Res. Space Physics*, 118, 82–98,
424 doi:10.1029/2012JA017923, 2013.

425 Ginet, G. P., T. P. O’Brien, S. L. Huston, W. R. Johnston, T. B. Guild, R. Friedel, C. D. Lindstrom, C.
426 J. Roth, P. Whelan, R. A. Quinn, D. Madden, S. Morley, and Yi-Jiun Su, AE9, AP9 and SPM:
427 New Models for Specifying the Trapped Energetic Particle and Space Plasma Environment, in *The*
428 *Van Allen Probes mission*, eds N. Fox and J. L. Burch, Springer, doi:10.1007/978-1-4899-7433-4,
429 ISBN: 978-1-4899-7432-7, 2014.

430 Hartley, D. P., M. H. Denton, and J. V. Rodriguez, Electron number density, temperature, and energy
431 density at GEO and links to the solar wind: A simple predictive capability, *J. Geophys. Res. Space*
432 *Physics*, 119, 4556–4571, doi:10.1002/2014JA019779, 2014.

433 V. K. Jordanova, G. L. Delzanno, M. G. Henderson, H. C. Godinez, C. A. Jeffery, E. C. Lawrence, S.
434 K. Morley, J. D. Moulton, L. J. Vernon, J. R. Woodroffe, T. V. Brito, M. A. Engel, C. S.
435 Meierbachtol, D. Svyatsky, Y. Yu, G. Tóth, D. T. Welling, Y. Chen, J. Haiducek, S. Markidis, J.
436 M. Albert, J. Birn, M. H. Denton, R. B. Horne, Specification of the near-Earth space environment
437 with SHIELDS, *J. Atmos. Sol-Terr. Phys.*, Volume 177, 1148-159, 2018.

438 Jordanova., V. K., Kozyra, J. U., Nagy, A. F., and Khazanov, G. V., Kinetic model of ring current-
439 atmosphere interactions, *J. Geophys. Res.*, 102, 14 279–14 291, 1997.

440 King, J. H., and N. E. Papitashvili, Solar wind spatial scales in and comparisons of hourly Wind and
441 ACE plasma and magnetic field data, *J. Geophys. Res.*, 110, A02104, 2005.

442 Kronberg, E. A., M. V. Rashev, P. W. Daly, Y. Y. Shprits, D. L. Turner, A. Drozdov, M. Dobynde, A.
443 C. Kellerman, T. A. Fritz, V. Pierrard, K. Borremans, B. Klecker, and R. Friedel, Contamination
444 in electron observations of the silicon detector on board Cluster/RAPID/IES/instrument in Earth's
445 radiation belts and ring current, *Space Weather*, 14, 449-462, 2016.

446 Kronberg, E. A., P. W. Daly, and E. Vilenius, Calibration report of the RAPID measurements in the
447 Cluster science archive (CSA): Version 5.1, Tech. Rep. CAA-EST-CR-RAP, European Space
448 Agency, Paris, 2018.

449 Lavraud, B., M. F. Thomsen, J. E. Borovsky, M. H. Denton, and T. I. Pulkkinen, Magnetosphere
450 preconditioning under northward IMF: Evidence from the study of coronal mass ejection and
451 corotating interaction region geoeffectiveness, *J. Geophys. Res.*, 111, A09208,
452 doi:10.1029/2005JA011566, 2006.

453 Lavraud, B., M. H. Denton, M. F. Thomsen, J. E. Borovsky, and R. H. W. Friedel, Superposed epoch
454 analysis of dense plasma access to geosynchronous orbit, *Ann. Geophys.*, 23, 2519–2529, 2005.

455 Legates, D. R., and G. J. McCabe Jr., Evaluating the use of “goodness-of-fit” Measures in hydrologic
456 and hydroclimatic model validation, *Water Resour. Res.*, 35(1), 233–241,
457 doi:10.1029/1998WR900018, 1999.

458 Liemohn, M. W., Ridley, A. J., Kozyra, J. U., Gallagher, D. L., Thomsen, M. F., Henderson, M. G.,
459 Denton, M. H., Brandt, P. C., and Goldstein, J.: Analyzing the electric field morphology through
460 data-model comparisons of the Geospace Environment Modeling Inner Magnetosphere/Storm
461 Assessment Challenge events, *J. Geophys. Res.*, 111, A11S11, 2006.

462 Liemohn, M. W., et al., Model evaluation guidelines for geomagnetic index predictions. *Space*
463 *Weather*, 16, 2079–2102, 2018.

464 Lyon, J. G., J. A. Fedder, and C. M. Mobarry, The Lyon-Fedder-Mobarry (LFM) Global MHD
465 Magnetospheric Simulation Code, *J. Atmos. Sol.-Terr. Phys.*, 66, Issue 15-16, 1333-1350, 2004.

466 McPherron, R. L., T.-S. Hsu, and X. Chu, An optimum solar wind coupling function for the AL index.
467 *J. Geophys. Res. Space Physics*, 120, 2494–2515, doi: 10.1002/2014JA020619, 2015.

468 McPherron, R. L. and Weygand, J.: The solar wind and geomagnetic activity as a function of time
469 relative to corotating interaction regions, in: *Recurrent Magnetic Storms*, edited by: Tsurutani, B.,
470 McPherron, R., Gonzalez, W., Lu, G., Sobral, J. H. A., and Gopalswamy, N., pg. 125, AGU, 2006.

471 Newell, P. T., T. Sotirelis, K. Liou, C.-I. Meng, and F. J. Rich, A nearly universal solar wind-
472 magnetosphere coupling function inferred from 10 magnetospheric state variables, *J. Geophys.*
473 *Res.*, 112, A01206, doi:10.1029/2006JA012015, 2007.

474 Ni, B., Y. Shprits, M. Hartinger, V. Angelopoulos, X. Gu, and D. Larson, Analysis of radiation belt
475 energetic electron phase space density using THEMIS SST measurements: Cross-satellite

476 calibration and a case study, *J. Geophys. Res.*, 116, A03208, 2011.

477 O'Brien, T. P., J. E. Mazur, and J. F. Fennell, The Priority Mismatch Between Space Science and
478 Satellite Operations, *Space Weather*, 11, doi:10.1002/swe.20028, 2013.

479 O'Brien, T. P., SEAES-GEO: A spacecraft environmental anomalies expert system for
480 geosynchronous orbit, *Space Weather*, 7, S09003, 2009.

481 O'Brien, T. P., and C. L. Lemon, Reanalysis of plasma measurements at geosynchronous orbit, *Space*
482 *Weather*, 5, S03007, doi:10.1029/2006SW000279, 2007.

483 Sicard-Piet, A., S. Bourdarie, D. Boscher, R. H. W. Friedel, M. Thomsen, T. Goka, H. Matsumoto, and
484 H. Koshiishi, A new international geostationary electron model: IGE-2006, from 1 keV to 5.2
485 MeV, *Space Weather*, 6, S07003, doi:10.1029/2007SW000368, 2008.

486 Sillanpää, I., N. Y. Ganushkina, S. Dubyagin, and J. V. Rodriguez, Electron fluxes at geostationary
487 orbit from GOES MAGED data, *Space Weather*, 15, doi:10.1002/2017SW001698, 2017.

488 Smirnov, A.G., E.A Kronberg, F. Latallerie, P.W. Daly, N. Aseev, Y.Y. Shprits, A. Kellerman, S.
489 Kasahara, D. Turner, M.G.G.T. Taylor, Electron intensity measurements by the Cluster/RAPID/
490 IES instrument in Earth's radiation belts and ring current, *Space Weather*, (in press) 2019.

491 Tóth, G., et al., Space Weather Modeling Framework: A new tool for the space science community, *J.*
492 *Geophys. Res.*, 110, A12226, doi:10.1029/2005JA011126, 2005.

493 Thomsen, M. F., Why Kp is such a good measure of magnetospheric convection, *Space Weather*, 2,
494 S11004, doi:10.1029/2004SW000089, 2004.

495 Thomsen, M. F., M. H. Denton, B. Lavraud, and M. Bodeau, Statistics of plasma fluxes at
496 geosynchronous orbit over more than a full solar cycle, *Space Weather*, 5, S03004,
497 doi:10.1029/2006SW000257, 2007.

498 Tsyganenko, N. A. and Mukai, T. Tail plasma sheet models derived from Geotail particle data, *J.*

499 Geophys. Res., 108(A3), 1136, doi:10.1029/2002JA009707, 2003.

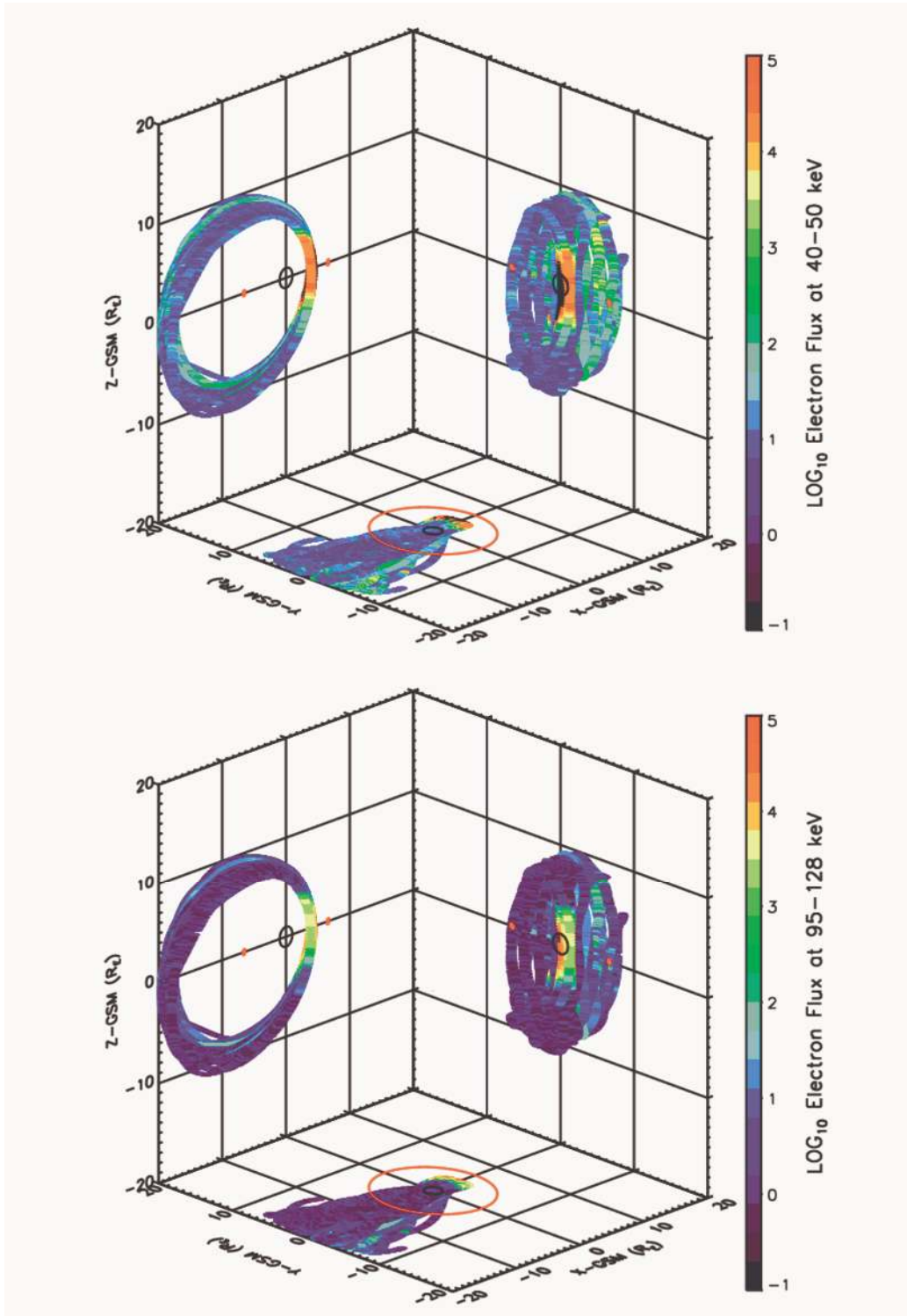
500 Wilken, B., et al., RAPID - The imaging energetic particle spectrometer on cluster, Space Sci. Rev.,
501 79(1 – 2), 399 – 473, 1997.

502 Wilken, B., et al., First results from the RAPID imaging energetic particle spectrometer on board
503 Cluster, Ann. Geophys., 10, 1355 – 1366, 2001.

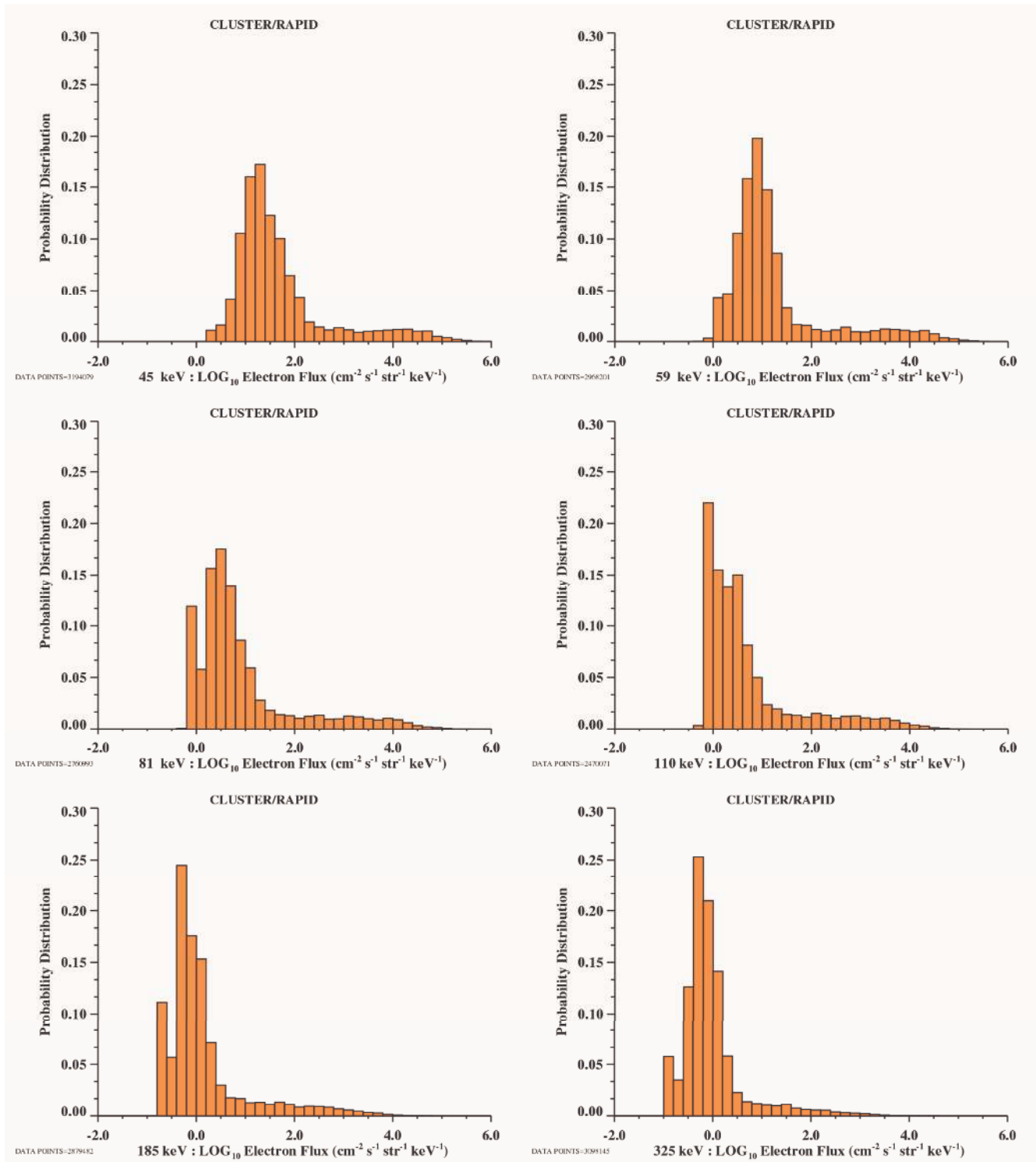
504 Zaharia, S., M. F. Thomsen, J. Birn, M. H. Denton, V. K. Jordanova, and C. Z. Cheng, Effect of storm-
505 time plasma pressure on the magnetic field in the inner magnetosphere, Geophys. Res. Lett., 32,
506 L03102, doi:10.1029/2004GL021491, 2005.

507 Zaharia, S., V. K. Jordanova, M. F. Thomsen, and G. D. Reeves, Self-consistent modeling of magnetic
508 fields and plasmas in the inner magnetosphere: Application to a geomagnetic storm, J. Geophys.
509 Res., 111, A11S14, doi:10.1029/2006JA011619, 2006.

510

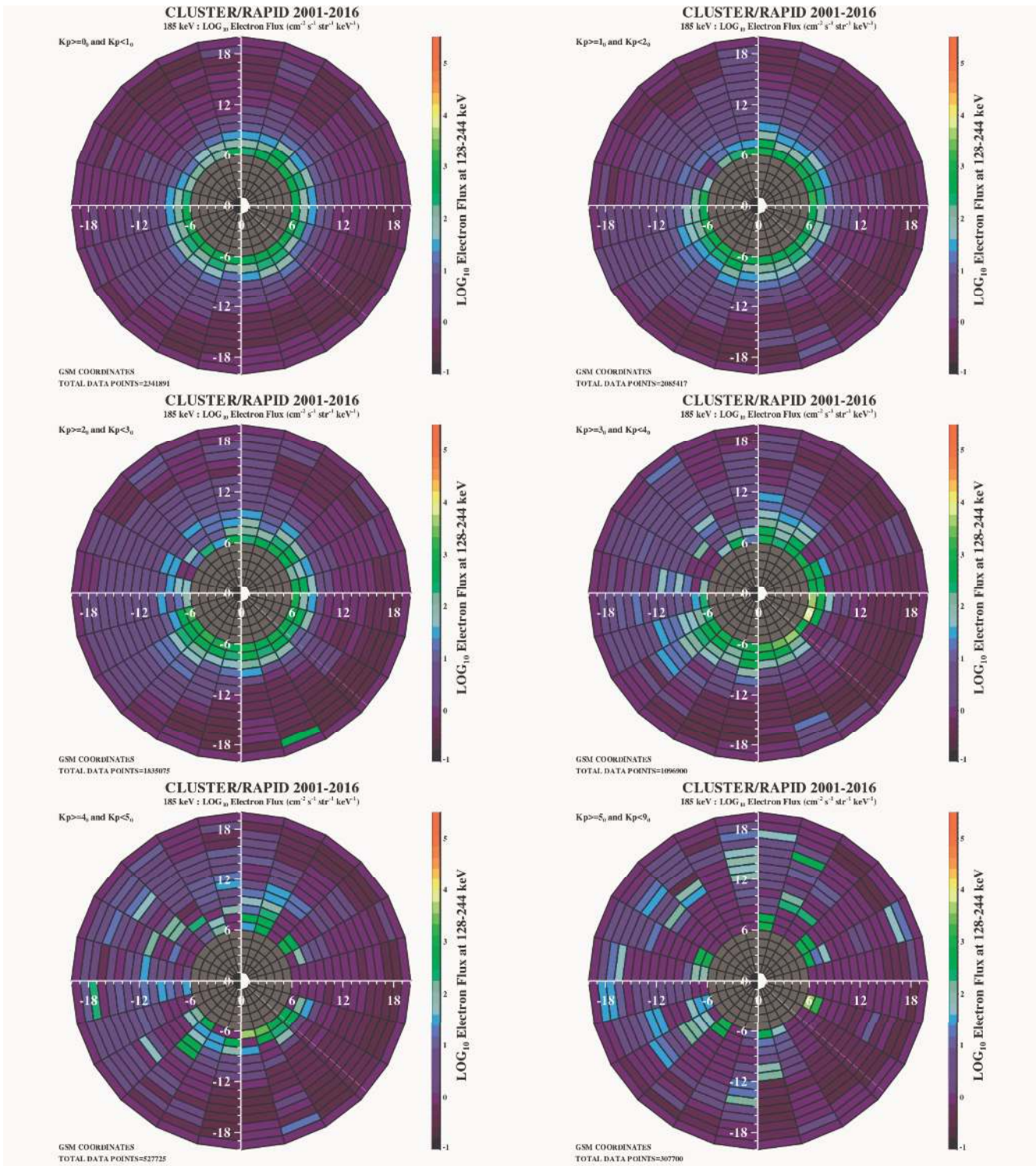


512
 513 **Figure 1.** Example electron fluxes at two energies from CLUSTER/RAPID plotted in the GSM
 514 coordinate system during the period from 8th August 2002 to 26th September 2002. The apogee of
 515 the orbit, cutting through the xy-plane, was deep in the magnetotail on the nightside of the Earth during
 516 this period, Every 100th data point is plotted.
 517



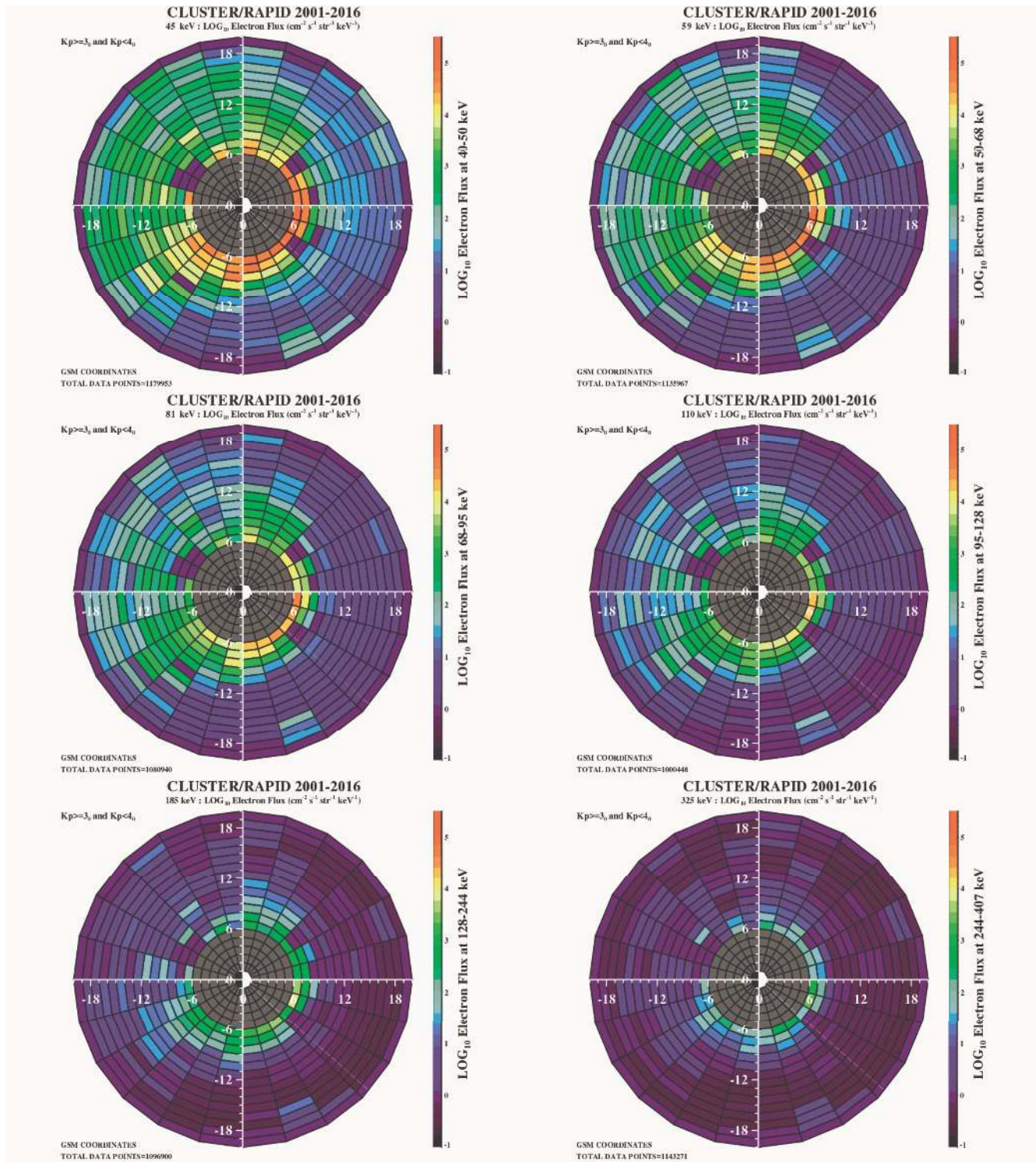
519
 520
 521
 522
 523
 524

Figure 2. Flux probability distributions for the six energies of the CLUSTER/RAPID instrument. Only data within the model domain are included in these distributions. Each distribution has an extended tail, likely the region closest to the Earth (where the satellite spends the least amount of time during each orbit).



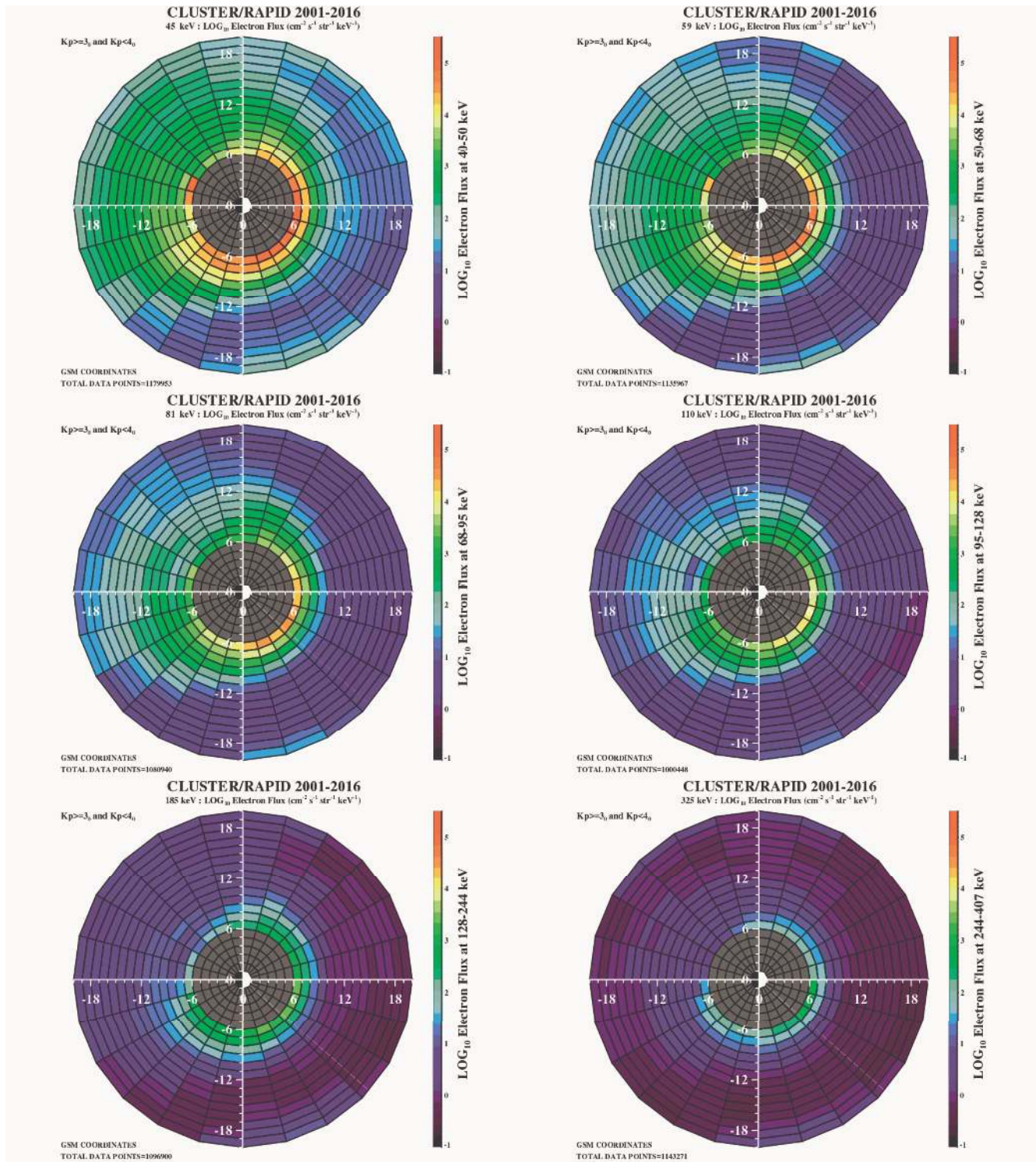
525
526
527
528
529
530

Figure 3. Schematic showing the binning scheme for the flux model in the GSM-xy plane. Six Kp ranges are shown, for the energy 185 keV. The total number of counts contributing to each plot is also given.



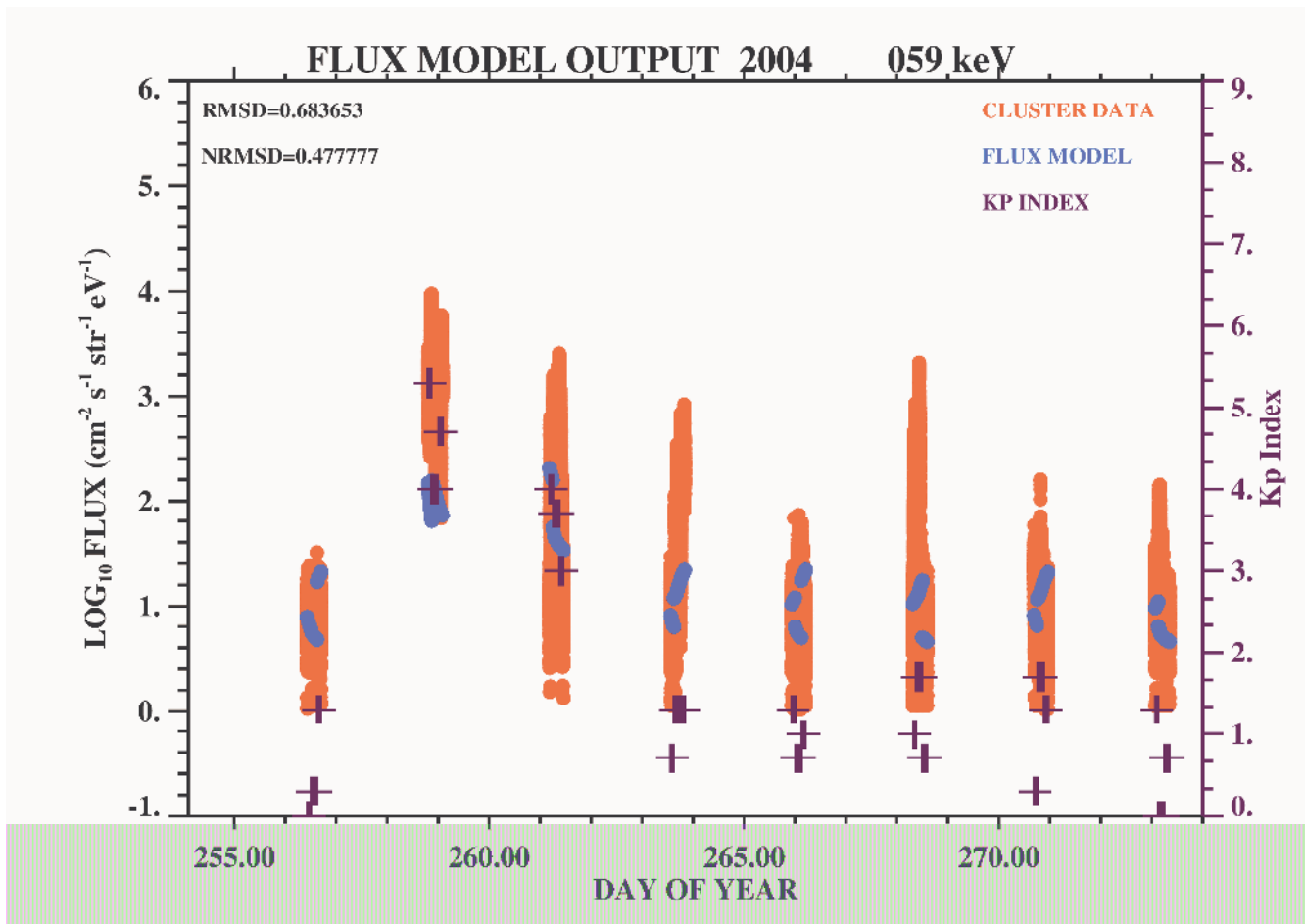
532
533
534
535
536
537

Figure 4. Schematic showing example plots of the mean flux in each bin in the GSM-xy plane. Six energy ranges are shown, for $2_0 \leq Kp < 3_0$. The total number of counts contributing to each plot is also given.



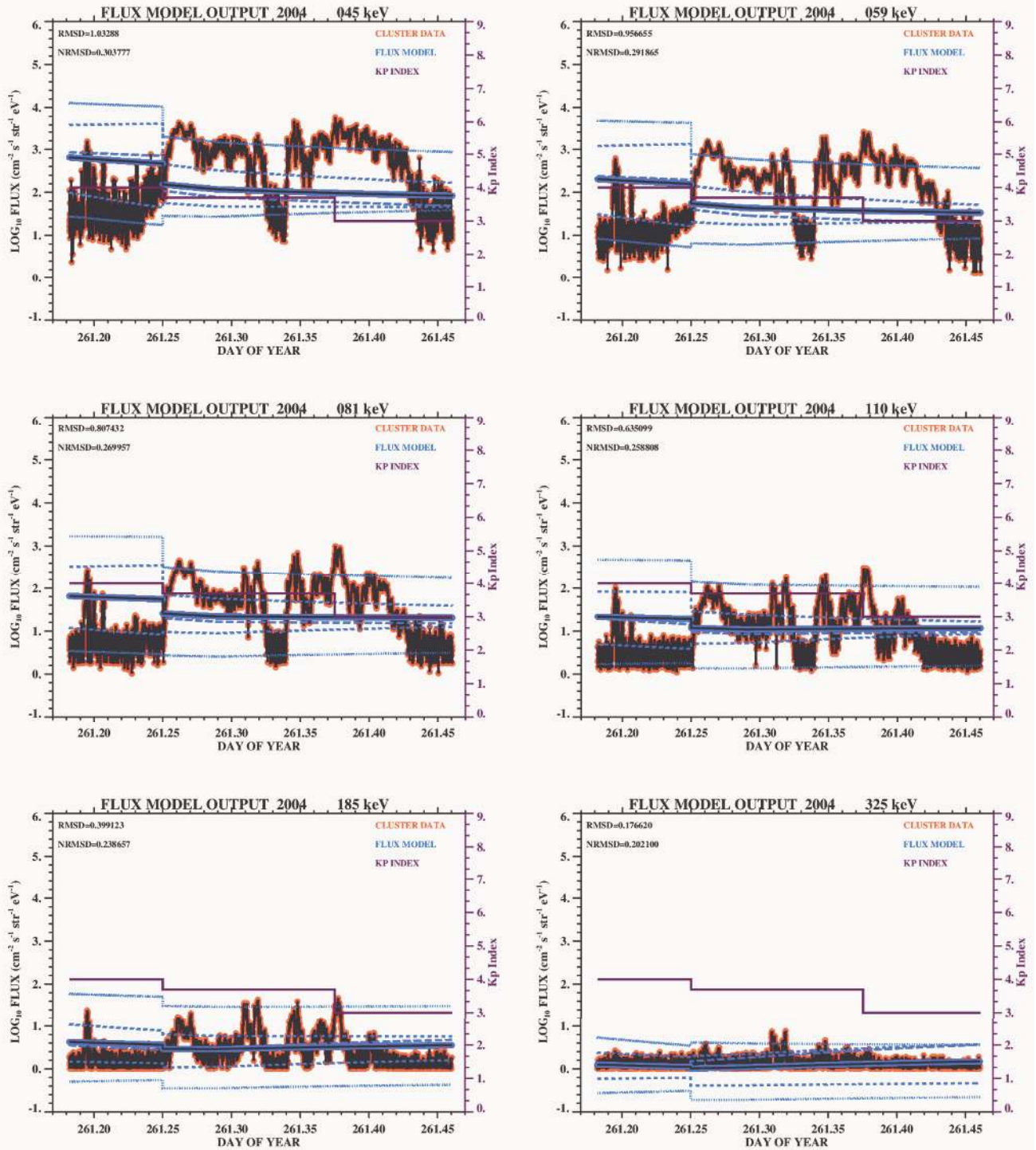
539
540
541
542
543

Figure 5. Same as Figure 3 but after the data have been interpolated and smoothed using a box-car average.

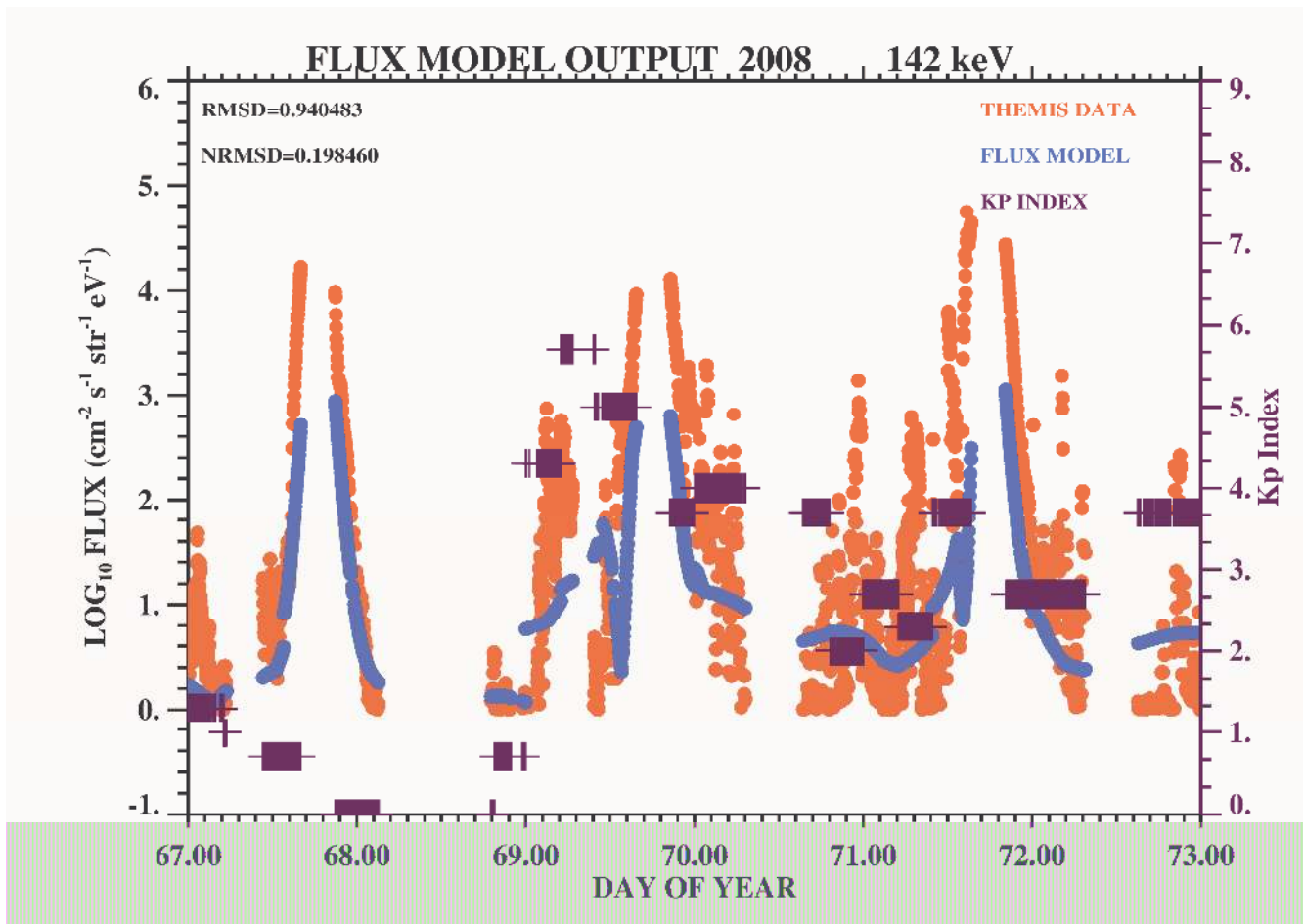


544
545
546
547
548
549
550

Figure 6. A comparison of electron observations by CLUSTER/RAPID at 59 keV (red) and model flux predictions (blue) during an active 20-day period in 2004. The Kp index is also shown (purple crosses). During this interval the CLUSTER orbit apogee was around 18 R_E and close to local midnight. Data are plotted in the region within ±2 R_E of GSM-z=0.

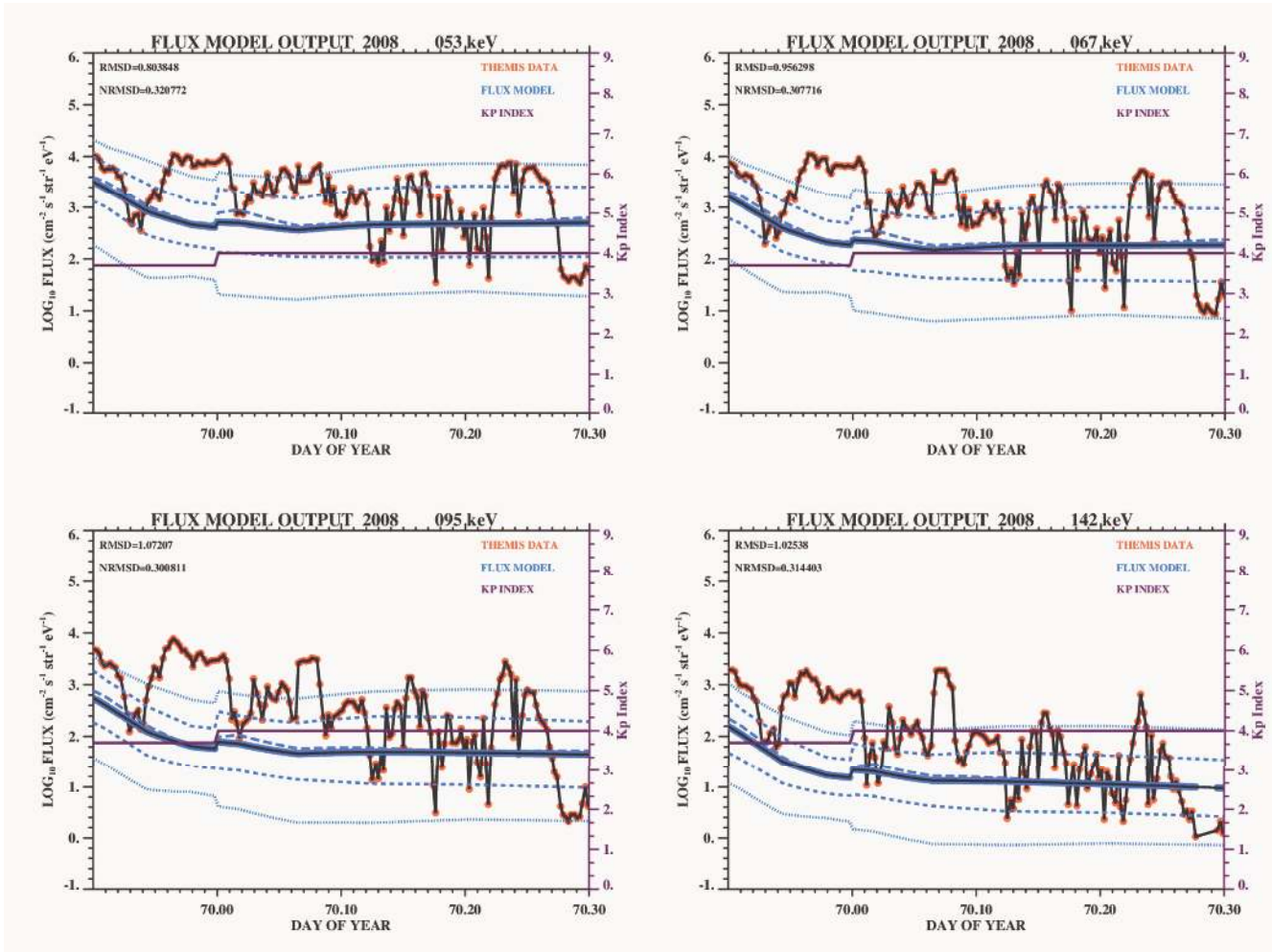


551
 552 **Figure 7.** A detailed comparison of the observed electron fluxes (red/black) at six energies during
 553 day-of-year 258 (14th September) in 2004 with model flux predictions (blue). During this interval
 554 CLUSTER was cutting through the GSM-xy plane at a radial distance of $\sim 18 R_E$ near local midnight.
 555 The mean flux prediction is the blue/black line while the upper and lower quartiles are the large dashed
 556 line (blue) and the 5th and 9th percentiles are the short dashed lines (blue). The median is the very
 557 large dashed line (blue). The Kp index is also plotted (purple).



558
559
560
561
562

Figure 8. Comparison of in-situ electron flux values from THEMIS/SST at 142 keV (red) from DOY 67-73 in 2008 during the passage of a HSS. Conditions transition from very calm (Kp~0) to disturbed (Kp~6) Model flux predictions are plotted (blue) along with the Kp index (purple crosses, right axis).

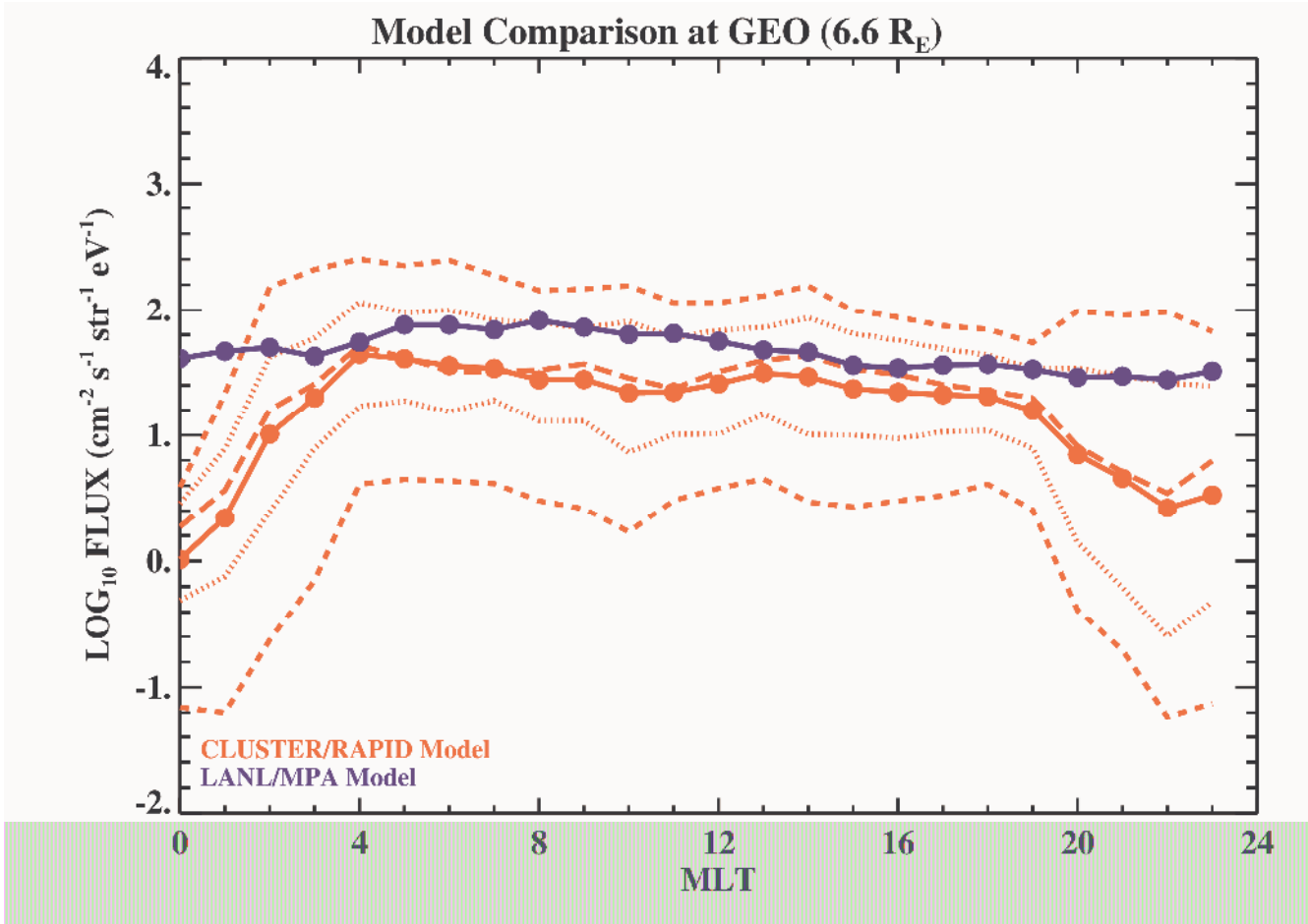


564

565

566 **Figure 9.** A detailed comparison of the observed electron fluxes (red/black) at four energies during
 567 DOY 69-70 (9-10th March) in 2008 with model flux predictions (blue). During this interval the
 568 THEMIS orbital perigee was on the nightside in the post-dusk sector (~21 LT). The mean flux
 569 prediction is the blue/black line while the upper and lower quartiles are the large dashed line (blue)
 570 and the 5th and 9th percentiles are the short dashed lines (blue). The median is the very large dashed line
 571 (blue). The Kp index is also plotted (purple).

572



573
574
575
576
577
578
579

Figure 10. A comparison of the MPA flux model mean flux (thick blue line with circles) at 6.6 R_E and the new CLUSTER/RAPID model mean flux (thick red line with circles). For the CLUSTER data the median (large dashes), upper and lower quartiles (short dashes) and 5th and 95th percentiles (medium dashes) are also plotted.