

1     **An empirical model of electron and ion fluxes derived from observations at**  
2                                     **geosynchronous orbit**

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## ABSTRACT

14

15 Knowledge of the plasma fluxes at geosynchronous orbit is important to both scientific and  
16 operational investigations. We present a new empirical model of the ion flux and the electron flux  
17 at geosynchronous orbit (GEO) in the energy range  $\sim 1$  eV to  $\sim 40$  keV. The model is based on a  
18 total of 82 satellite-years of observations from the Magnetospheric Plasma Analyzer instruments on  
19 Los Alamos National Laboratory satellites at GEO. These data are assigned to a fixed grid of 24  
20 local-times and 40 energies, at all possible values of  $K_p$ . Bi-linear interpolation is used between  
21 grid points to provide the ion flux and the electron flux values at any energy and local-time, and for  
22 given values of geomagnetic activity (proxied by the 3-hour  $K_p$  index), and also for given values of  
23 solar activity (proxied by the daily F10.7 index). Initial comparison of the electron flux from the  
24 model with data from a Compact Environmental Anomaly Sensor II (CEASE-II), also located at  
25 geosynchronous orbit, indicate a good match during both quiet and disturbed periods. The model is  
26 available for distribution as a FORTRAN code that can be modified to suit user-requirements.

27

## 28 **1. Introduction**

29 Geosynchronous orbit (GEO) is located at the approximate boundary between the inner  
30 magnetosphere (where plasma motion is largely dominated by co-rotation and gradient-curvature  
31 drift), and the outer magnetosphere (where plasma motion is largely dominated by the global  
32 magnetospheric convection cycle). Many scientific models of the plasma populations in the inner  
33 magnetosphere use the ion and electron parameters at GEO as inputs or boundary conditions [e.g.,  
34 *Jordanova et al.*, 1998; 2003]. From an operational perspective, GEO is one of the most popular  
35 locations for satellite hardware since the orbital period of 24 hours ensures that satellites remain at  
36 the same geographic longitude, and co-rotate along with the Earth. Over 400 satellites, used for  
37 communications, scientific, and military purposes, are currently on-orbit at GEO (e.g.  
38 <http://www.satsig.net/sslist.htm>) at an equatorial distance of 6.6 Earth radii ( $R_E$ ). Knowledge of the  
39 particle flux environment at GEO is important when designing and operating such satellites.

40

41 In light of the importance of GEO, both scientifically and operationally, the plasma environment in  
42 this region is of great interest. We present here a new model of the flux of electrons and ions at  
43 GEO, for energies between  $\sim 1$  eV and  $\sim 40$  keV, as a function of local-time, energy, geomagnetic  
44 activity, and solar activity. This energy range encompasses the plasmasphere, the electron plasma  
45 sheet, the ion plasma sheet, and the substorm-injected supra-thermal tails of both the electron and  
46 ion plasma sheets. Each of these populations is encountered regularly by satellites on station at  
47 GEO.

48

49 The aim in developing a new model of the plasma environment at GEO is to complement existing  
50 models such as AP9/AE9/SPM [*Ginet et al.*, 2014], IGE-2006 [*Sicard-Piet et al.*, 2008] or the two-  
51 Maxwellian ATS-6 models [*Purvis et al.*, 1984]. Such models tend to be tailored towards  
52 spacecraft operators and the operational community, and concerned primarily with hardware effects

53 due to the harsh electron and ion flux environment (e.g. internal charging, total dose over mission  
54 lifetime). Other, scientific models such as the IMPTAM model of *Ganushkina et al.* [2013; 2015]  
55 follow distributions of ions and electrons from the tail plasma sheet to the inner magnetosphere by  
56 careful consideration of the physics in the region. In the current study, an empirical model that  
57 utilizes the large data-sets that have been gathered over more than two full solar-cycles is  
58 developed, with respect to a limited energy range. With this range the model address a range of  
59 variables that affect the ion flux and the electron flux. This first version of the model addresses the  
60 variation of the ion and electron fluxes with respect to local time, energy, geomagnetic activity, and  
61 solar activity.

62  
63 The primary dataset that underpins this new model is the largest collection of calibrated electron  
64 and ion fluxes from GEO in existence, namely the series of measurements made by Los Alamos  
65 National Laboratory (LANL) satellites. The aim of the model is to focus on a limited number of  
66 energies, at the lower-end of the AP9/AE9 range. By concentrating on a single spatial location  
67 (GEO), it is possible to use the breadth of the dataset to allow statistical investigation of the effects  
68 of various solar wind and geophysical parameters upon the plasma populations in this region. The  
69 size of the LANL database means it is possible to model the fluxes as a function of geomagnetic-  
70 activity, solar-activity, local-time, and energy, and hence more-accurately describe the behavior of  
71 these fluxes. In future it is hoped that the model will be extended to allow users to predict the flux  
72 at GEO as a function of other variables such as the solar wind velocity, magnetic field orientation,  
73 and number density.

## 75 **2. Observations**

76 The ion and electron populations at GEO are routinely measured by Magnetospheric Plasma  
77 Analyzer (MPA) instruments on-board multiple LANL satellites [*Bame et al.*, 1993]. The full

78 MPA dataset extends from 1989 to the present, covering more than two full solar cycles (~100  
79 satellite-years of data). MPA instruments are electrostatic analyzers that measure the three-  
80 dimensional energy-per-charge distributions of both ions and electron between ~1 eV/q and ~40  
81 keV/q [*Bame et al.*, 1993; *Thomsen et al.*, 1999]. The time cadence of the observations is such that  
82 a single ten second snapshot of the distributions is available for analysis every 86 seconds. In  
83 contrast to many other scientific satellites, the LANL spacecraft platform is particularly well-suited  
84 to observations of thermal ions since the spacecraft charges slightly negatively with respect to  
85 infinity. Thus, all low-energy ions are readily detected at all times, typically accelerated towards  
86 the spacecraft by the spacecraft potential. In contrast, electrons moving towards the spacecraft are  
87 decelerated (or totally repelled) by the negative potential [*Thomsen et al.* 1999]. Although there are  
88 no magnetometers on-board the LANL satellites, the magnetic-field direction can be derived from  
89 the symmetry axis of the three-dimensional particle distributions, allowing identification of the  
90 components of the temperature parallel and perpendicular to the field [*Thomsen et al.*, 1999].

91  
92 With respect to the low-energy ion population (<100 eV), MPA instruments regularly observe ions  
93 in the co-rotating plasmasphere during extended periods of calm geomagnetic activity [*Thomsen et*  
94 *al.*, 1998; *Denton et al.*, 2005; *Denton and Borovsky*, 2008; *Borovsky and Denton*, 2009]. MPA  
95 instruments also measure thermal ions in plasmaspheric drainage plumes during instances of  
96 erosion [*Elphic et al.*, 1996; *Weiss et al.*, 1997; *Goldstein et al.*, 2004; *Denton and Borovsky*, 2008]  
97 and the return of cold ions to GEO during plasmaspheric refilling events [*Thomsen et al.*, 1998;  
98 *Lawrence et al.*, 1999; *Su et al.*, 2001; *Sandel and Denton*, 2007; *Borovsky and Denton*, 2008;  
99 *Borovsky et al.*, 2013; 2014; *Denton and Borovsky*, 2014].

100

101 MPA instruments also measure the medium-energy population (~100 eV to 40 keV) of the ion  
102 plasma sheet and the electron plasma sheet (e.g. *Borovsky et al.* [1997]; *Thomsen et al.* [1998,

103 2003, 2007]; *Korth et al.* [1999]; *Denton et al.* [2005; 2009]). These plasmas are typically  
104 delivered into the inner magnetosphere, on drift paths that originate on the nightside of the Earth, at  
105 distances  $>6.6 R_E$ . During enhanced magnetospheric convection intervals, electrons and ions drift  
106 inwards, crossing GEO close to local midnight (e.g. *Thomsen et al.* [2001], *Denton et al.* [2007]),  
107 and subsequently drift either eastwards or westwards around the Earth, following drift-paths that  
108 are charge-dependent and energy-dependent (e.g. *Korth et al.* [1999]). However, the process by  
109 which the plasma actually arrives close to GEO is likely associated with particle injections [*Mauk*  
110 *and Meng*, 1983] such as occur during substorms [*Henderson et al.*, 2006a; 2006b].

111  
112 In this study observations of the plasma flux, made by MPA instruments on seven satellites  
113 between 1990 and 2007, are analyzed. A plot of the cumulative number of years of data utilized in  
114 this study, and the distribution of the data amongst individual satellites, is shown in Figure 1, along  
115 with the distribution of data from each satellite as a function of year. In total, 82 satellite-years of  
116 observations are used in this study. The ion and electron flux distributions from LANL/MPA are  
117 analyzed with respect to energy, local-time, geomagnetic activity, and solar activity, with the  
118 ultimate outcome being a model of the flux of ions and electrons at all energies between  $\sim 1$  eV and  
119  $\sim 40$  keV, and at all local-times.

120  
121 Example plots of the ion and electron fluxes as a function of local-time during a 24-hour period of  
122 time are provided in Figure 2. The top panel of Figure 2 shows the observed ion flux during 30<sup>th</sup>  
123 December 2001 measured by LANL/MPA. The spacecraft encounters a plasmaspheric drainage  
124 plume between  $\sim 4$ -8 UT and, except for this period, resides in a low-density plasma-sheet  
125 environment for the rest of the day. Note, the low energy ions in the plasmaspheric plume ( $< 1$   
126 eV) are accelerated to  $\sim 10$  eV by the negative spacecraft potential. The bottom panel of Figure 2  
127 shows the electron flux during 30<sup>th</sup> December 2001 measured by LANL/MPA. The spacecraft

128 resides in the electron plasma-sheet for most of this day except between ~4-8 UT. The high fluxes  
129 seen prominently in the low-energy electron channels (<40 eV) are dominated by photo-electrons  
130 produced at the spacecraft and should be disregarded.

131

### 132 **3. Analysis**

133 Earlier studies using the LANL/MPA dataset have examined the variation in ion flux and electron  
134 flux over more than a full solar cycle [*Thomsen et al.* 2007]. The average fluxes for ions and  
135 electrons for each year from 1990 to 2004 have been tabulated (along with percentile flux limits)  
136 with the aim of providing users with useful information with which to guide satellite design and  
137 testing. The purpose of the current study is to extend the work carried out by *Thomsen et al.* [2007]  
138 in order to generate a predictive model of the variations in both the ion flux and the electron flux, at  
139 specific energies, with respect to a selection of geophysical parameters. Rather than tabulated  
140 values of ion flux and electron flux at a selection of pre-determined energies, here the aim is a  
141 model that can provide the fluxes at all values of energy and local-time, as a function of  
142 geomagnetic and solar activity. By following similar methodology to that successfully utilized in  
143 previous statistical studies of the LANL/MPA data (e.g. *Korth et al.* [1999], *Denton et al.* [2005;  
144 2012], *Thomsen et al.* [2011], *Borovsky and Denton* [2006], *MacDonald et al.* [2008; 2010]), the  
145 average variation of the measured ion and electron fluxes for a variety of conditions can be  
146 determined.

147

148 As demonstrated by *Thomsen et al.* [2007] the ion and electron fluxes measured by the seven  
149 LANL/MPA instruments agree within ~20%, indicating good calibration between satellites. Hence  
150 it is appropriate to combine the measurements over the entire database from all satellites, during all  
151 years. However, we don not use ion data from spacecraft 1989-046 after January 2000 because of  
152 an intermittent instrument problem that began then. The data-analysis technique used in this

153 current study can be summarized as follows: (1) Assign each individual data point from each  
154 satellite to the appropriate bin in a grid of 40 energies (evenly-spaced logarithmically from ~1 eV  
155 to ~40 keV) and 24 local-times, for each of the 28 values of Kp; (2) calculate the mean, median,  
156 standard deviation, and the 5<sup>th</sup>, 25<sup>th</sup>, 75<sup>th</sup> and 95<sup>th</sup> percentiles in each bin where there are more than  
157 5 individual data points; (3) interpolate between the bins using bi-linear interpolation to provide the  
158 electron flux and the ion flux at all values of local-time and energy, at a fixed Kp. Note, when  
159 calculating the mean of the values, the log of each value is taken first, then the mean of all logged  
160 values is calculated.

161

162 Three salient points are worthy of note regarding the analysis: (i) Magnetosheath intervals are  
163 identified and removed from all averaging. At times, when the solar wind pressure is very high, the  
164 magnetopause may move inwards of GEO, and then LANL satellites will then reside in the  
165 magnetosheath. Such intervals are removed from the analysis by only considering data where the  
166 hot ion density (calculated from the moments of the full ion distribution) is less than  $5.0 \text{ cm}^{-3}$  and  
167 the perpendicular ion temperature is greater than 2000 eV [*Thomsen et al.*, 1999; *Denton et al.*,  
168 2005]. These criteria have been used in numerous previous studies to good effect (e.g. [*Korth et al.*  
169 [1999], *Denton et al.* [2005]). (ii) Since each MPA instrument has slightly different channel edge  
170 energies, the energy values quoted for the average fluxes below will differ slightly depending on  
171 exactly how many data-points from each satellite contribute to the averages. To allow for this, the  
172 energies quoted in figures and tables below relate to the averaged centre-energy from each satellite,  
173 weighted by the number of satellite-years of data from each satellite contributing to the averages.  
174 The full list of edge-energies for the MPA instruments used in this study may be found in *Thomsen*  
175 *et al.* [1999]. (iii) At times, particularly in eclipse, the LANL spacecraft may charge very strongly  
176 negative (1000s of volts) (see the appendix of *Denton and Borovsky* [2012] for a discussion of the  
177 variation of the spacecraft potential as a function of local-time and Kp). Although a robust

178 methodology is implemented to correct the ion and electron fluxes for all values of the spacecraft  
179 potential [*Thomsen et al.*, 1999], in the current study all periods when the measured potential is  
180 extremely negative ( $< -500$  V) are not included in the analysis. Note: since the periods of greatest  
181 negative charging typically occur for the highest values of  $K_p$ , close to local midnight (when the  
182 fluxes are most enhanced) the average fluxes for these periods are likely to be slightly under-  
183 estimated.

184

### 185 **3.1 Probability distributions of flux measurements**

186 Figure 3 contains plots of the probability distribution of the electron flux (left column) and the ion  
187 flux (right column) at three example energies ( $\sim 18$  keV,  $\sim 2$  keV, and  $\sim 300$  eV), corresponding to  
188 the 4<sup>th</sup>, 11<sup>th</sup>, and 18<sup>th</sup> energy channels of the LANL/MPA instrument. These distributions pertain to  
189 all data taken between 1990 and 2007 (a total of 82 satellite-years of data), following the analysis  
190 technique described above (i.e. sheath intervals and periods of very strong spacecraft surface  
191 charging are not included in the analysis). Statistical parameters for these distributions are given in  
192 Table 1. For the electrons at  $\sim 18$  keV and  $\sim 2$  keV, it is clear that a double-peaked distribution  
193 exists, and investigation reveals that these two peaks relate to periods when the spacecraft are either  
194 inside or outside the electron plasma sheet (also compare with Figure 5, left column). For the  
195 electrons at  $\sim 300$  eV, the probability distribution of possible flux values has a single peak, with an  
196 extended tail at lower flux values. In contrast to the electrons, the ion flux at all three energies  
197 shown appears to be single-peaked.

198

### 199 **3.1 Energy, local-time, and geomagnetic activity**

200 Figure 4 contains example results obtained by analyzing the LANL/MPA observations with respect  
201 to energy, local-time, and geomagnetic activity, using the technique described above. The top row  
202 shows the mean electron flux and the mean ion flux, averaged over the entire MPA dataset for all

203 intervals where the instantaneous Kp index [Bartels *et al.*, 1939] is equal to 2. Kp is utilized since  
204 it is known to be a very good proxy for large-scale magnetospheric convection [Thomsen, 2004]  
205 and a good indicator of general geomagnetic activity, as well as being a parameter that is available  
206 to users almost instantaneously (e.g. from the NOAA Space Weather Prediction Center). The  
207 bottom row of Figure 4 shows the same data as the top row, but now plotted as a three-dimensional  
208 surface to better reveal to the reader the relative variations in each parameter. It is clear from  
209 Figure 4 (and as shown in previous studies) that both the ion flux and the electron flux display well-  
210 ordered variations with local-time. Examination of the plots for all other Kp values demonstrates  
211 that the fluxes are also well-ordered with the Kp index. Korth *et al.* [1999] demonstrated that the  
212 observed patterns of electron and ion fluxes measured by LANL/MPA at geosynchronous orbit can  
213 be well-explained in terms of the particle energy, and the balance between the co-rotation electric  
214 field and the convection electric field proxied by Kp [Korth *et al.*, 1999; Korth and Thomsen,  
215 2001]. For energies greater than ~100 eV, which are typical of the plasma sheet, the electrons (that  
216 come from the plasma sheet at distances > 6.6 R<sub>e</sub>) first arrive at GEO on the nightside of the Earth,  
217 close to local midnight, and drift to the east. The corresponding plasma sheet ions first arrive at  
218 GEO close to local midnight and drift to the west. Both electrons and ions are susceptible to  
219 energisation and loss processes as they drift [Korth *et al.*, 1999].

220  
221 The mean electron flux shown in Figure 4 is well-ordered with respect to energy and local-time and  
222 varies by over six orders of magnitude (over the full-range of possible Kp values – figures not  
223 shown). In general the greatest measured electron fluxes are found at the lowest energies.  
224 However, as discussed above, these fluxes are dominated by spacecraft-produced photoelectrons  
225 reaching the MPA detector owing to differential spacecraft charging. Thus the electron fluxes  
226 below ~40 eV should be ignored. For energies above ~100 eV it is clear that the electron flux is  
227 both energy-dependent and local-time dependent, with the greatest electron flux observed in the

228 dawn region.

229

230 The mean ion flux also shows a well-ordered structure, which varies by around five orders of  
231 magnitude over the full range of possible  $K_p$  values (figures not shown). The highest fluxes are  
232 found at the lowest energies ( $\sim 10$  eV) and correspond to thermal ions from the plasmasphere and  
233 from plasmaspheric drainage plumes.

234

235 Figure 5 contains plots of the mean electron flux and the mean ion flux for three levels of  
236 geomagnetic activity, proxied by the  $K_p$  index:  $K_p=0$  (top row),  $K_p=3$  (middle row), and  $K_p=6$   
237 (bottom row). These levels correspond to periods of very low activity (weak convection), moderate  
238 activity (moderate convection), and high activity (strong convection), respectively. These figures  
239 contain a wealth of detail into the morphology of the plasma environment at GEO, as a function of  
240 energy, local-time, and geomagnetic activity. Note in the three ion-flux plots in Figure 5 the dark  
241 (low-flux) “lane” in the higher-energy ions on the dayside. This dark lane represents the separation  
242 between ions that travel from the nightside to the dayside around the dawnside of the Earth versus  
243 around the duskside of the Earth. The total electric field of interest,  $\mathbf{E}$ , is a combination of the co-  
244 rotation electric field and the cross-tail convection electric field. Lower-energy ions tend to follow  
245  $\mathbf{E} \times \mathbf{B}$  drift paths - with co-rotation these paths carry ions from the nightside around dawnside to the  
246 dayside; higher-energy ions tend to follow gradient-and-curvature drift paths - these paths carry  
247 ions from the nightside around dusk to the dayside. Ions that are near the energy that separates the  
248 dawnward versus duskward paths penetrate deep into the dipole and suffer strong charge-exchange  
249 losses with the hydrogen geocorona: hence the low-flux lane on the dayside. Similarly, the electron  
250 flux is reduced on the dayside due to the strong scattering loss by plasma waves for eastward  
251 drifting electrons [e.g. *Jordanova et al.*, 2010a]. Again, due to domination by spacecraft-produced  
252 photoelectrons, the electron fluxes below  $\sim 40$  eV should be ignored.

253

254 From the examples shown in Figure 4 and Figure 5, and from equivalent plots for all other possible  
255 values of the Kp index, it is clear that the average electron and ion fluxes vary in a well-ordered  
256 (but also locally-complex) manner with respect to local-time, energy, and geomagnetic activity. In  
257 order to construct a model for the user community that is relatively simple and straight-forward,  
258 whilst also capturing the variations in the fluxes shown in Figure 4 and Figure 5, simple bi-linear  
259 interpolation is used to obtain values of the electron flux and the ion flux at locations between the  
260 cells, for any value of Kp and/or local-time. Thus, using the model created from these data, a user  
261 may specify any value of local-time and energy and be provided with an estimate of the electron  
262 flux and the ion flux.

263

### 264 **3.2 Solar EUV flux variations**

265 The solar EUV flux incident on the Earth's upper atmosphere causes the formation of an  
266 ionosphere with a peak number density of electrons and ions usually found at an altitude of ~300  
267 km. It is well-established that outflow from the ionosphere is the source of a variable proportion of  
268 the plasma observed at GEO, both at low energies (plasmasphere) and at higher energies (plasma  
269 sheet) (e.g. *Borovsky et al.* [1997]; *Yau and Andre* [1997]; *Nose et al.* [2003]; *Huddleston et al.*  
270 [2005]; *Mouikis et al.* [2010]; *Welling and Ridley* [2010]; *Kronberg et al.* [2014]). Since the solar  
271 EUV flux is not constant, but changes both as a function of the solar rotation period (~27 days) and  
272 over the solar-cycle (~11 years), the number density of both ions and electrons in the upper  
273 atmosphere also varies over these (and other) timescales. A reasonably good proxy for solar EUV  
274 radiation is the F10.7 index – a measure of the flux of incident radiation with a wavelength of 10.7  
275 cm – and also an index which is closely correlated with sunspot number.

276

277 Previous work utilizing the LANL/MPA dataset has shown that the fluxes of ions and of electrons

278 at GEO are also strongly correlated with solar cycle – an unsurprising result if one assumes that a  
279 proportion of the plasma sheet ultimately originates from the thermal plasma of the ionosphere.  
280 *Denton et al.* [2005] showed that the electron number density (computed from the moments of the  
281 full LANL/MPA distributions) varied by roughly a factor of two over a solar cycle. *Thomsen et al.*  
282 [2007] further revealed the details of such changes by determining the average ion flux and electron  
283 flux, as a function of energy, with respect to the solar cycle. At low energies ( $< \sim 50$  eV) the ion  
284 flux is higher at solar minimum than at solar maximum, by a factor in excess of two. At higher  
285 energies ( $> \sim 50$  eV) the situation is reversed and the ion flux at solar maximum is at least double  
286 that at solar minimum. The electron flux at these energies is also greatest at solar maximum, with a  
287 factor of at least two difference between solar minimum and solar maximum (see *Thomsen et al.*  
288 [2007]).

289  
290 Although a fine-grained study of changes in the plasma populations at GEO at all points over a  
291 solar-cycle is beyond the scope of this current study, it is possible to achieve a coarse  
292 parameterization using three different ranges of the F10.7 index. Figure 6 contains plots of the  
293 averaged electron flux (left column) and averaged ion flux (right column) for low solar activity  
294 ( $F10.7 < 100$  : top row), moderate solar activity ( $100 \leq F10.7 < 170$  : middle row) and high solar  
295 activity ( $F10.7 \geq 170$  : bottom row), during all intervals where  $K_p=2$ . Although differences  
296 between the ion flux and electron flux over the three levels of solar activity at a fixed energy are  
297 observed, these differences are typically much lower than the changes that occur as a function of  
298 geomagnetic activity and local-time. The differences are typically of the order of a factor of two,  
299 in agreement with the findings from earlier studies [*Denton et al.*, 2005; *Thomsen et al.*, 2007].

300  
301 It should be noted that for very high values of the  $K_p$  index ( $K_p > \sim 7$ ) there is an inadequate  
302 volume of data to completely fill the  $40 \times 24$  grid of local-times and energies. In these instances it is

303 straight-forward for a user to fall back to the version of the model where all data are included,  
304 regardless of the level of the F10.7 index. Example model outputs are contained in Table 2.

305

## 306 **4. Model performance**

307

### 308 **4.1 Model comparison with MPA observations**

309 Although comparing a model with a selection of the data used in construction of the model can be  
310 misleading, it may also give a useful indication of how well matched the model results are to  
311 observations during particular intervals. A comparison of the model output with observations from  
312 the MPA instrument on-board satellite 1994-084, during a representative five day period in 1999,  
313 can be found in Figure 7, along with a plot of the Kp index during this period. The period over  
314 which the comparison takes place is noteworthy in that it encompasses calm geomagnetic  
315 conditions, as well as very active conditions. Due to the high Kp values during this interval, the  
316 model used in the comparison does not consider F10.7 variations.

317

318 The top panel of Figure 7 shows the measured and the modeled electron flux at an energy of 1.545  
319 keV. The solid blue line is the mean calculated from the model and the purple line is the median.  
320 The dashed lines are the 5<sup>th</sup>, 25<sup>th</sup>, 75<sup>th</sup> and 95<sup>th</sup> percentiles. In general the model tracks the general  
321 trend of the observations reasonably well during this period. The model does not capture sudden  
322 and sharp changes in the measured electron flux. The middle panel of Figure 7 shows the measured  
323 and modeled ion flux at an energy of 1.629 keV. The solid red line is the mean calculated from the  
324 model and the orange line is the median. The dashed lines are the 5<sup>th</sup>, 25<sup>th</sup>, 75<sup>th</sup> and 95<sup>th</sup> percentiles.  
325 As with the electrons, the model tracks the observations reasonably well during this period but does  
326 not capture sudden and sharp changes in the measured ion flux. Model performance at other  
327 energies in the MPA range is similar, with the model capturing the general trend of the measured

328 fluxes, but not capturing any sharp changes in flux occurring over a short timescale. Following the  
329 same methodology used by *Ganushkina et al.* [2015] to compare between model and observed  
330 values we calculate the normalized root-mean-squared deviation (NRMSD) between the model  
331 predictions and the measured fluxes. Here the NRMSD values for electrons and ions during the  
332 five day period in Figure 7 are 0.975 and 1.873, respectively. Values below unity indicate the  
333 average error during the period in question is within a single standard deviation. Here, it is clear  
334 that (at these energies), the electron predictions are better matched to observations than the ion  
335 predictions.

336

#### 337 **4.2 Independent testing of the electron flux predictions**

338 An independent test of the electron flux from the model has been performed in comparison with  
339 data gathered by a different satellite on-orbit at GEO. The AMC-12 satellite carries a Compact  
340 Environmental Anomaly Sensor II (CEASE-II) instrumentation package that measures the electron  
341 distribution from  $\sim 1$  keV to  $\sim 45$  keV. A full description of the CEASE-II package can be found in  
342 *Dichter et al.* [1998]. Previous comparison of the CEASE-II data from AMC-12 with a complex  
343 theoretical model during isolated substorms has been carried out by *Ganushkina et al.* [2014] who  
344 found generally good agreement between model predictions and observations when substorm-  
345 associated electromagnetic fields are taken into account.

346

347 Figure 8 shows a comparison between the electron flux measured at the AMC-12 satellite and the  
348 electron flux predicted by the model, during a five day period in 2013, along with a plot of the Kp  
349 index. The period over which the comparison takes place is noteworthy in that it encompasses  
350 calm geomagnetic conditions, as well as active conditions. The format of the figure is the same as  
351 that used in Figure 7.

352

353 The top panel of Figure 8 shows the comparison between model and observations at an energy of  
354 13.40 keV. The model fluxes track the trend of the measured fluxes reasonably well during the first  
355 two days. In the following days as Kp increases the model fluxes also increase, and become  
356 somewhat higher than those actually observed. During this period the general trend in the model  
357 fluxes is similar to that in the observations. The measured flux is almost always within the  
358 envelope between the 5<sup>th</sup> and 95<sup>th</sup> percentiles of the model fluxes – an encouraging result. The  
359 middle panel of Figure 8 shows the comparison between model and observations at an energy of  
360 5.28 keV. At this energy the model fluxes are less well matched to observations although the  
361 measured flux is still found within the envelope between the 5<sup>th</sup> and 95<sup>th</sup> percentiles of the model  
362 fluxes at almost all times, except during periods when the measured fluxes fall to very low levels.  
363 The NRMSD values calculated for the five day period in Figure 8 are 1.895 (13.4 keV) and 2.053  
364 (5.28 keV). As is clear from the plots, these rather high values would be expected to fall  
365 significantly should robust inter-calibration between AMC-12/CEASE-II and LANL/MPA were  
366 carried out (or even more simply, if a constant value were subtracted from the model values).

367  
368 It is clear from the data shown in Figure 8 that at times the CEASE-II sensor reports very low flux  
369 values, whereas the model results, based on averaged fluxes from LANL/MPA, are almost always  
370 higher. Of course, whilst it would be ideal to obtain good agreement between model and data for  
371 all flux levels, typically interest is greatest at the highest flux values. In this respect the current  
372 model does track the measured flux variations from the AMC-12/CEASE-II observations  
373 reasonably well during the period in question.

374  
375 A further comparison between the model fluxes and the measured fluxes may be carried out by  
376 examination of the energy spectra. The measured electron energy spectrum can, and indeed does,  
377 change very quickly depending on the prevalent conditions in the magnetosphere. In comparison,

378 the fluxes derived from the model change relatively smoothly, depending on the inputs of Kp and  
379 local-time. Despite this, it can be informative to examine the energy spectrum in each case to look  
380 for agreements and disagreements in order to determine areas where systematic differences are  
381 present. These may indicate periods of time, or certain conditions, during which the model may  
382 require modification.

383  
384 Figure 9 contains a plot of two example electron energy spectra from the CEASE-II instrument  
385 during Day 61 of 2013, at local midnight (top panel) and at local noon (bottom panel). The model  
386 predictions for these times are shown in red and the measured data are shown in blue. The solid  
387 line is the mean from the model and the dashed lines indicate the 5<sup>th</sup>, 25<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup>,  
388 percentiles. It is clear to see that the model fluxes at both noon and midnight vary in a quasi log-  
389 linear manner. The fluxes predicted by the model during this period are somewhat higher than  
390 those observed in both instances (cf. Figure 8). However, it is also clear that the best match  
391 between data and model for the two cases shown in Figure 9 is found at local noon where both the  
392 magnitude of the predicted flux level, and the general slope of the spectra, are both reasonably  
393 well-matched to observations (mostly within the envelope between the 5<sup>th</sup> and 95<sup>th</sup> percentiles). At  
394 local midnight the slope of the model spectrum is steeper than that measured by CEASE-II. In  
395 addition, the satellite observations at noon and midnight show a local maximum at ~5-10 keV that  
396 is not reproduced by the model.

397  
398 Given that the CEASE-II sensor and the LANL/MPA instrumentation have not been inter-  
399 calibrated, the results shown in Figure 8 and Figure 9 are encouraging, and would be likely to  
400 improve even further upon intercalibration between the satellites. These results provide  
401 independent evidence that this first incarnation of the model can be utilized to make coarse  
402 predictions of the plasma flux environment at GEO. Unfortunately, the CEASE-II sensor does not

403 also measure the ion population below 40 keV. It is planned to test the model ion flux predictions  
404 against independent observations at GEO in future using other datasets.

405

### 406 **4.3 Model limitations**

407 In the current incarnation of the model both the electron and ion flux are predicted at any local-time  
408 around Earth, at any specified energy between 1.034 eV and 40.326 keV for electrons, and between  
409 1.816 and 40.649 keV for ions, and at any specified Kp value. The model is not applicable for  
410 times when the magnetosheath moves inwards of GEO. In addition, the model does not (yet)  
411 include the effects of : (i) substorm dynamics, (ii) substorm injections, or (iii) drift echoes or  
412 dispersion features. Any of these three issues may be important for some applications. In addition,  
413 since LANL/MPA only measures the fluxes from ~1 eV to ~40 keV then the model can only be  
414 used to estimate the partial plasma pressure (and energy-density), rather than the total plasma  
415 pressure due to the complete distribution at all energies.

416

417 The current model does not provide “confidence limits”, or other goodness-of-fit parameters to the  
418 flux values provided. This is intentional. The mean values from the MPA observations are the  
419 average value recorded by the instruments over the entire dataset. Along with the standard  
420 deviation and the percentiles, these values provide a broad means to gauge the likelihood of both  
421 the electron and the ion flux obtaining a particular level. In the opinion of the authors, accurate  
422 estimates of uncertainties in the flux values are practically impossible to determine due to (a) the  
423 rapidly changing background distribution of the plasma (since the ion and electron distributions at  
424 GEO are highly complex, rarely Maxwellian, and evolve rapidly in time), and (b) the dependence  
425 of the particle populations upon the time-history of the solar wind and the time-history of the  
426 magnetosphere. At present, the probability distributions (see Table 1 and also Figure 3), and the  
427 percentiles provided by the model (see Table 2 and also Figures 7, 8, and 9), offer some broad

428 indications of the spread and the variance within the data.

429

#### 430 **4.4 Model availability and future development**

431 The computer code implemented to perform the binning and the grid interpolation scheme was  
432 initially written in the IDL programming language. However, the authors have also produced a  
433 FORTRAN version of the code. This version of the code can be easily modified to suit user  
434 requirements.

435

436 This initial report describes the status of the model in January 2015. The electron flux has been  
437 tested against an independent data set and shown to give generally good results during quiet and  
438 disturbed periods. Testing of the ion flux predicted by the model against an independent data set  
439 has not been carried out to date. We also aim to utilize results from the model to drive simulations  
440 of the inner magnetosphere where fluxes from GEO are used as either boundary conditions or are  
441 predicted by the model (e.g. *Jordanova et al.* [1998], *Zaharia et al.* [2005; 2006], *Jordanova et al.*  
442 [2010b], *Katus et al.* [2014]). Many current models use a limited range of boundary conditions at  
443 GEO that do not provide the level of detail with regard to local-time, solar activity, and  
444 geomagnetic activity, available in the model described here. Hence, we hope for a marked  
445 improvement in the output from future simulations, in comparison with observations, when these  
446 new model inputs are utilized.

447

448 Another area that is ripe for investigation is development of the model such that it can be driven by  
449 incident solar-wind conditions (e.g. the solar wind velocity,  $V_{sw}$ , and the magnetic field  
450 orientation, IMF- $B_z$ ). The magnetospheric system is ultimately driven by the solar-wind, and hence  
451 a model driven by upstream solar wind parameters would give better opportunity to *predict* the  
452 fluxes at some point in the future (i.e. a model driven by  $V_{sw}$  and  $B_z$  or similar, e.g. *Hartley et al.*

453 [2014]). This would contrast with the current model which uses the instantaneous local conditions  
454 close to Earth (i.e. proxied by the Kp index). Of course, given the recent progress in the  
455 development of improved solar-wind/magnetosphere coupling functions (e.g. *Newell et al.* [2007];  
456 *Borovsky* [2014]; *McPherron et al.* [2015]), one could further envisage development of a version of  
457 the model to explore predictions of ion and electron fluxes based on the various coupling functions  
458 in use in the community.

459

## 460 **5. Summary**

461

462 1. A new empirical model of the ion flux and the electron flux as a function of local-time,  
463 geomagnetic activity, and solar activity, has been developed for geosynchronous orbit for energies  
464 between ~1 eV and 40 keV. The electron flux derived from the model has been tested and is  
465 generally found to be in reasonably good agreement with independent observations during quiet  
466 and disturbed geophysical conditions.

467

468 2. The new model provides scientific and operational users with predictions of fluxes for a wider  
469 range of input conditions than is generally the case with current models. We intend to pursue both  
470 scientific and operational development of the model in future development.

471

472 3. A beta-version of the model is freely available as a FORTRAN code that can be adapted to user-  
473 requirements.

474

475 It is hoped that this model will be found useful by the operational community and the scientific  
476 community and we welcome input for how the model could be improved and developed in future.

477

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482 Research and Development (LDRD) program. MPA data are available by contacting the MPA PI,  
483 Mike Henderson, at mghenderson@lanl.gov. A beta-version of the model is available by  
484 contacting MHD at mdenton@space-science.org.

485

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650 Table 1. Statistical parameters of the electron flux and ion flux distributions shown in Figure 3.

	<b>Electron (17923 eV)</b>	<b>Ions (18276 eV)</b>
<b>Mean</b>	2.177	2.020
<b>Standard Deviation</b>	0.594	0.336
<b>Variance</b>	0.352	0.113
<b>Skewness</b>	0.609	-0.407
<b>Kurtosis</b>	-0.071	0.586
	<b>Electron (4639 eV)</b>	<b>Ions (4822 eV)</b>
<b>Mean</b>	3.013	2.122
<b>Standard Deviation</b>	0.711	0.393
<b>Variance</b>	0.505	0.154
<b>Skewness</b>	0.060	-0.642
<b>Kurtosis</b>	-0.709	1.319
	<b>Electron (309.0 eV)</b>	<b>Ions (335.7 eV)</b>
<b>Mean</b>	4.028	2.554
<b>Standard Deviation</b>	0.556	0.332
<b>Variance</b>	0.309	0.110
<b>Skewness</b>	-0.573	-0.397
<b>Kurtosis</b>	0.472	1.551

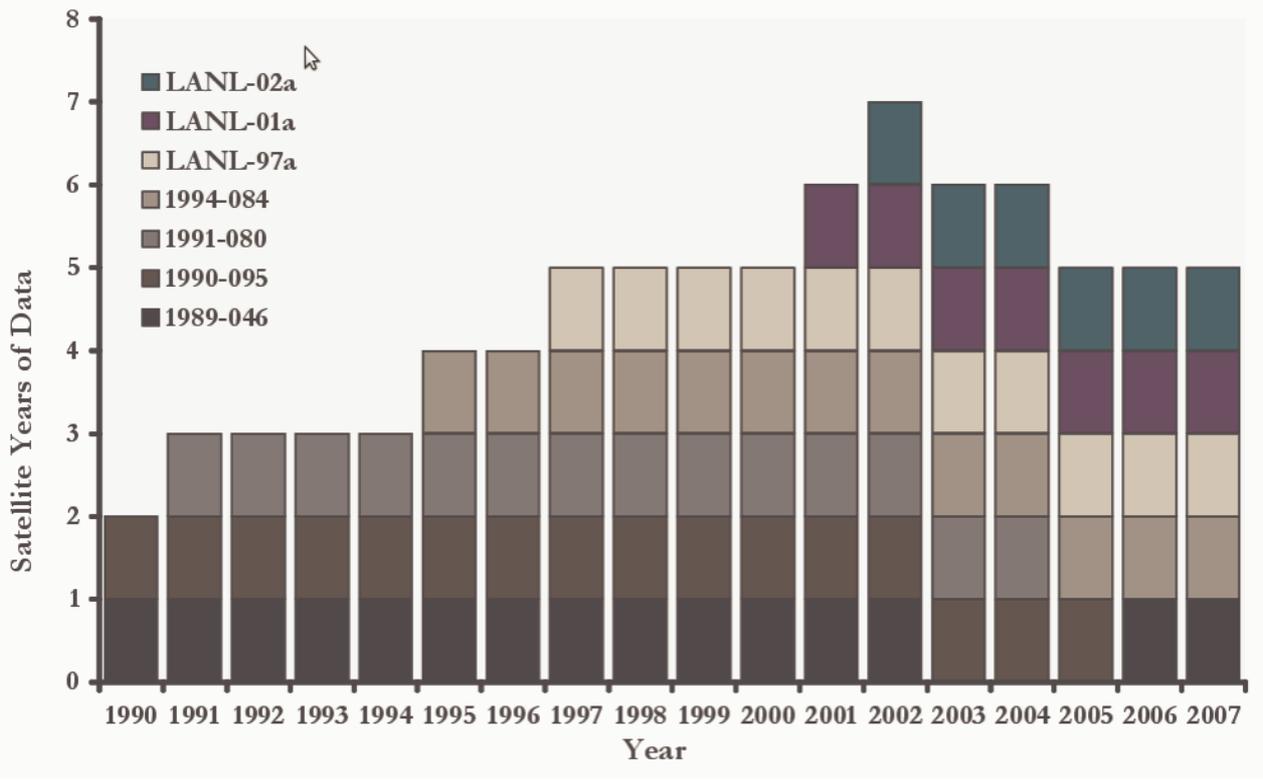
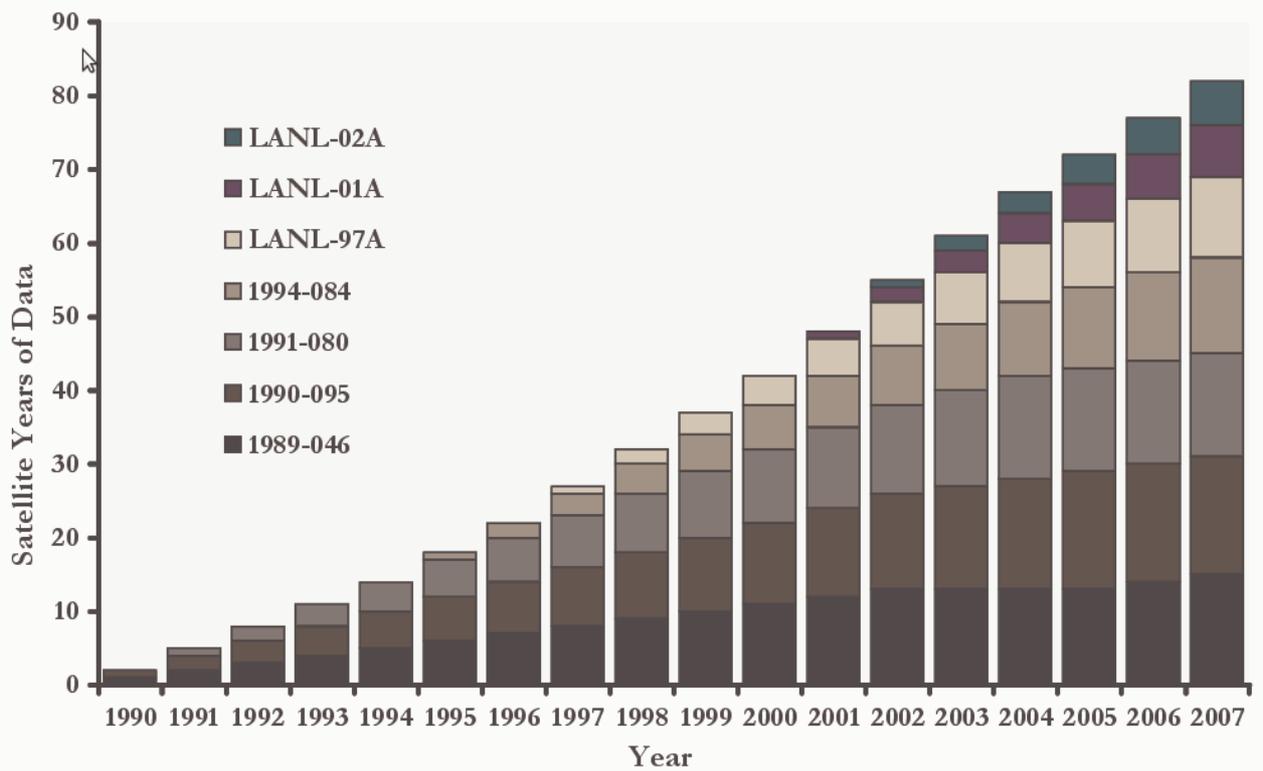
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653 Table 2. Example model outputs for Kp=3 and 18.00 LT.

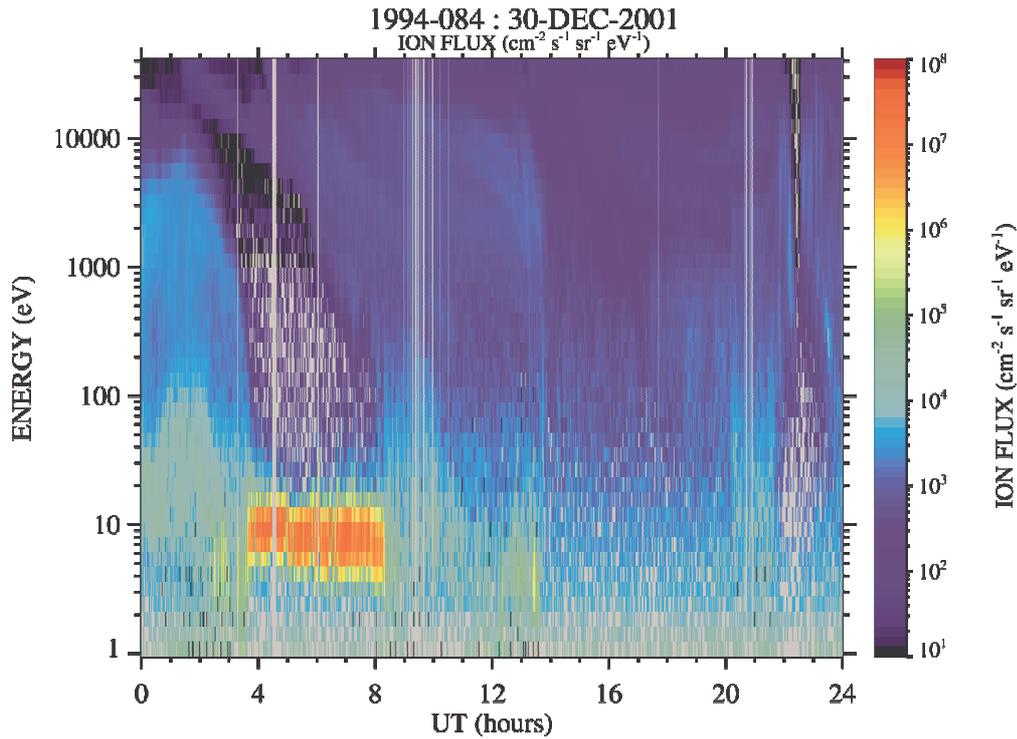
<b>ALL F10.7</b>							
<b>ELECTRONS</b>	<b>Mean</b>	<b>Std. Dev</b>	<b>5%</b>	<b>25%</b>	<b>Median</b>	<b>75%</b>	<b>95%</b>
100 eV	4.0989	0.5651	3.1531	3.6227	4.1713	4.5632	4.9165
500 eV	3.3031	0.5943	2.4298	2.7630	3.3051	3.8483	4.2203
1 keV	2.81791	0.5528	2.1080	2.3545	2.7196	3.2060	3.8330
10 keV	1.6719	0.4380	1.0333	1.4934	1.7370	1.9319	2.1453
30 keV	1.3870	0.3021	0.8991	1.2927	1.4403	1.5772	1.7011
<b>IONS</b>	<b>Mean</b>	<b>Std. Dev</b>	<b>5%</b>	<b>25%</b>	<b>Median</b>	<b>75%</b>	<b>95%</b>
100 eV	2.7920	0.3092	2.1902	2.6156	2.8263	3.0106	3.2148
500 eV	2.2406	0.3049	1.6188	2.0981	2.2993	2.4444	2.6393
1 keV	2.0298	0.3916	1.3527	1.9369	2.1064	2.2473	2.4060
10 keV	2.1832	0.2113	1.9126	2.0274	2.1248	2.3173	2.6064
30 keV	2.0004	0.1831	1.7643	1.8878	1.9610	2.0704	2.3914
<b>F10.7 &lt; 100</b>							
<b>ELECTRONS</b>	<b>Mean</b>	<b>Std. Dev</b>	<b>5%</b>	<b>25%</b>	<b>Median</b>	<b>75%</b>	<b>95%</b>
100 eV	4.0647	0.5647	3.2207	3.5847	4.0620	4.5435	4.9584
500 eV	3.2766	0.5982	2.4426	2.7556	3.2557	3.7673	4.2696
1 keV	2.8090	0.5634	2.1188	2.3615	2.6840	3.1346	3.8914
10 keV	1.7197	0.4622	0.9851	1.5896	1.7789	1.9669	2.1668
30 keV	1.3795	0.3102	0.8991	1.3035	1.4324	1.5513	1.6930
<b>IONS</b>	<b>Mean</b>	<b>Std. Dev</b>	<b>5%</b>	<b>25%</b>	<b>Median</b>	<b>75%</b>	<b>95%</b>
100 eV	2.8157	0.3111	2.1902	2.6644	2.8598	3.0328	3.2156
500 eV	2.2548	0.3079	1.6188	2.1301	2.3204	2.4508	2.6297
1 keV	2.0255	0.4153	1.3527	1.9369	2.1199	2.2490	2.4057
10 keV	2.1650	0.2159	1.8967	2.0071	2.0975	2.3132	2.5778
30 keV	1.9744	0.1809	1.7363	1.8708	1.9365	2.0454	2.3937
<b>100 ≤ F10.7 &lt; 170</b>							
<b>ELECTRONS</b>	<b>Mean</b>	<b>Std. Dev</b>	<b>5%</b>	<b>25%</b>	<b>Median</b>	<b>75%</b>	<b>95%</b>
100 eV	4.1874	0.5457	3.2393	3.7983	4.1890	4.6598	4.9700
500 eV	3.3281	0.6042	2.2808	2.9035	3.3487	3.7574	4.3402
1 keV	2.7516	0.5192	2.0378	2.4094	2.6205	3.0626	3.8758
10 keV	1.8760	0.3663	1.2375	1.7101	1.8976	2.0936	2.3870
30 keV	1.5401	0.2482	1.1675	1.4492	1.5767	1.6894	1.8202
<b>IONS</b>	<b>Mean</b>	<b>Std. Dev</b>	<b>5%</b>	<b>25%</b>	<b>Median</b>	<b>75%</b>	<b>95%</b>
100 eV	2.9447	0.3565	2.4823	2.7912	2.9728	3.1395	3.3458
500 eV	2.4065	0.2652	1.9148	2.2937	2.4493	2.5851	2.7317
1 keV	2.2265	0.3334	1.8010	2.1176	2.2598	2.3879	2.5560
10 keV	2.2845	0.2780	1.9554	2.0942	2.2425	2.3959	3.0312
30 keV	2.0819	0.1686	1.8105	1.9583	2.0939	2.1894	2.3600
<b>F10.7 ≥ 170</b>							
<b>ELECTRONS</b>	<b>Mean</b>	<b>Std. Dev</b>	<b>5%</b>	<b>25%</b>	<b>Median</b>	<b>75%</b>	<b>95%</b>
100 eV	4.2164	0.5411	3.2335	3.8584	4.3157	4.6751	4.9700
500 eV	3.2933	0.5387	2.4298	2.8590	3.2597	3.6800	4.1949
1 keV	2.8417	0.4969	2.2192	2.4312	2.7720	3.1239	3.8549
10 keV	2.0339	0.3826	1.3307	1.7094	1.9906	2.2612	2.5596
30 keV	1.5769	0.2283	1.1103	1.4174	1.6135	1.7346	1.8267
<b>IONS</b>	<b>Mean</b>	<b>Std. Dev</b>	<b>5%</b>	<b>25%</b>	<b>Median</b>	<b>75%</b>	<b>95%</b>
100 eV	2.8661	0.2966	2.3162	2.7095	2.8901	3.0766	3.2785
500 eV	2.3663	0.4859	1.9321	2.2468	2.4165	2.5617	2.7399
1 keV	2.2262	0.2581	1.7467	2.0899	2.2483	2.3909	2.6214
10 keV	2.3214	0.2062	2.0507	2.1656	2.2651	2.4822	2.7288
30 keV	2.0415	0.1489	1.7619	1.9585	2.0699	2.1424	2.2352

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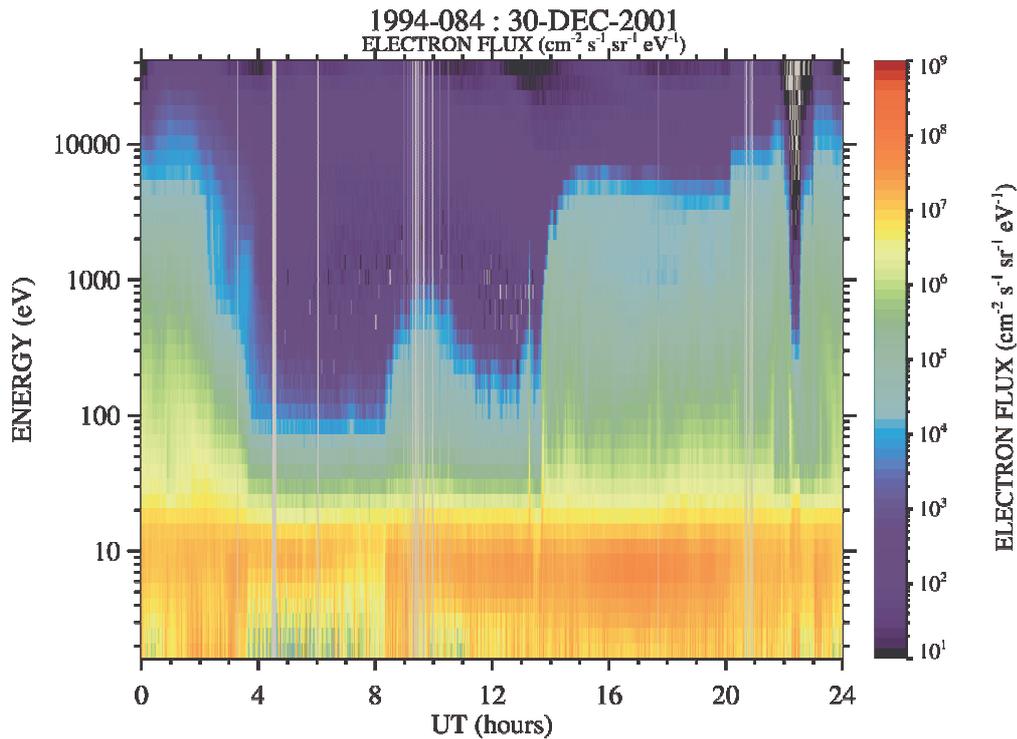


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656 Figure 1. The cumulative amount of LANL/MPA data (in satellite-years) as a function of year,  
 657 from seven satellites at GEO, between 1990 and 2007 inclusive (top panel). Also the coverage of  
 658 LANL/MPA in each year from 1990 to 2007 (bottom panel). A total of ~82 satellite-years of data  
 659 are utilized in the current study)  
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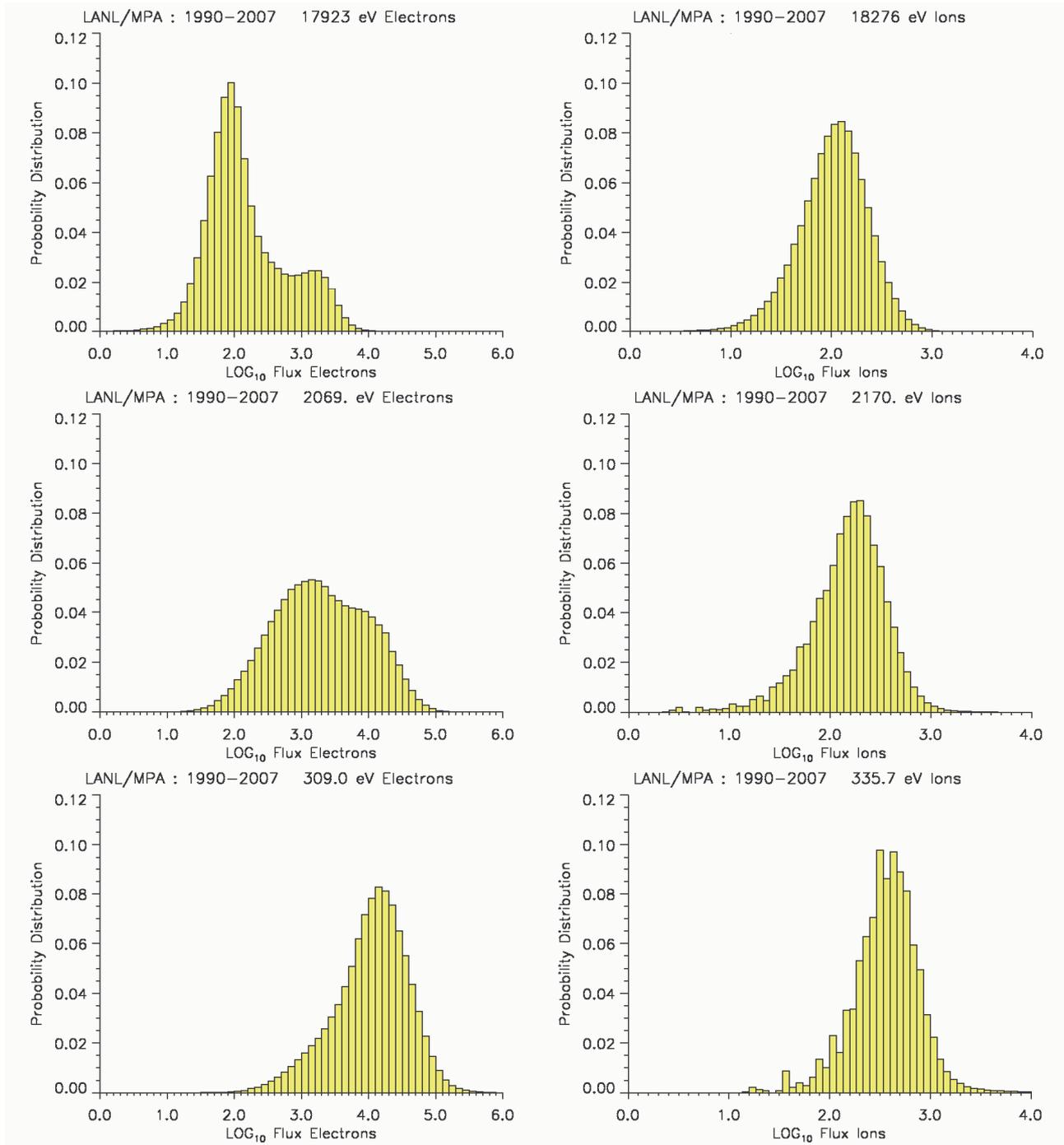
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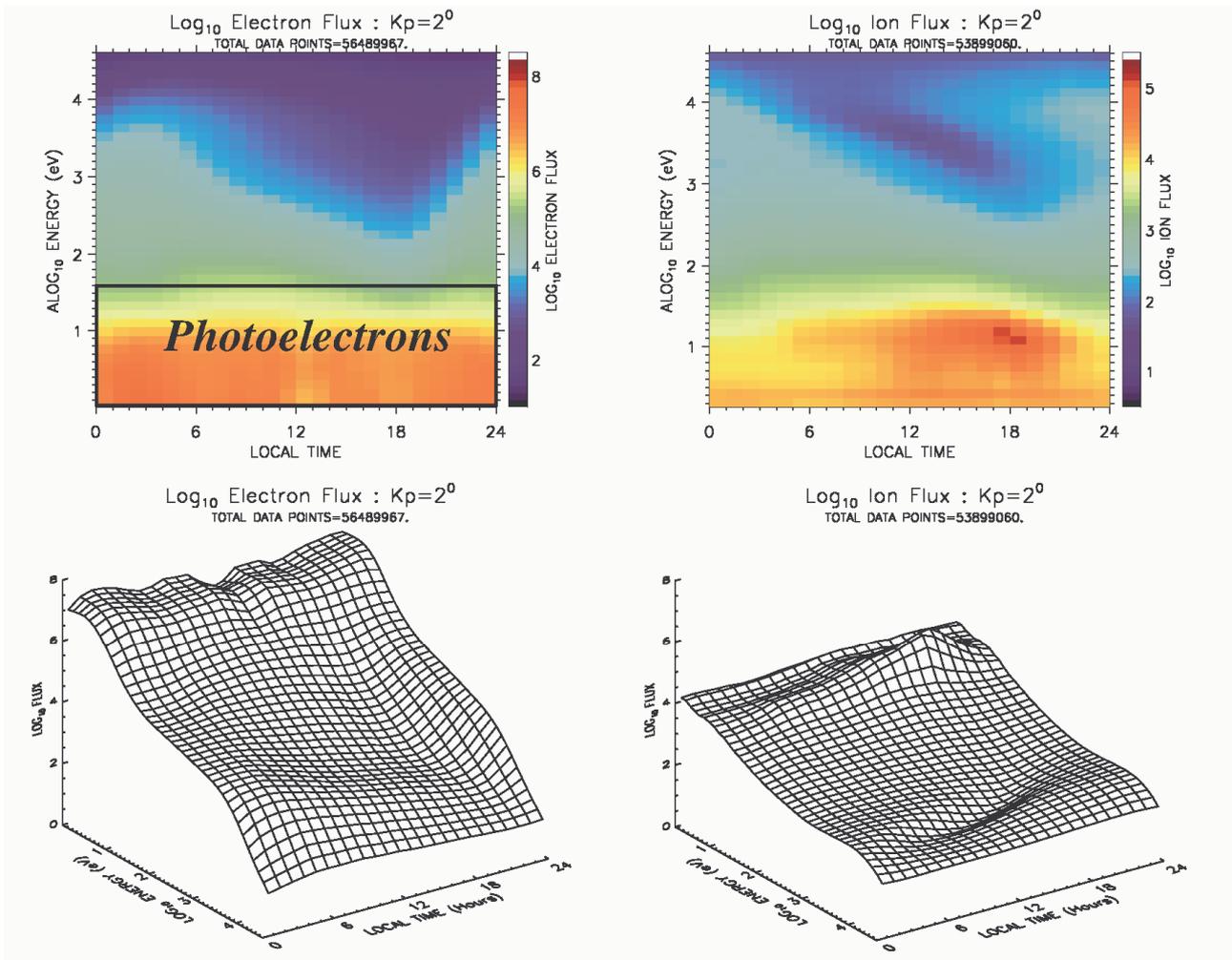
663 Figure 2. Spectragrams of the fluxes measured by LANL/MPA 1994-084 on 30<sup>th</sup> Dec 2001. The  
 664 top panel shows the ion flux. Note the distinctive thermal population (< 1 eV) accelerated through  
 665 the negative spacecraft potential to ~10 eV between ~4 and 8 UT - a plasmaspheric plume. For  
 666 most of the rest of the day the spacecraft resides in the ion plasma sheet. The bottom panel shows  
 667 the electron flux with the spacecraft residing in a electron plasma sheet prior to ~4 UT and after ~8  
 668 UT.

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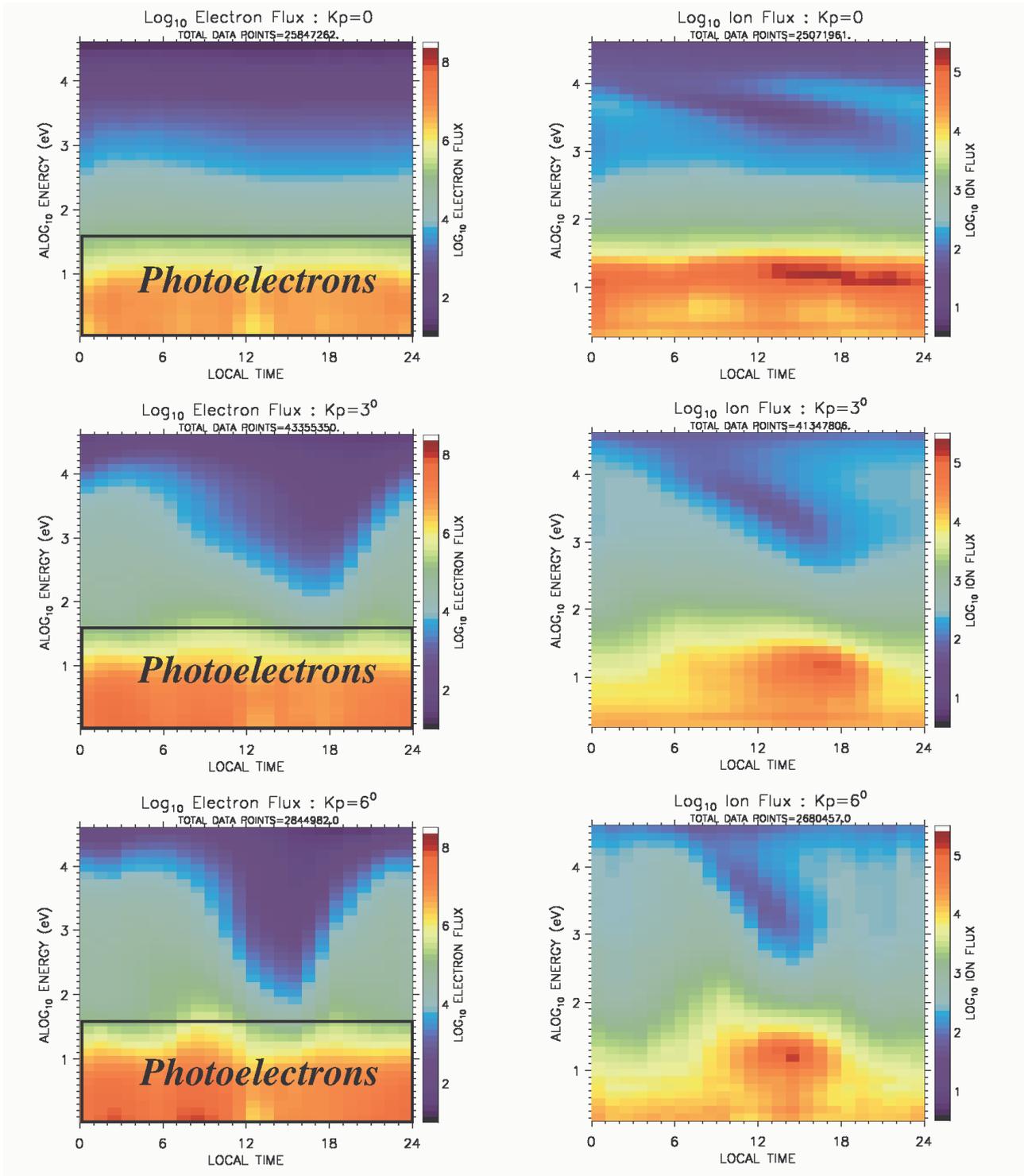
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Figure 3. The probability distribution of the electron flux (left column) and the ion flux (right column) measured by three energy-channels (~18 keV, ~2 keV, and ~100 eV) of the LANL/MPA instruments. The distributions are for the entire MPA dataset of seven satellites at GEO between 1990 and 2007 (excluding magnetosheath intervals and intervals of strong spacecraft charging).



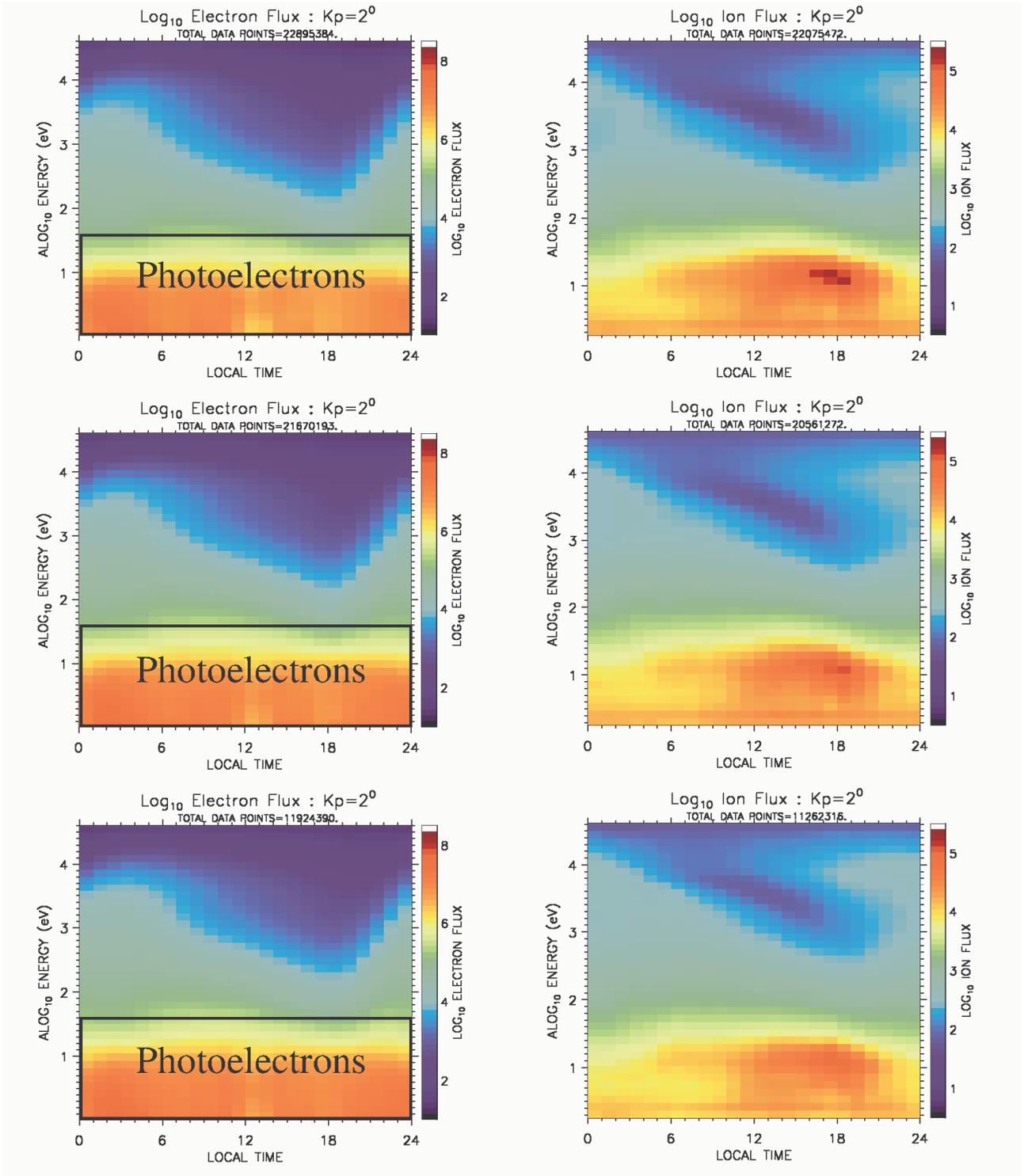
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Figure 4. Examples of the mean electron flux and mean ion flux as a function of local-time and energy at a single value of the Kp index (Kp=2). The top row shows a color representation of the fluxes, and the bottom row shows a three-dimensional surface fit. The mean electron flux for energies below ~40 eV should be disregarded.



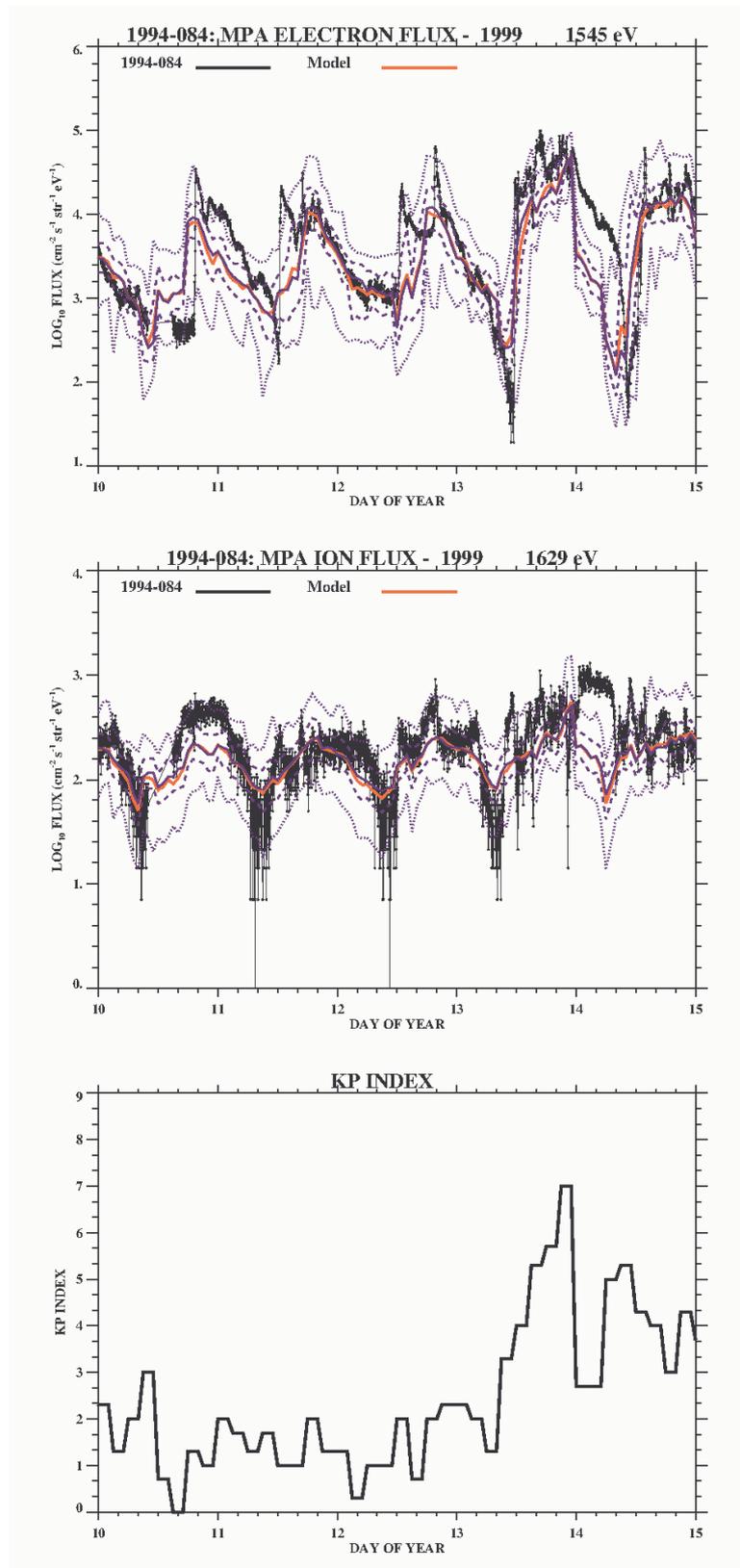
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Figure 5. The averaged electron flux (left column) and the averaged ion flux (right column) as a function of energy and local-time at three different values of the Kp index (Kp=0, Kp=3, and Kp=6). The mean electron flux for energies below ~40 eV should be disregarded.



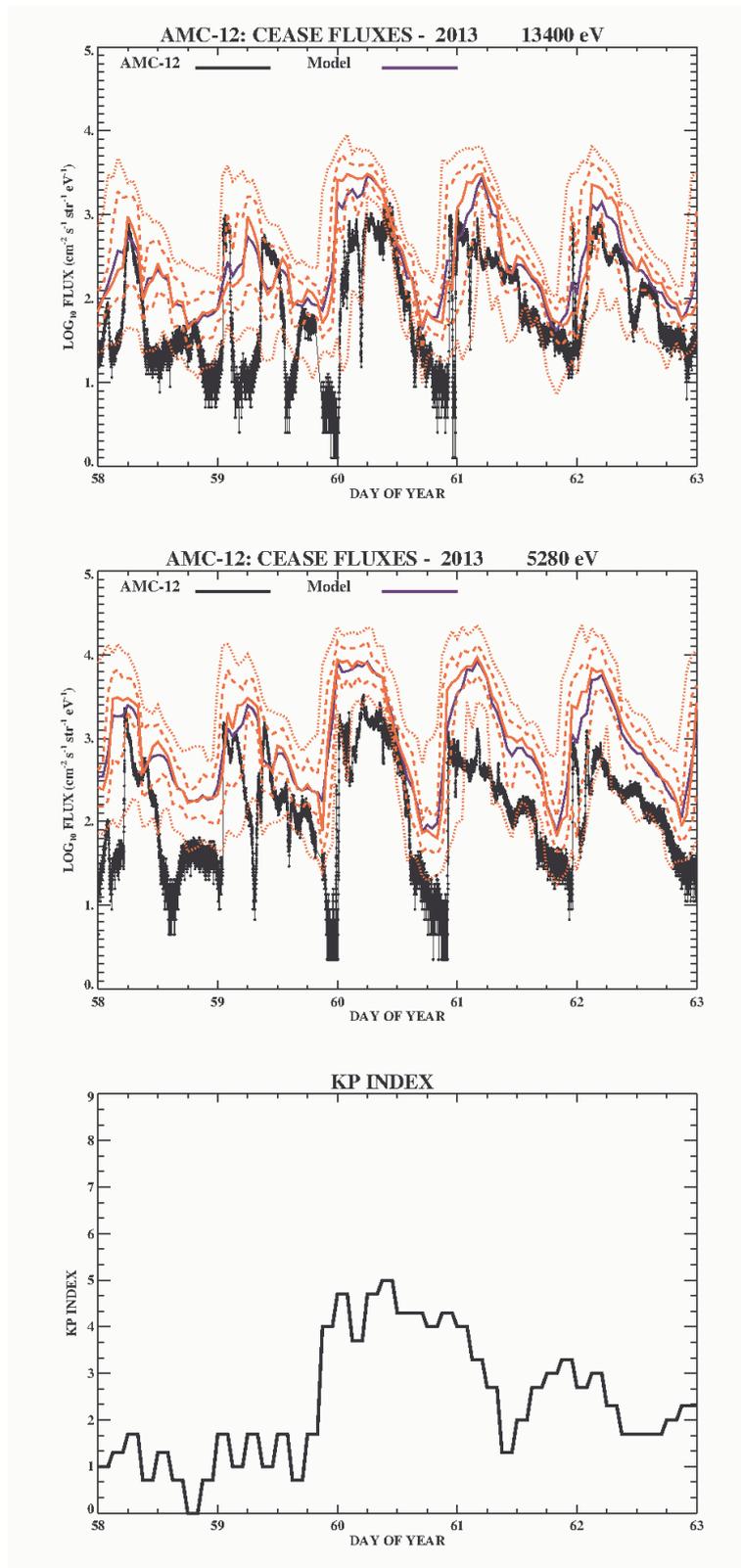
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Figure 6. The averaged electron flux (left column) and the averaged ion flux (right column) as a function of energy and local-time, at a fixed value of  $Kp=2$ , for three different ranges of F10.7. The top row shows the fluxes for  $F10.7 < 100$ , the middle row for  $100 \leq F10.7 < 170$ , and the bottom row for  $F10.7 \geq 170$ . The mean electron flux for energies below  $\sim 40$  eV should be disregarded.



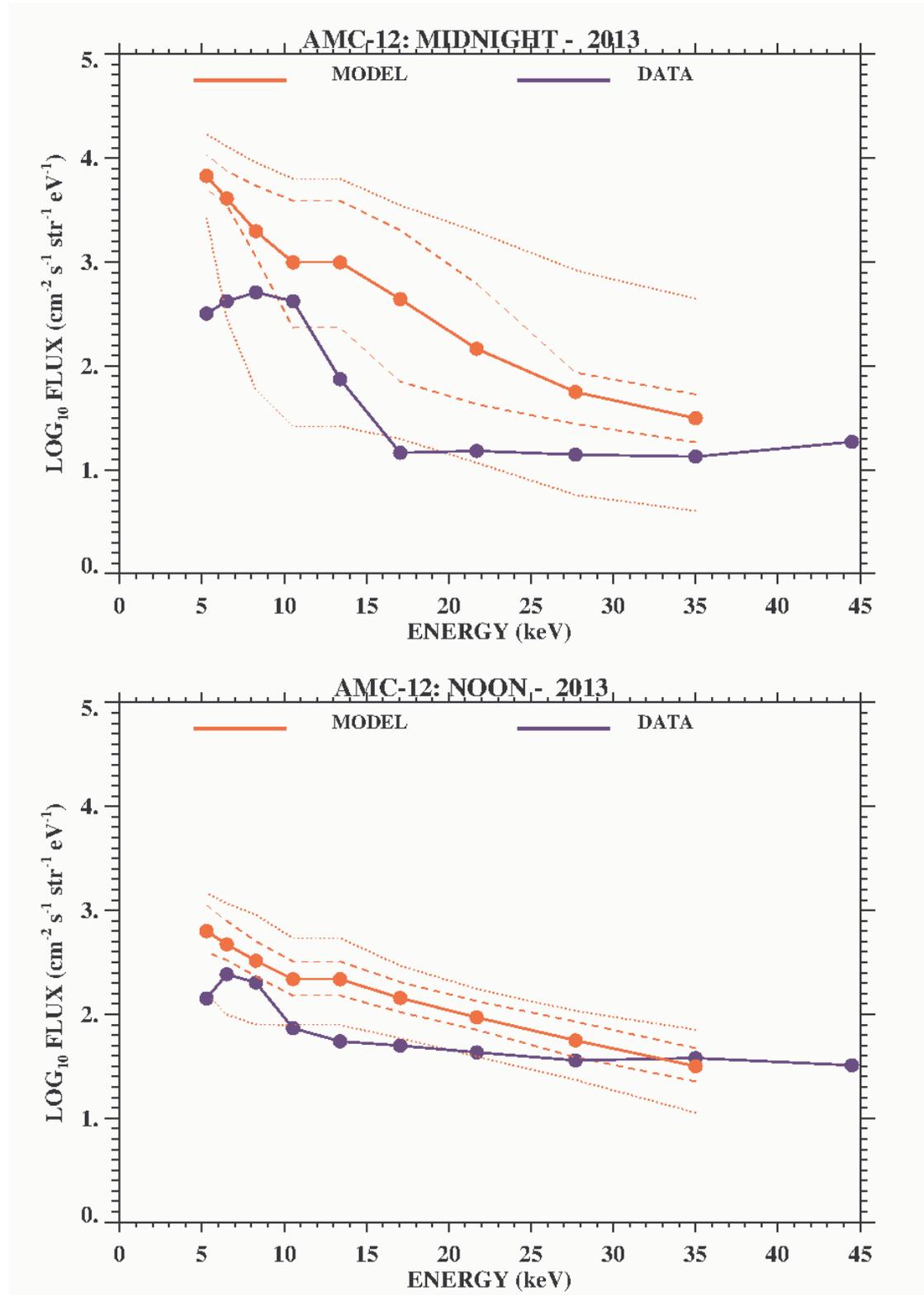
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Figure 7. Showing a comparison of the model output with observations from the MPA instrument on satellite 1994-084, during a 5 day period in 1999. The top panel shows the measured electron flux at 1.545 keV. The solid red line is the mean and the solid blue line is the median. The 5<sup>th</sup>, 25<sup>th</sup>, 75<sup>th</sup> and 95<sup>th</sup> percentiles are also shown (blue dashed lines). The middle panel shows ion observations 1.629 keV in the same format. The Kp index is shown in the bottom panel.



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Figure 8. Showing a comparison of the model output with electron observations at 13.40 keV (top panel) and 5.28 keV (middle panel) measured by the CEASE-II instrument package on AMC-12, during a 5 day period in 2013. The black line shows the measured flux, the blue line shows the mean model flux and the red line shows the median. The 5<sup>th</sup>, 25<sup>th</sup>, 75<sup>th</sup> and 95<sup>th</sup> percentiles are also shown (red dashed lines). The Kp index is shown in the bottom panel.



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719 Figure 9. Showing example spectra from the model output (red lines), and from the CEASE-II  
 720 instrument (blue lines), on day 61 of 2013. The solid red line is the mean and the dashed lines are  
 721 the 5<sup>th</sup>, 25<sup>th</sup>, 75<sup>th</sup> and 95<sup>th</sup> percentiles output from the model. The circles indicate the spectra at  
 722 local midnight (when Kp=4) and the crosses indicate the spectra at local noon (when Kp=2). In this  
 723 instance, the spectra are much better matched at noon than at midnight.